

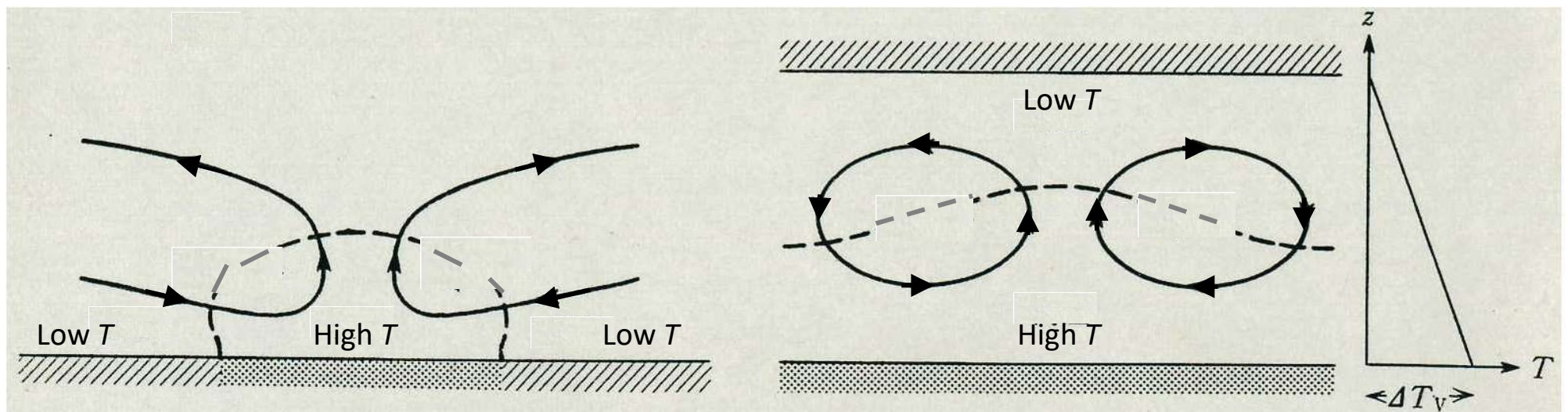
6. Convection: Why can't we predict rainfall?

6.0. Two categories of convection

- Most basic/frequent/important in tropics with strong (solar/latent) heating at ground.
- Gravity (buoyancy) → vertical motion → “aspect ratio” (vertical/horizontal scales) ~ 1
→ Governing equations: non-hydrostatic, non-geostrophic
- Stable/neutral stratification + horizontally differential heating → “horizontal convection”
→ equivalent to coupling of upward/downward propagating internal waves
- Upward motion + Moisture effect → clouds (→ rainfalls, water cycle)
- Cloud microphysics: heterogeneous, multi-particle, warm + cold rain processes
- “Conditional instability” → “vertical convection” (convective clouds, torrential rainfall)
- Organization of cloud convection:
 - Coastline (or steep orography) → Sea-land (or mountain-valley) breeze circulation
 - Larger scale equatorial waves → Intraseasonal variations (with hierarchical structures)

Horizontal and Vertical Convections

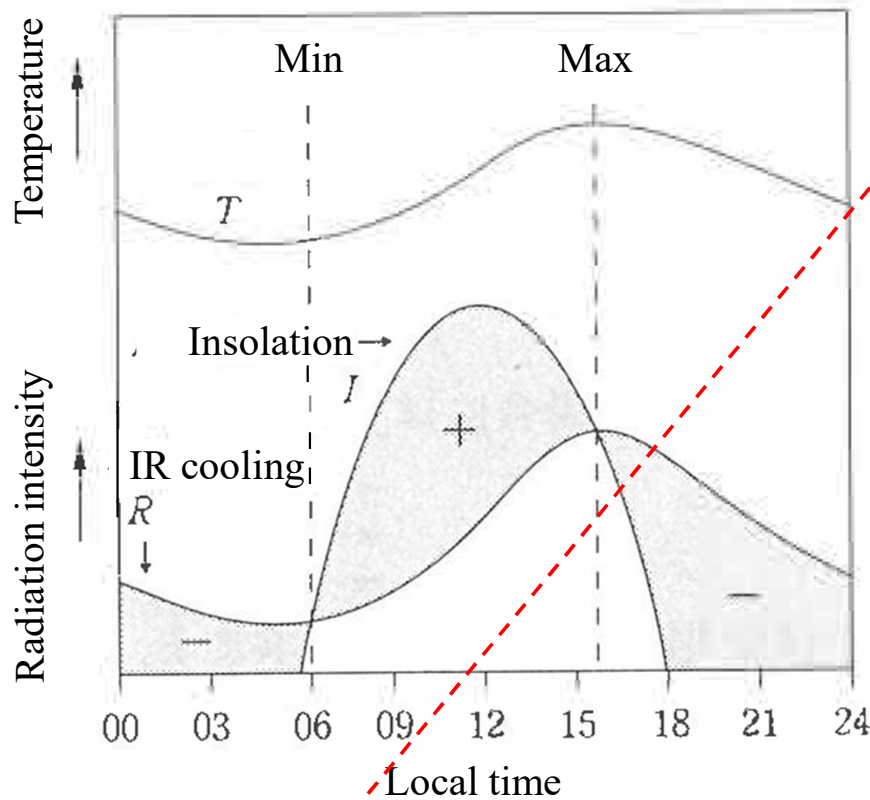
- In tropical meteorology **vertical convections** have been studied relatively well, because the tropical atmosphere is characterized by conditionally **unstable** stratification with high temperature and humidity and actually many tropical phenomena such as ENSO, ITCZ, intraseasonal variations and equatorial waves are recognized mainly by behaviors of **convective clouds** and their organizations.
- However, recent observational studies request us to reconsider importance of **stable horizontal convections** such as **meridional (Hadley and monsoon) circulations, zonal and local (sea-land and mountain-valley) circulations**, which have been studied separately in large- and small-scale dynamics.



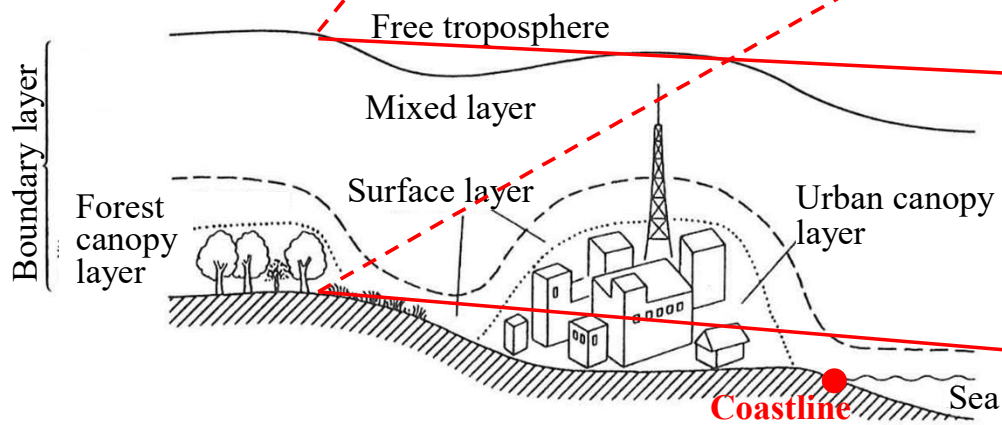
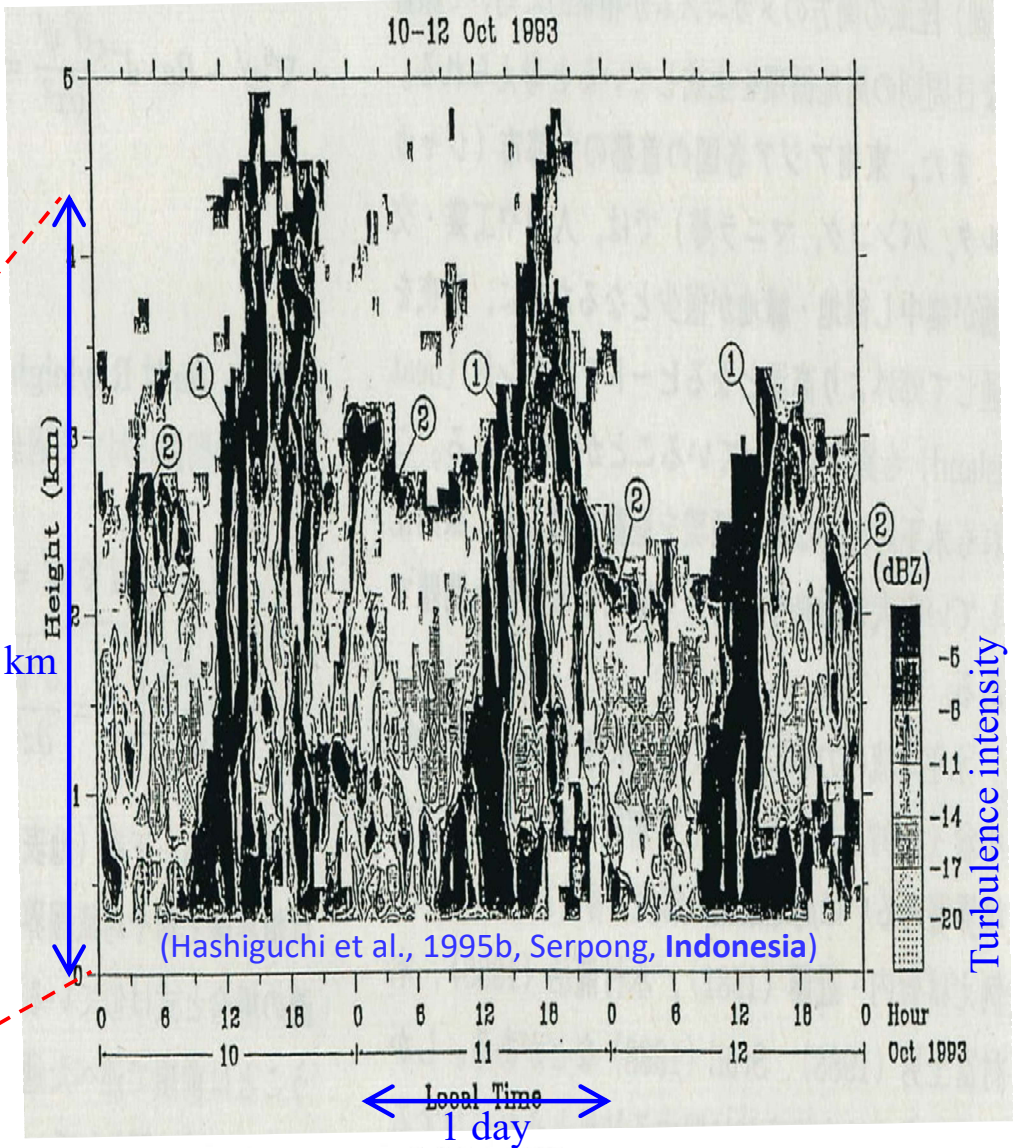
Horizontal Convection

Vertical Convection

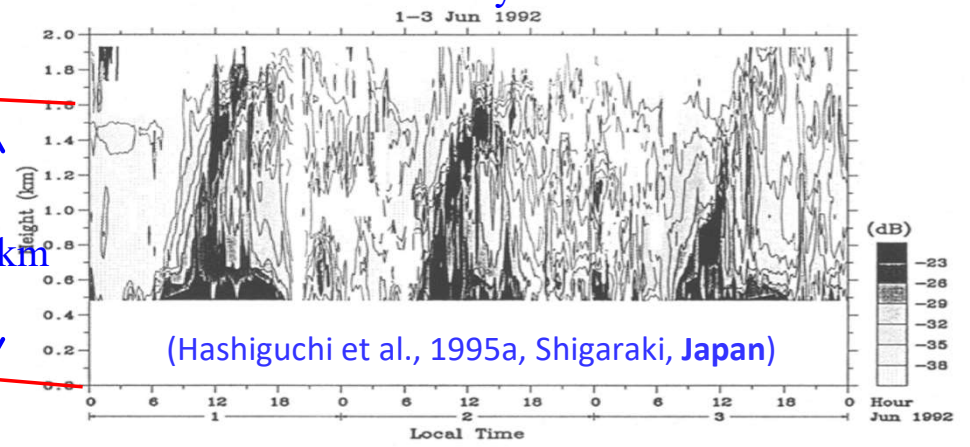
Boundary layer heat balance



3-5 km



1-2 km



Aspects determining land-sea temperature difference

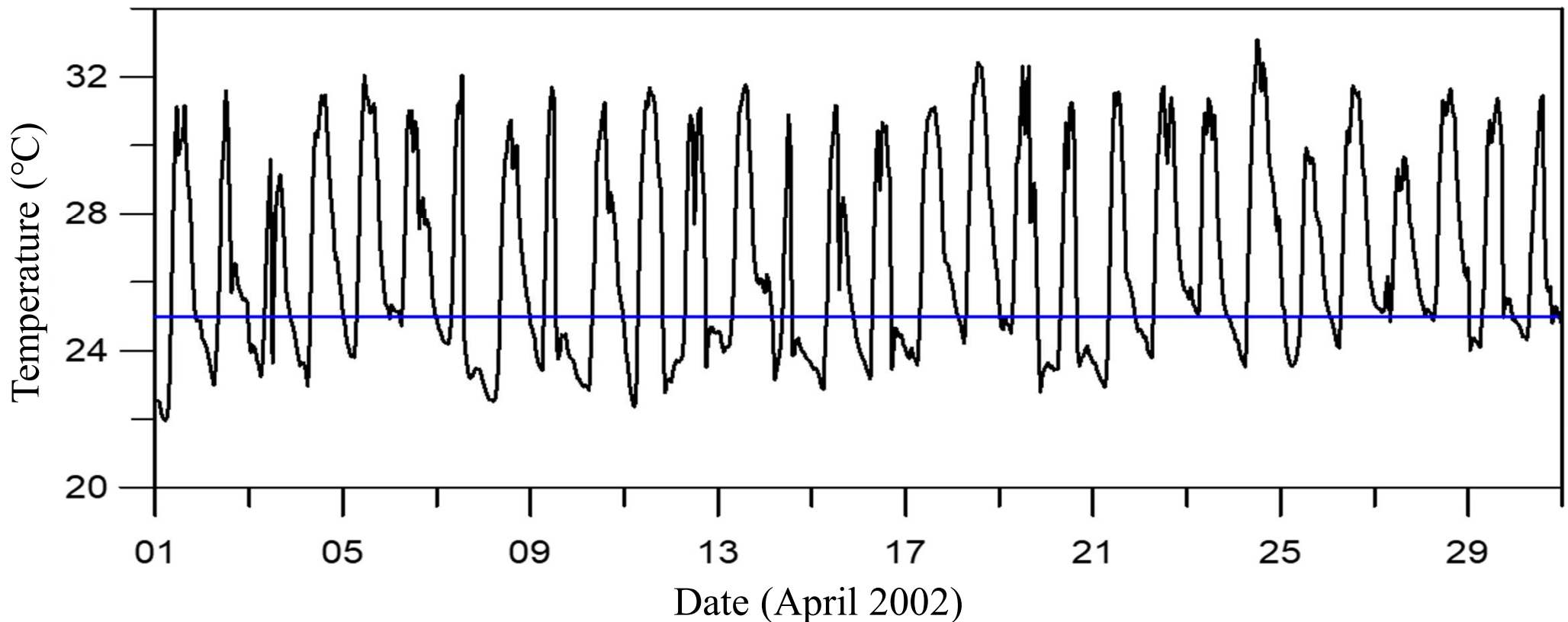
- Heat capacity: solid land smaller than liquid sea/lake water
Heating/cooling = heat capacity \times temperature change \rightarrow Land is heated/cooled more quickly than water.
- A part of heat given to sea/lake water is used for evaporation and does not contribute to temperature increase.
Swamp and plants on land also may cause evaporation/evapotranspiration.
Evening rainfall has a role of sprinkler and makes land cooling
- A part of heat on sea/lake surface may be transported to deeper layers by water motions.
- Mountain slope is heated/cooled more easily than the bottom basin/plain.
- Dry desert, rocks and concrete surface (with a large albedo decreasing solar heating) have smallest heat capacity.

Observational difficulties

- Horizontal/temporal resolution/inhomogeneity/representability
- Vertical resolution: Stability indeterminacy
- Observations on the sea side (although more homogeneous/steady than land)
- Non-meteorological parameters on the land side

No “tropical night” in the tropics

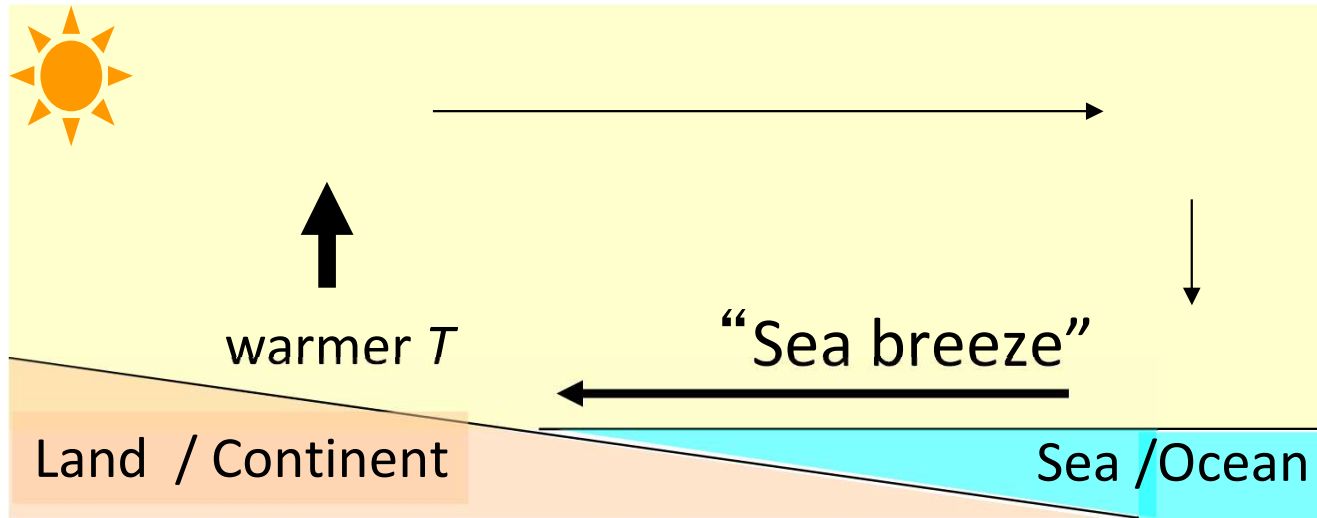
- In the mid latitudes wind and rain are mainly by cyclones without depending upon the local time, and the diurnal-cycle sea-land breezes are by daytime solar heating and nighttime infrared cooling in the anti-cyclonic fine weather.
- In the equatorial tropics precipitating clouds themselves generate the diurnal cycle and temperature $< 25^{\circ}\text{C}$ (not satisfying “tropical night”) before sunrise.



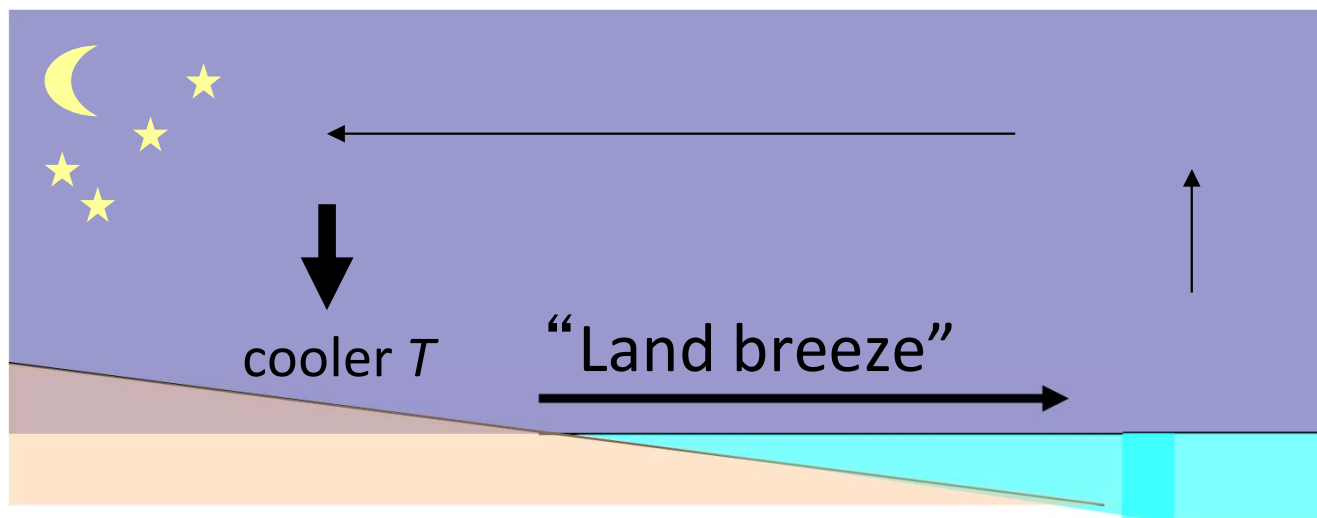
Hourly observation data of temperature at Pontianak during April 2002 (Wu et al., 2008).
A blue line indicates the lowest temperature limit 25°C defined as “tropical night” by JMA.

Horizontal convection (Sea-land breeze)

Forced motion under stable stratification with differential heating



Daytime



Nighttime

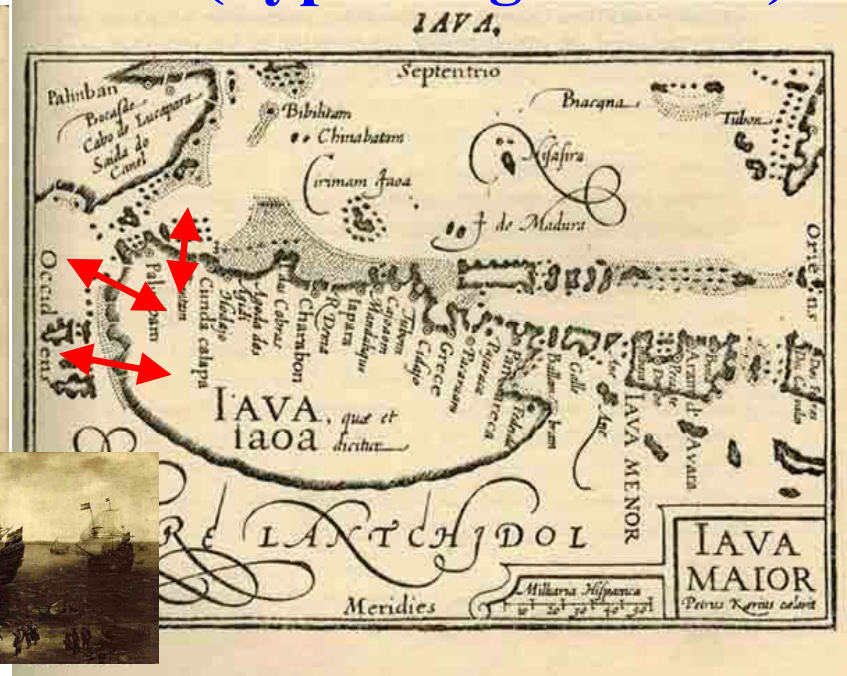
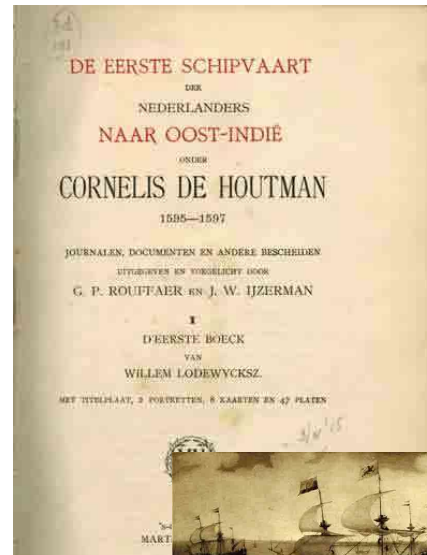
First Dutch arrival (bypassing Melaka)



Cornelis de Houtman
(1565–1599)



Frederick de Houtman
(1571–1627)



1596/6/15 hadden, laghen noch vier passelijcke Eylandekens ⁸⁾, met twee clippen, ende hadden desen nacht eenen harden wint uyten noordtwesten.

Den 16. Junij lichten wy ons ancker ende gingen tseijl met eenen n.w. wint, n.o. ten n. aen, wierpen twee mael tloot in 22. vademen suyversandt grondt, ende overmidts stillekens worde, moesten d'ancker laten vallen, want wy anders te rugghe dreven, overmidts de stroomen van 't oosten nae 't westen liepen tot nae de middagh datter stercke coelte quam, gingen alsoen n. ten o. aen, ende de Strate streckte haer tusschen tlanck hooghe Eylandt, ende tlanckwerpich oost ⁹⁾, den wint quam recht uyt de Straet, derhalven na ons lootsmans begeiren weder setten in 19. vademen. Saghen noch diversche *Paraos* die van *Bantam* quamen, ende wy moestent onder d'Eylanden setten, overmidts wy recht inde wint creghen om den morghen stont te verwachten, dat de wint uyt thooghe landt van *Dampin* valt, welck de plaetse was daer wy met de Pinas ende Sloep gheweest waren int Eylandt van *Sumatra*, ende ginghen int dagh quartier tseijl ¹⁰⁾, waerschouwende de andere schepen van ghelijcken te doen, doende onsen cours o.n.o. aen ¹¹⁾, den wint noordt west. Sagen noch

[26r°]

6/17 is noch een ander seer hooghe Eylandt, hebbende in top vanden bergh een cloue, twelck twee toppen maect ¹⁶⁾, is niet seer groot, ende also de wint altoos nae de middag uyten oosten comt, hebbent wy wedergheset in 15. vademengoet ancker gront tot des anderen daeghs.

Krakatau

met hare handen haer aensicht tot over haer hoofd * : het was desen nacht seer reghenachtich weder. Ende des anderen daeghs zijnde den 18. dito, saghen wy 7. seylen in diversche plaetsen, waer van ons de twee aen boort quamen, zijnde d'eene des lootsmans *Parao*,

Strong rain before sunrise

6/18

isochronen wesen.

6/19 Den 19. also wy voorby een stedeken ¹⁹⁾ passeerden, quamen ons veel *Paraos* aen boort, van 't Eylandt *Sumatra*, eenighe met seylen, ende brachten Cocos, wat Peper, Naghelen, Muscaten, Vannanas, ende wat Hoenderen, Oraengien, vraghende oft wy van *Goa* oft *Cochin* quamen, ende nae *Bantam* voeren ²⁰⁾, ende luttelghevoordert hebbende, lieten onse anckers weder in 27. vademen. De stroom was ons contrary ende luttel coelte, ende hadden z. o. aen ontrent 3. mylen geseylt. Ende also des anderen daeghs stil was, bleven wy liggen: maer des anderen daeghs, also de wint w. z. w. was, lichten wy ons ancker, ende ginghen cours o. ten z. dan overmidts de stilte, lieten d'ancker vallen in 22. vademen, ende andere in 30. ende ginghen nae de middagh weder tseijl, ende saghen diversche seylen.

[26v°]

DAT 16. CAPITTEL.

Hoe wy voor de Haven van Sunda quamen, ende wat aldaer geschiet is inde aencomste.

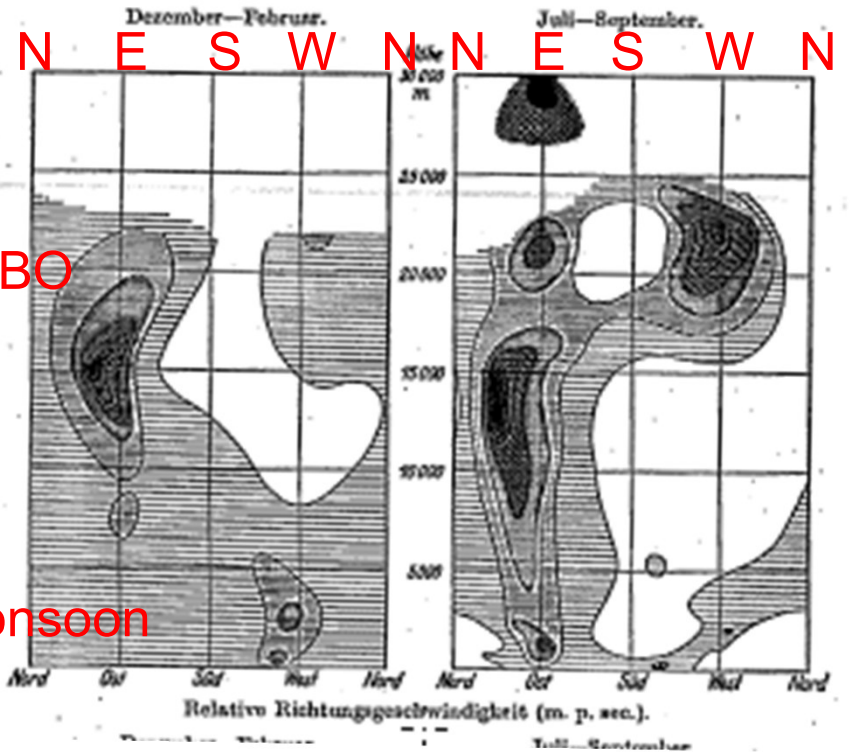
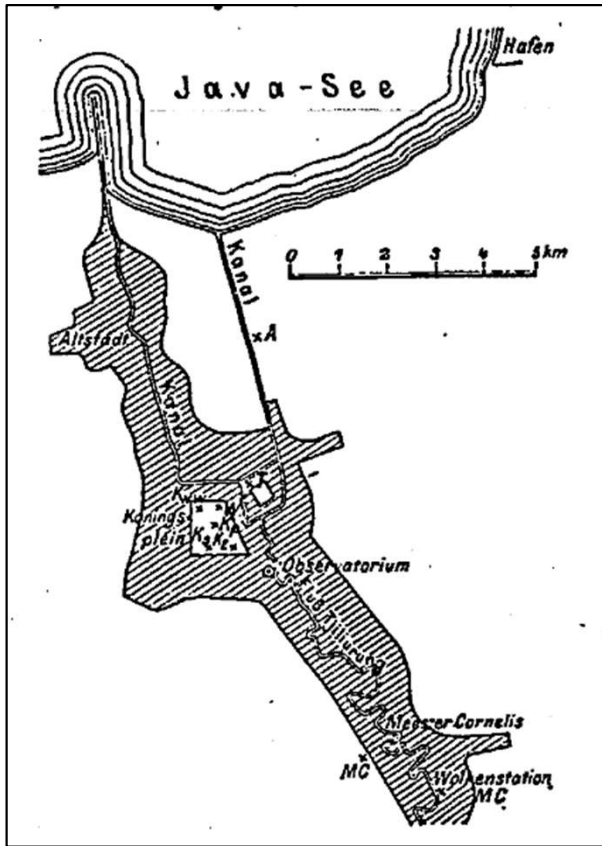
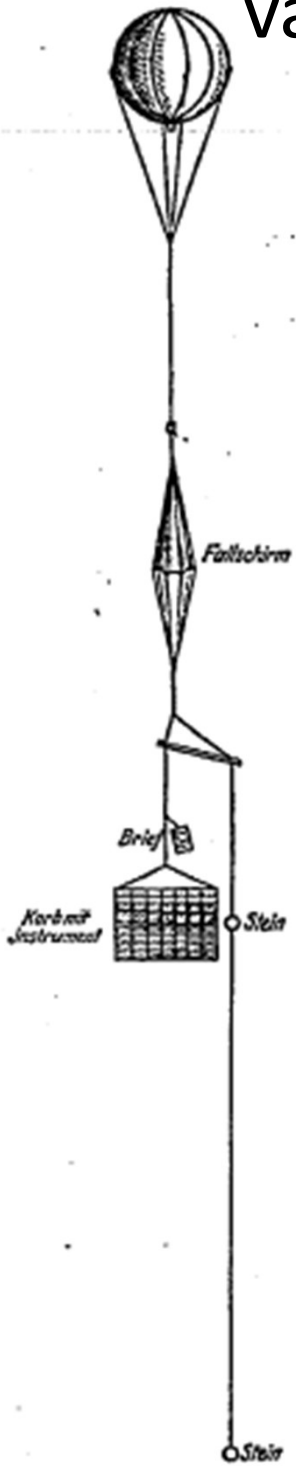
Night-10 AM: land wind; noon-evening: sea wind

6/22 S als oock de veranderinghe vanden wint: want nae middernacht is den wint oost tot den 10. uren voor middagh, ende voort tot den avont west, waer deur so moeyelijken is om de Straet te passeren) ^{17v°)} zijn wy den 22. Junij voor de haven van *Bantam* ¹⁾, ende de Custe van *Sundage* comen, siende voor ons een leegh, groen, schoon Eylandt, twelck de Javanen *Pulo Pajan* noemen ²⁾, dwelck een lanckwerpigh

Anno 1596.
den 22.
Junij.

van Bemmelen (1913, 1922)

Rainy (Dec-Feb) Dry (Jul-Sep)

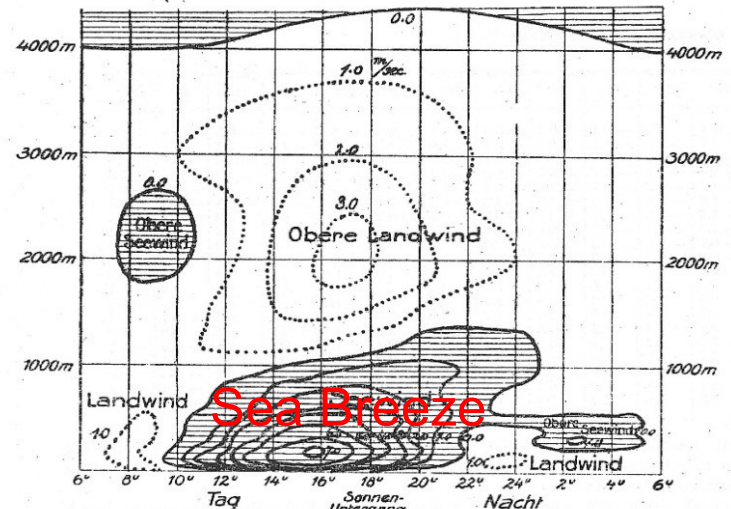


QBO

Monsoon

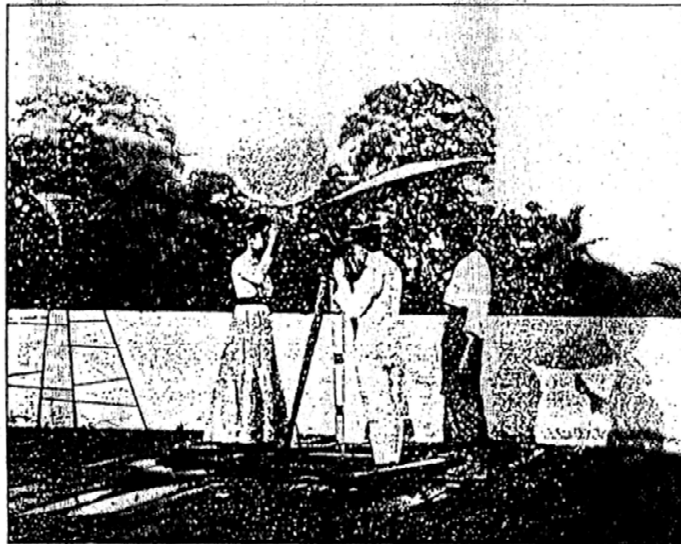
06-24 LT hourly for May-Nov;
08, 14, 19 LT for Dec-Apr
during 1905-15

Geschwindigkeits-Isoplethen für
Land- und Seewind
in Batavia



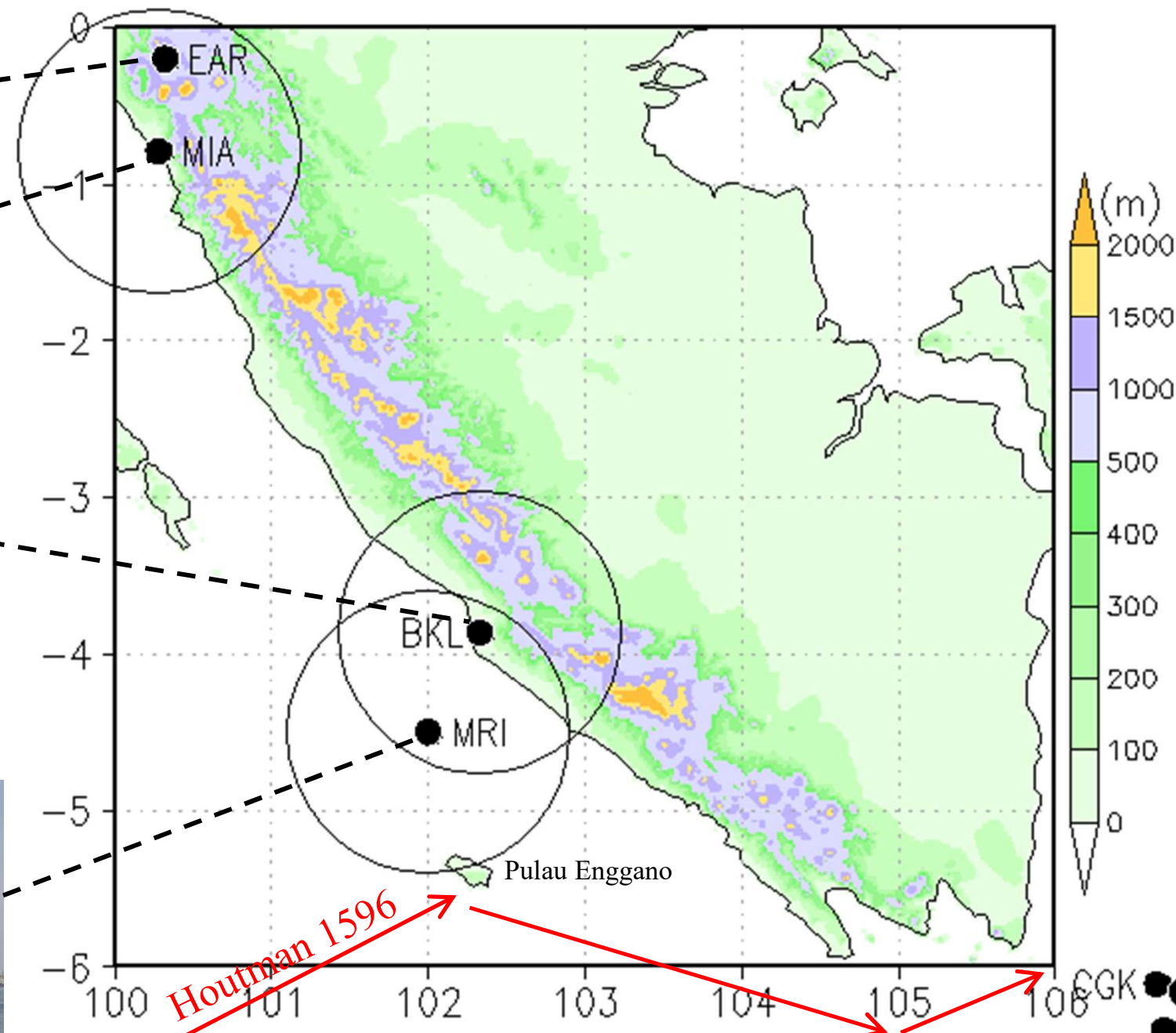
Sea Breeze

06 12 18 00 LT

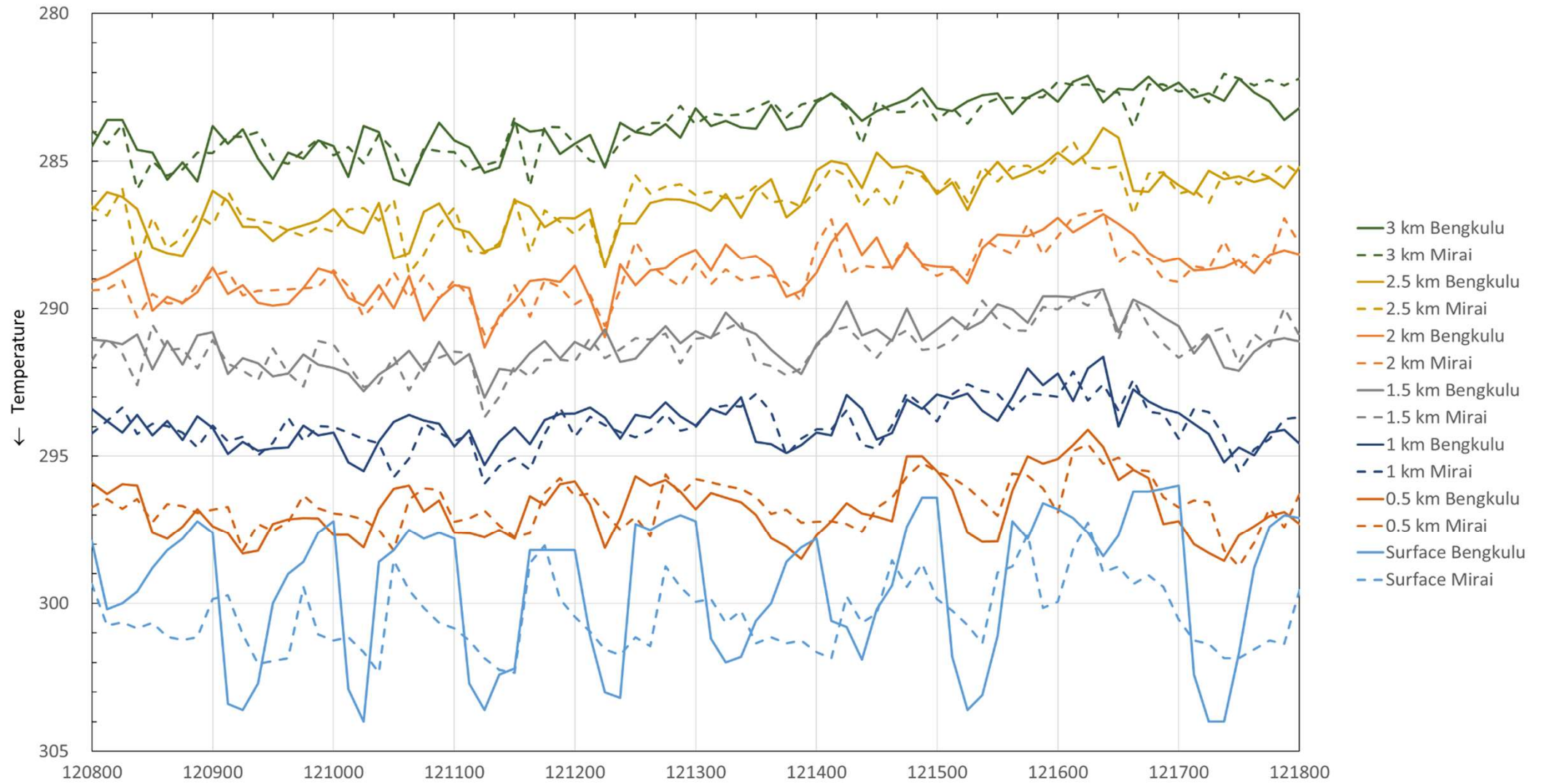


Pre-YMC observations in Nov-Dec 2015

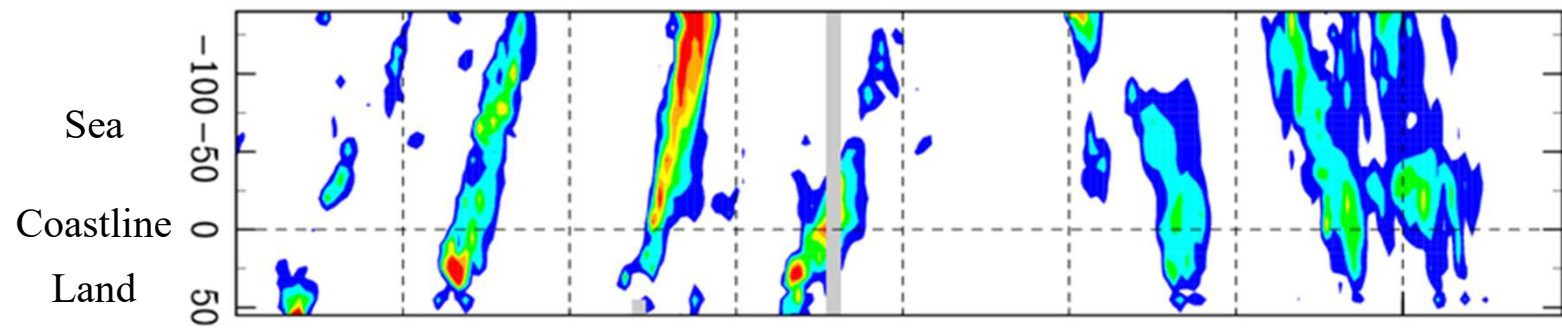
YMC obs in Nov 2017-Jan 2018



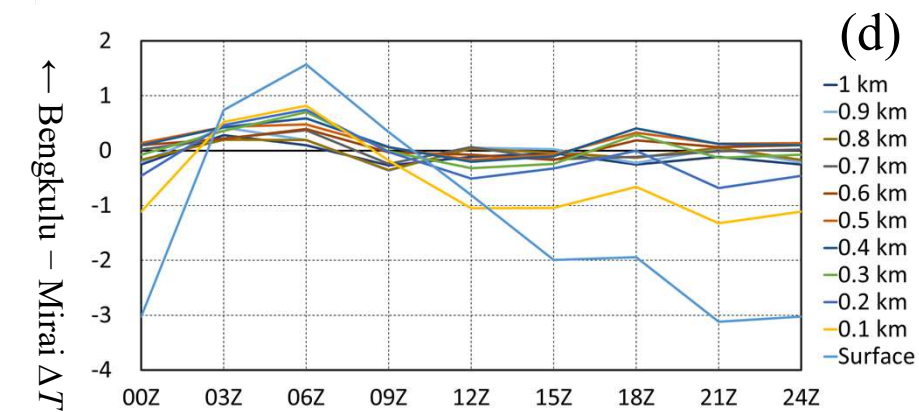
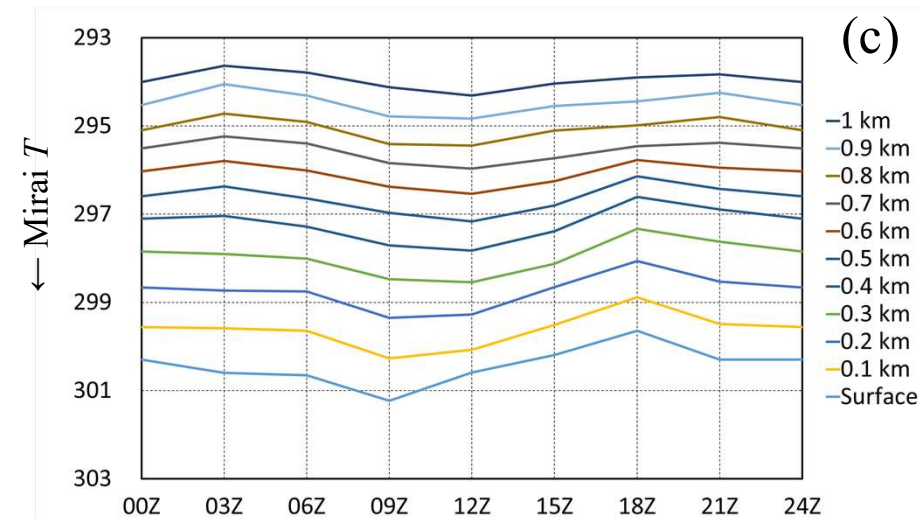
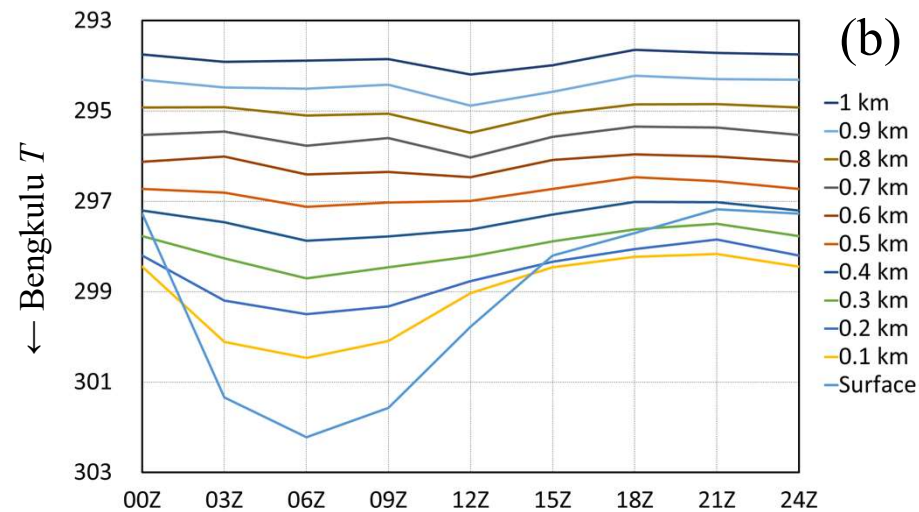
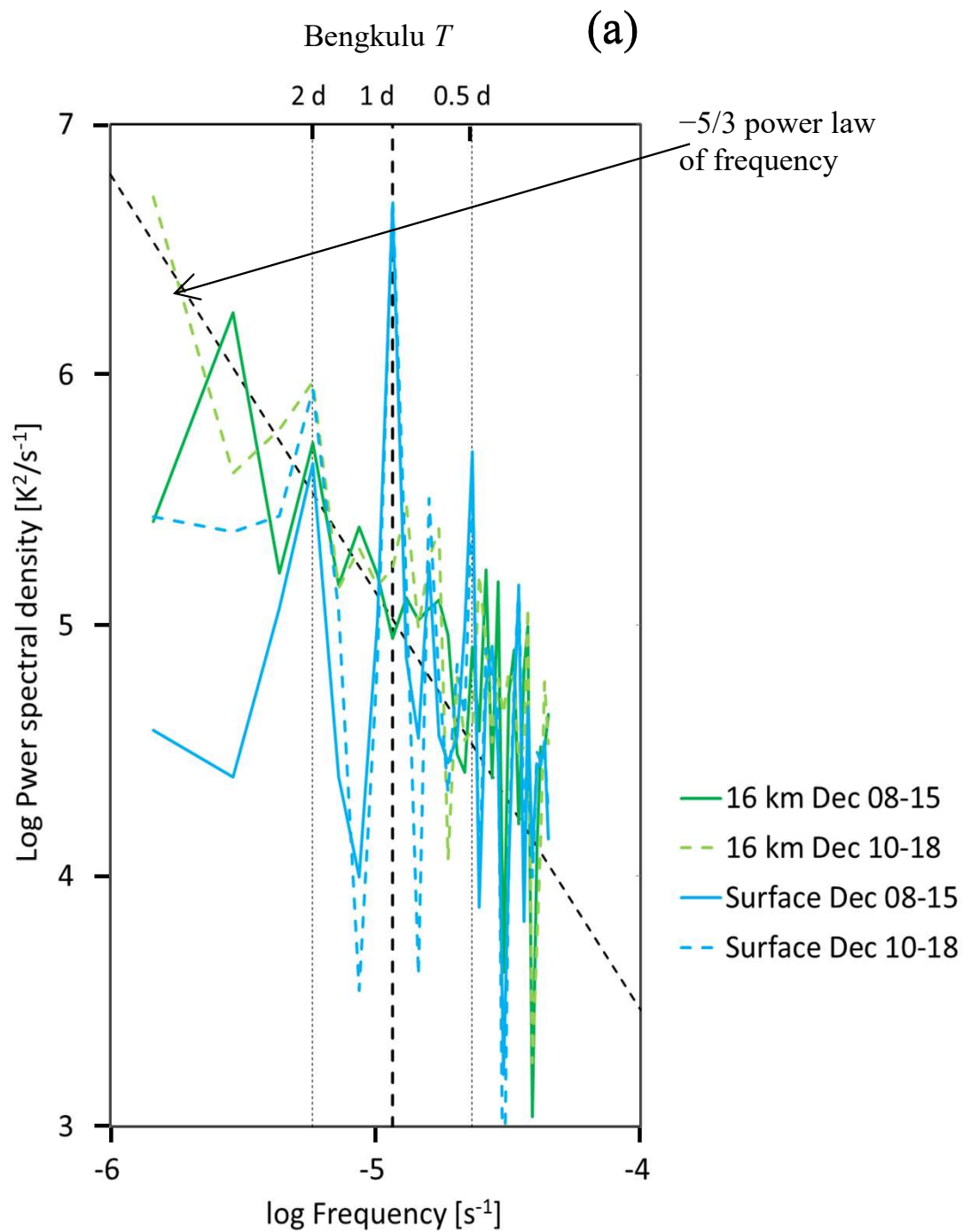
Lower-tropospheric temperature at/off Bengkulu (8-18 Dec 2016)



9 10 11 12 13 14 15 16 00Z = 07 LT (☉ sunrise)



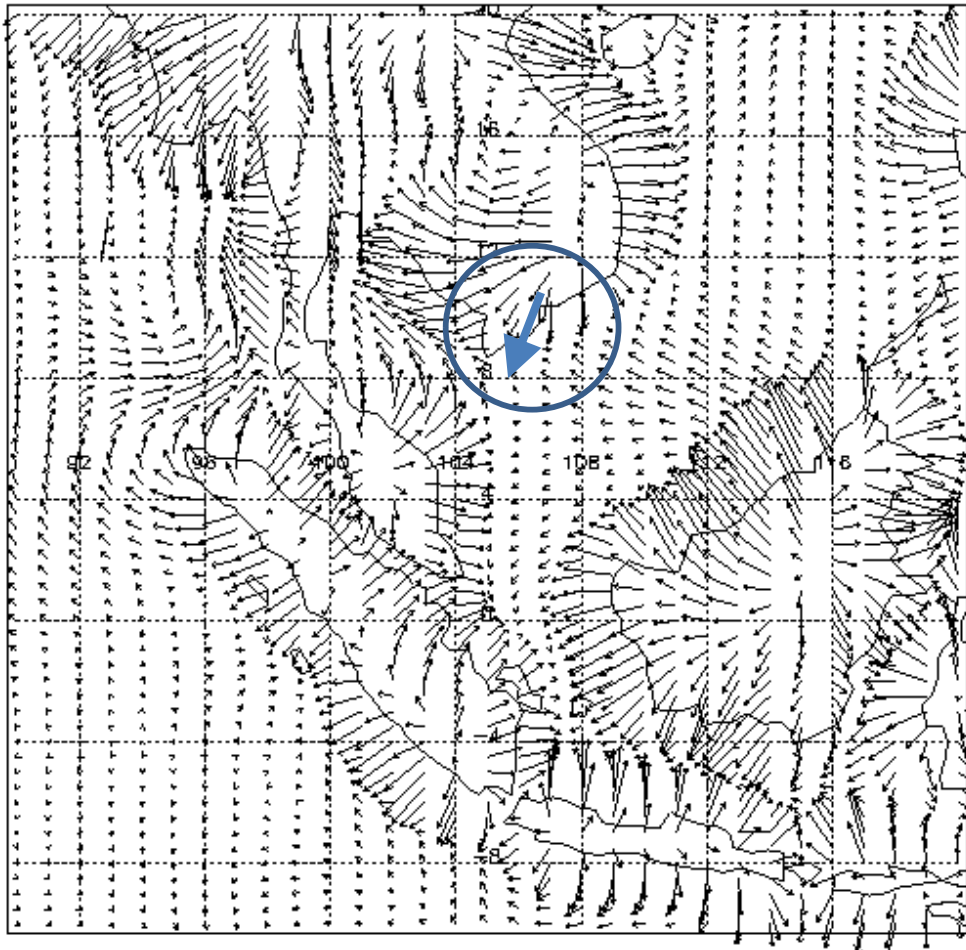
Radar echo Hovmöller
(analyzed by Yokoi)



Sea-land breeze circulation over ASEAN

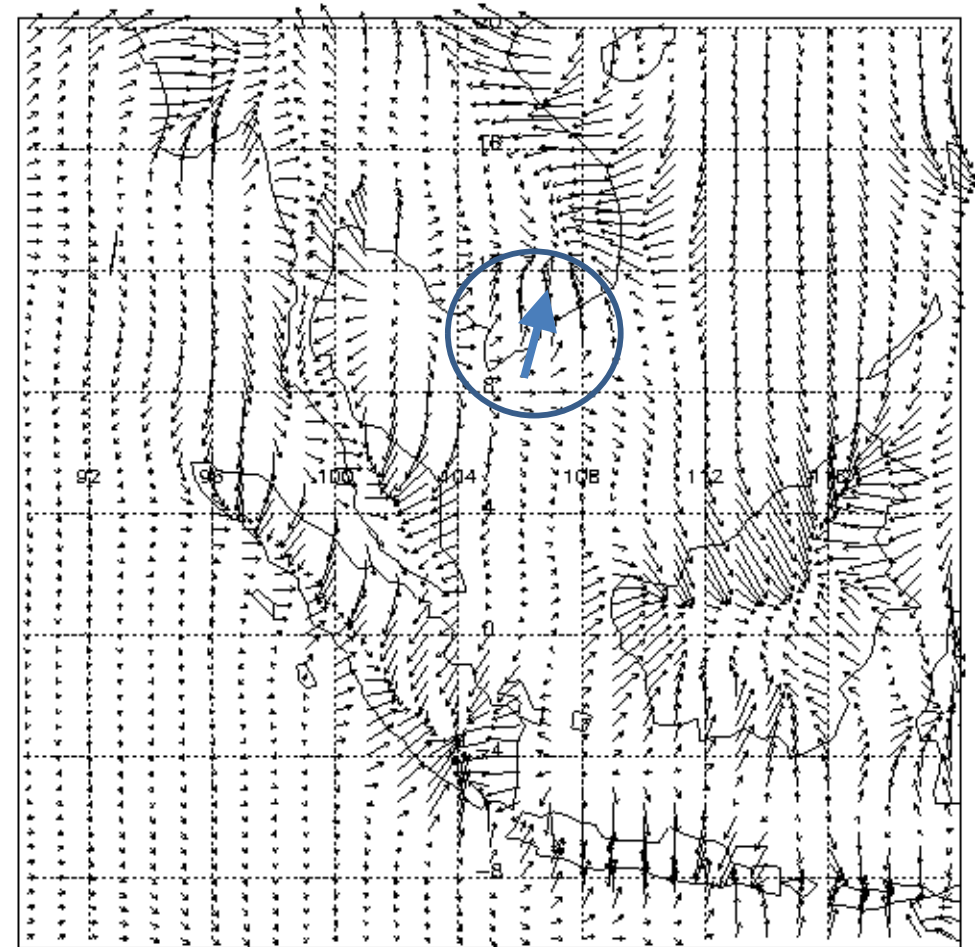
00 UT (07 LT)

Land breeze in midnight - morning



12 UT (19 LT)

Sea breeze in afternoon - evening



→ 2 m/s

Quasi-2D Boussinesq equations

Momentum, entropy & mass conservation laws: ($\partial/\partial x = 0$, but $u \neq 0$)

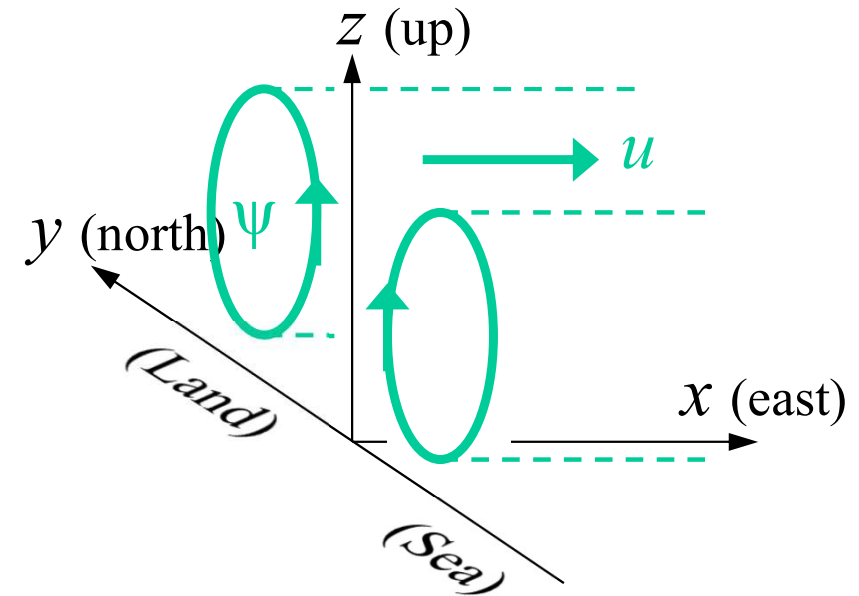
$$\left\{ \begin{array}{l} \frac{\partial u}{\partial t} + v \frac{\partial u}{\partial y} + w \frac{\partial u}{\partial z} - fv = F_x \quad (0a) \end{array} \right.$$

$$\left\{ \begin{array}{l} \frac{\partial v}{\partial t} + v \frac{\partial v}{\partial y} + w \frac{\partial v}{\partial z} + fu + \frac{\partial \phi}{\partial y} = F_y \quad (0b) \end{array} \right.$$

$$\left\{ \begin{array}{l} \frac{\partial w}{\partial t} + v \frac{\partial w}{\partial y} + w \frac{\partial w}{\partial z} - g \frac{\theta}{\theta_0} + \frac{\partial \phi}{\partial z} = F_z \quad (0c) \end{array} \right.$$

$$\left\{ \begin{array}{l} \frac{\partial \theta}{\partial t} + v \frac{\partial \theta}{\partial y} + w \frac{\partial \theta}{\partial z} + \frac{\theta_0}{g} N^2 w = Q \quad (0d) \end{array} \right.$$

$$\left\{ \begin{array}{l} \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0 \Rightarrow v = -\frac{\partial \psi}{\partial z}, \quad w = \frac{\partial \psi}{\partial y} \quad (0e) \end{array} \right.$$



$\partial(0c)/\partial z - \partial(0b)/\partial y \Rightarrow$ x-comp of “vorticity equation”:

$$\left\{ \begin{array}{l} \frac{\partial \nabla^2 \psi}{\partial t} + \frac{\partial(\psi, \nabla^2 \psi)}{\partial(y, z)} = f \frac{\partial u}{\partial z} + \frac{g}{\theta_0} \frac{\partial \theta}{\partial y} + \frac{\partial F_z}{\partial y} - \frac{\partial F_y}{\partial z}, \quad (1) \end{array} \right. \rightarrow \text{Thermal wind equilibrium}$$

$$\left\{ \begin{array}{l} \frac{\partial \theta}{\partial t} + \frac{\partial(\psi, \theta)}{\partial(y, z)} = -\frac{\theta_0}{g} N^2 \frac{\partial \psi}{\partial y} + Q, \quad (2a) \end{array} \right.$$

$$\left\{ \begin{array}{l} \frac{\partial u}{\partial t} + \frac{\partial(\psi, u)}{\partial(y, z)} = -f \frac{\partial \psi}{\partial z} + F_x, \quad (2b) \end{array} \right.$$

$$\left(\begin{array}{l} \nabla^2 \equiv \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}, \\ \frac{\partial(a, b)}{\partial(y, z)} \equiv \frac{\partial a}{\partial y} \frac{\partial b}{\partial z} - \frac{\partial a}{\partial z} \frac{\partial b}{\partial y} \end{array} \right)$$

“Horizontal convection” solutions

Coastal-mean coastal-aligned circulation u_y , trans-coastal stream function ψ and potential temperature θ

$$\frac{\partial \nabla^2 \psi}{\partial t} + \frac{\partial(\psi, \nabla^2 \psi)}{\partial(x, z)} = f \frac{\partial u_y}{\partial z} - \frac{g}{\theta_0} \frac{\partial \theta}{\partial x} + \frac{\partial F_x}{\partial z} - \frac{\partial F_z}{\partial x}. \quad (5a)$$

$$\frac{\partial u_y}{\partial t} + \frac{\partial(\psi, u_y)}{\partial(x, z)} + f u_x = F_y, \quad (5b)$$

$$\frac{\partial \theta}{\partial t} + \frac{\partial(\psi, \theta)}{\partial(x, z)} + \frac{\theta_0}{g} N^2 u_z = Q. \quad (5c)$$

Heating (radiation, condensation)

No forcing ($F=0, Q=0$): “Thermal-wind” equilibrium

$$f \frac{\partial u_y}{\partial z} - \frac{g}{\theta_0} \frac{\partial \theta}{\partial x} = 0$$

Linear problem [$\partial(\cdot)/\partial(y, z)=0$] with damping ($F=K\nabla^2 u, Q=K'\nabla^2 \theta$), substituting (5b,c) into $\partial(5b)/\partial t$:

$$\left(\frac{\partial}{\partial t} - K\nabla^2\right)^2 \left(\frac{\partial}{\partial t} - K'\nabla^2\right) \nabla^2 \psi + N^2 \left(\frac{\partial}{\partial t} - K\nabla^2\right) \frac{\partial^2 \psi}{\partial x^2} + f^2 \left(\frac{\partial}{\partial t} - K'\nabla^2\right) \frac{\partial^2 \psi}{\partial z^2} = 0.$$

[Quasi-geostrophic case: Replacing (3b) by (4) yields a diagnostic (“omega”) equation]

No viscosity ($K=K'=0$) yields “inertio-gravity wave”-like solutions

$$\psi \propto \text{Re}[\exp\{i(kx + mz - \omega t)\}], \quad \omega^2(k^2 + m^2) = k^2 N^2 + m^2 f^2$$

(ω, k and m may be complex for including transient and horizontally/vertically decaying solutions)

Steady viscous solutions

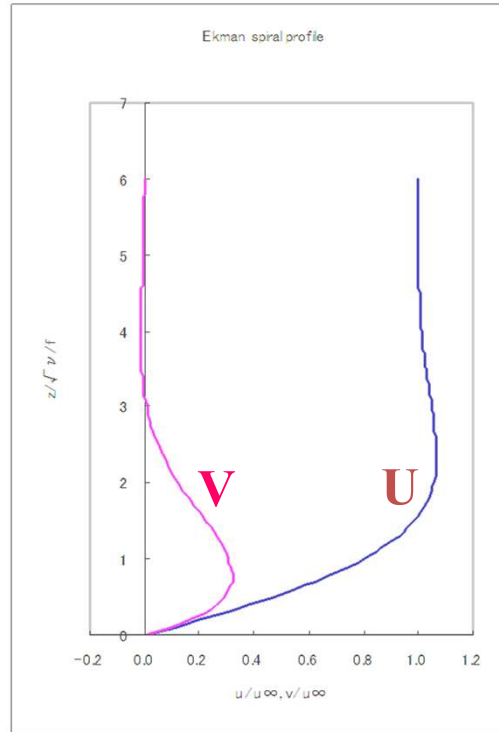
$$\begin{aligned}
 & [-i\omega + K(k^2 + m^2)]^2 \\
 & \cdot [-i\omega + K'(k^2 + m^2)] \\
 & \quad \cdot (k^2 + m^2) \\
 & + N^2[-i\omega + K(k^2 + m^2)] \\
 & + f^2[-i\omega + K'(k^2 + m^2)] = 0.
 \end{aligned}$$

If $\omega, k, N = 0$

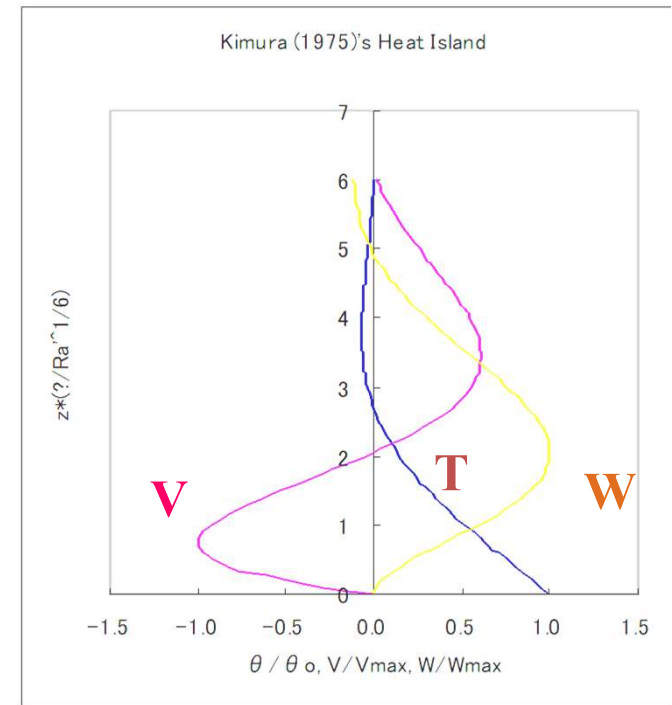
$$m^2 = \pm if/K \quad (\text{Ekman})$$

($|m| \rightarrow 0$ (infinite thickness!) with
 $|f| \rightarrow 0$ at the equator)

**No stratification
(Ekman spiral)**



**No rotation
(Heat Island)**



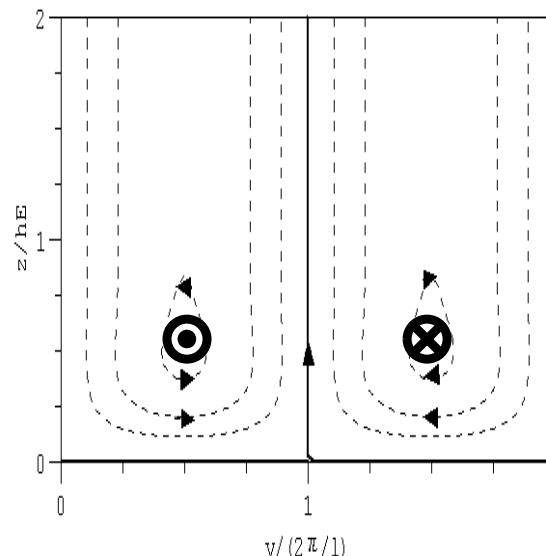
If $\omega, f = 0$

$$\begin{aligned}
 m &= iRa'^{1/6}k, \\
 \frac{\sqrt{3}}{2}Ra'^{-1/6}k &+ i\frac{1}{2}Ra'^{1/6}k
 \end{aligned}$$

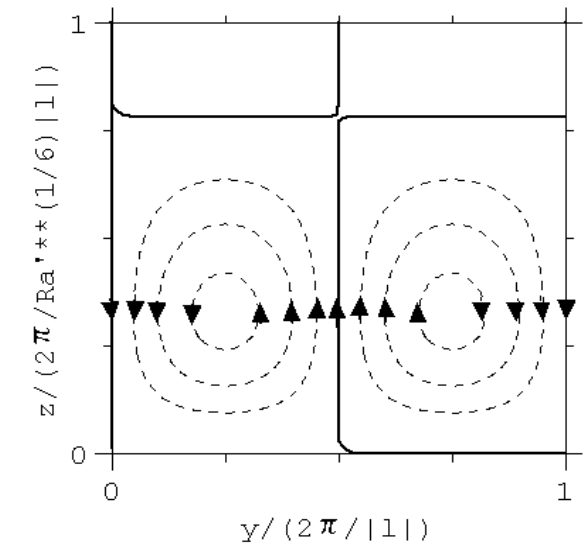
where $Ra' \equiv \frac{N^2/k^4}{KK'}$

(R. Kimura)

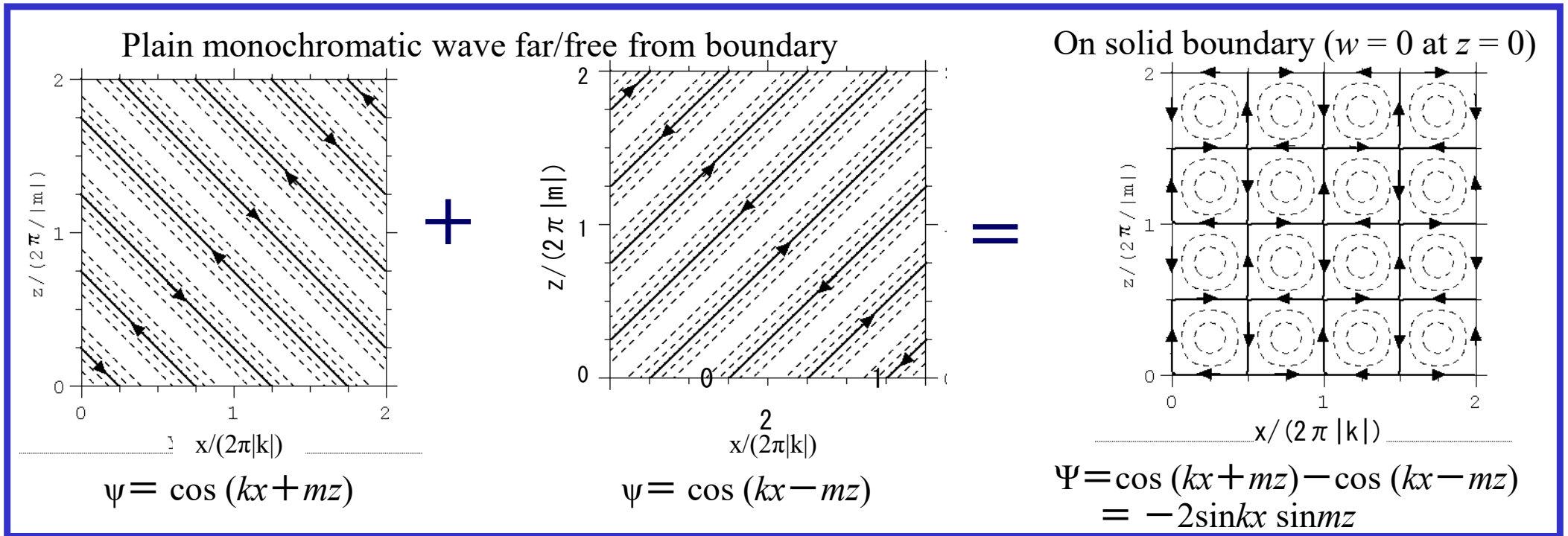
Ekman pumping



Kimura's (1975) Heat Island

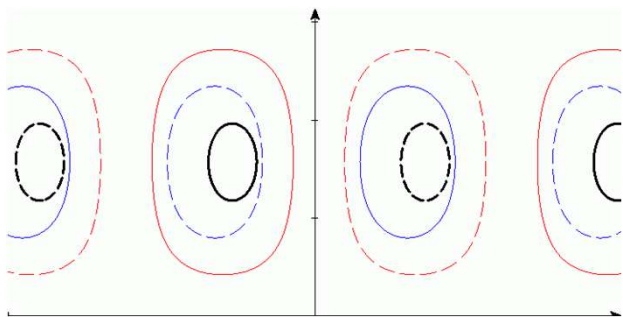
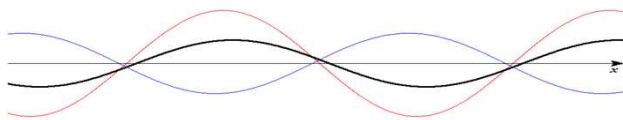


Pair of internal (up-/down-ward propagating) waves = Convection



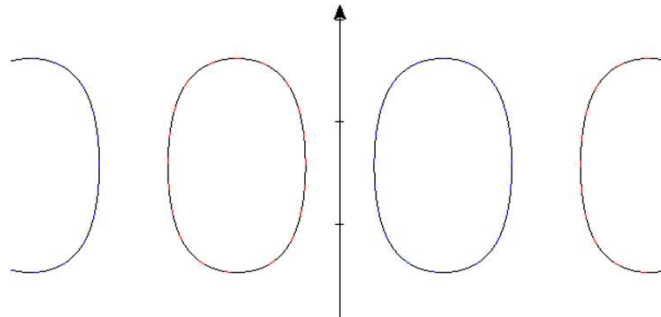
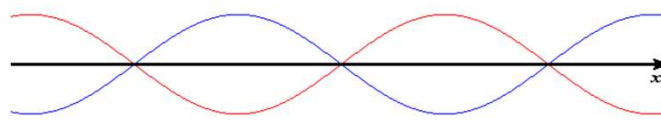
Westward traveling convection

(|Westward| > |Eastward|)



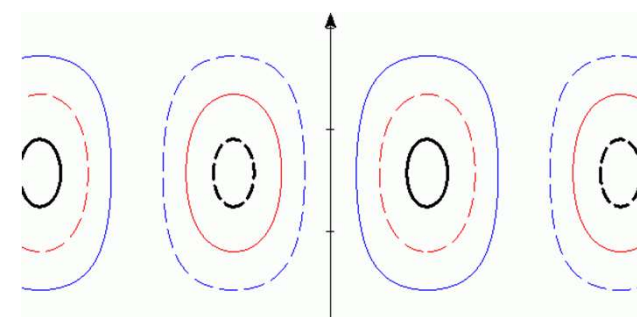
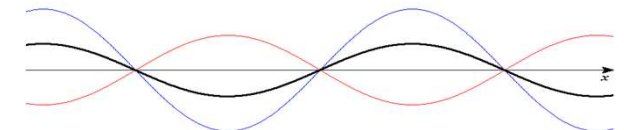
Stationary convection

(|Westward| = |Eastward|)



Eastward traveling convection

(|Westward| < |Eastward|)



Scale analysis of the sea-land breeze circulation

- Simplified assumptions: Sufficiently small amplitude (nonlinear terms = 0), no Coriolis force ($f = 0$), completely two-dimensional ($u = 0$; $|v| = U$), viscosity/diffusion ($F_y = \nu \partial^2 v / \partial z^2$)

Time scale: $|\partial t| = 1/\omega = 24\text{h}/2\pi$; Horizontal scale: $|\partial x| = L$ determined by the scale analysis

Substitution of parameters into the governing equations:

$$(0e) \rightarrow \frac{U}{L} \approx \frac{W}{H} \quad (0c) \rightarrow \frac{U\omega}{H} \approx \frac{g\Delta T}{T_{00}L} \quad (0d) \rightarrow \omega\Delta T \approx \frac{WN^2T_{00}}{g} \approx \frac{\nu_H\Delta T}{H^2}$$

$$\rightarrow H \approx \left(\frac{\nu_H}{\omega}\right)^{1/2} : \text{ (thickness of a cyclic boundary layer on infinite land)}$$

$$\rightarrow L \approx \frac{HN}{\omega} \approx N\nu_H^{1/2}\omega^{-3/2} \quad U \approx \frac{g\Delta T}{NT_{00}} \quad W \approx \frac{\omega}{N}U$$

- Same as internal gravity waves (frequency ω , vertical wavelength H)

Horizontal wavelength: $L = HN/\omega$,

Convection = superposition of upward/downward waves (Rotunno, 1982; Niino, 1982)

- Wind strength U : dependent on the sea-land temperature difference ΔT

$$\omega = 7.3 \times 10^{-5}\text{s}^{-1}, \nu_H = 10 \text{ m}^2\text{s}, \Delta T = 5^\circ\text{C}, N = 10^{-2}\text{s}^{-1}$$

$$\rightarrow H = 370 \text{ m}, L = 51 \text{ km}, U = 17 \text{ ms}^{-1}, W = 0.12 \text{ ms}^{-1}$$

- Nonlinear/linear terms $\approx \left|u \frac{\partial \eta}{\partial x}\right| / \left|\frac{\partial \eta}{\partial t}\right| \approx \frac{U}{\omega L} \approx g \frac{\Delta T}{T_{00}} N^{-2} \left(\frac{\omega}{\nu_H}\right)^{1/2} = 4.5$

Sea-breeze “head”: large $u \frac{\partial T'}{\partial x} \rightarrow$ “frontogenesis” \rightarrow **Strong convective cloud (thunderstorm)**

Modeling of the sea-land breeze circulation

- A pioneering study (Estoque, 1962; Bay of Manila)

Land surface temperature: Diurnal cycle of 10°C

Sea surface temperature: constant

Results are shown for maximum sea breeze in the afternoon

- (a) No back ground wind :

Maximum sea wind 10 ms^{-1} at 250 m height

Sea-breeze front: 32 km from the coastline

U, T' : large gradient, $W \sim 0.1\text{ ms}^{-1}$

V (along coastline) due to Coriolis force ($V < 0$ for $U > 0$)

- (b) Landward background wind:

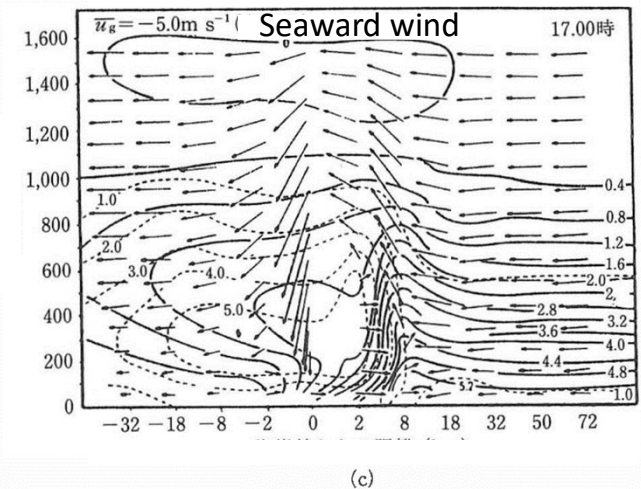
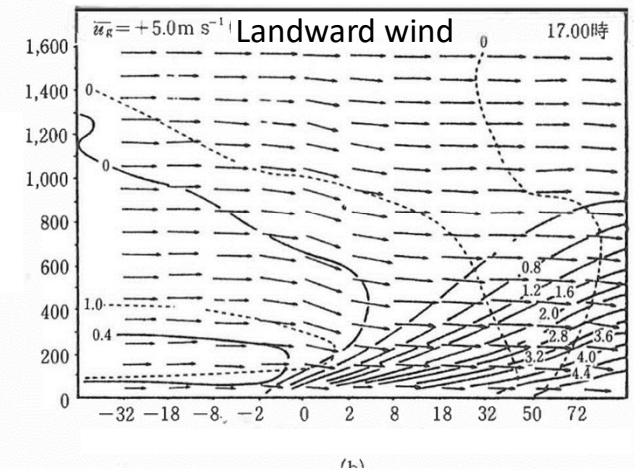
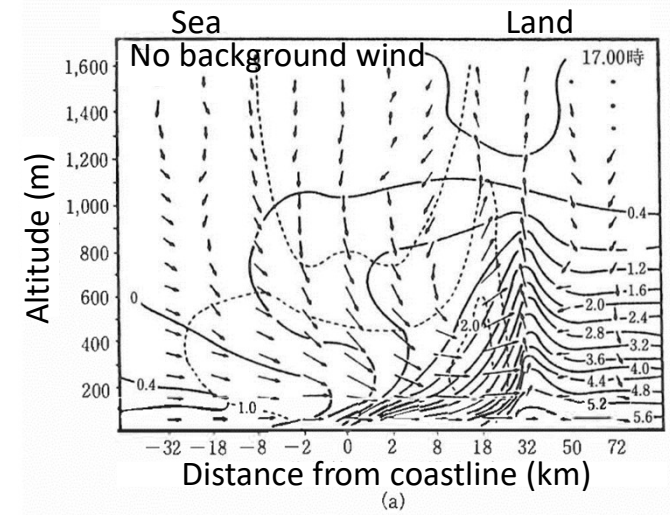
Larger intrusion of the sea breeze (unclear front)

- (c) Seaward background wind:

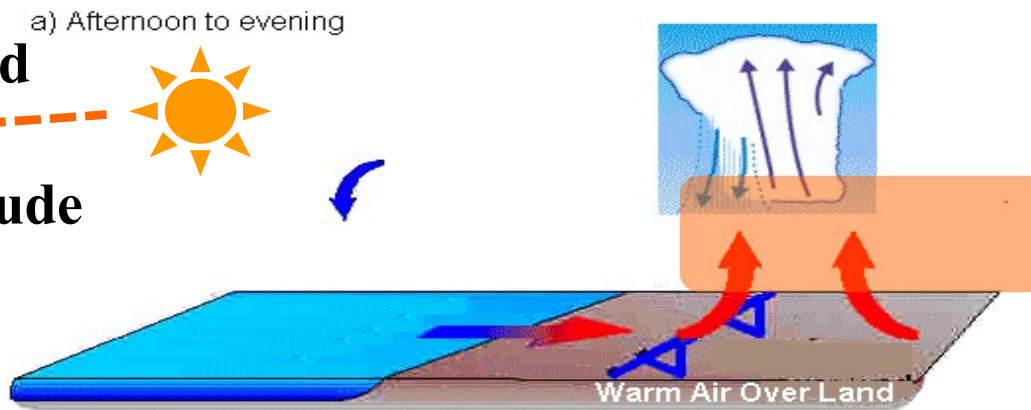
Stronger front and upstream near the coastline

- Land breeze weaker than sea breeze

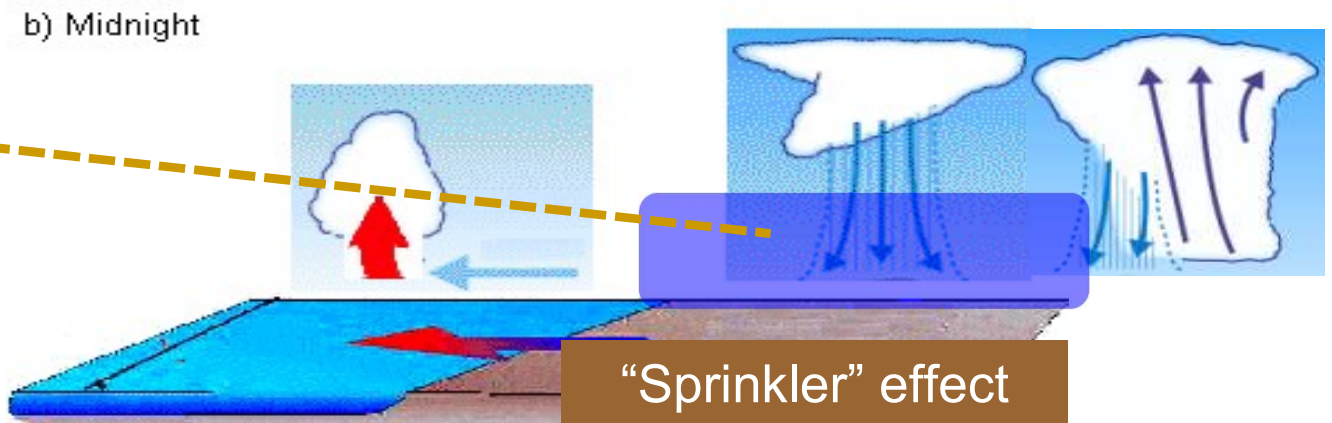
(However, the mountain and “sprinkler” effects may enhance the land wind in case of Indonesian Maritime Continent)



Noontime clear sky over land permits solar heating dependent on season & latitude → “rainy season” as southern hemisphere



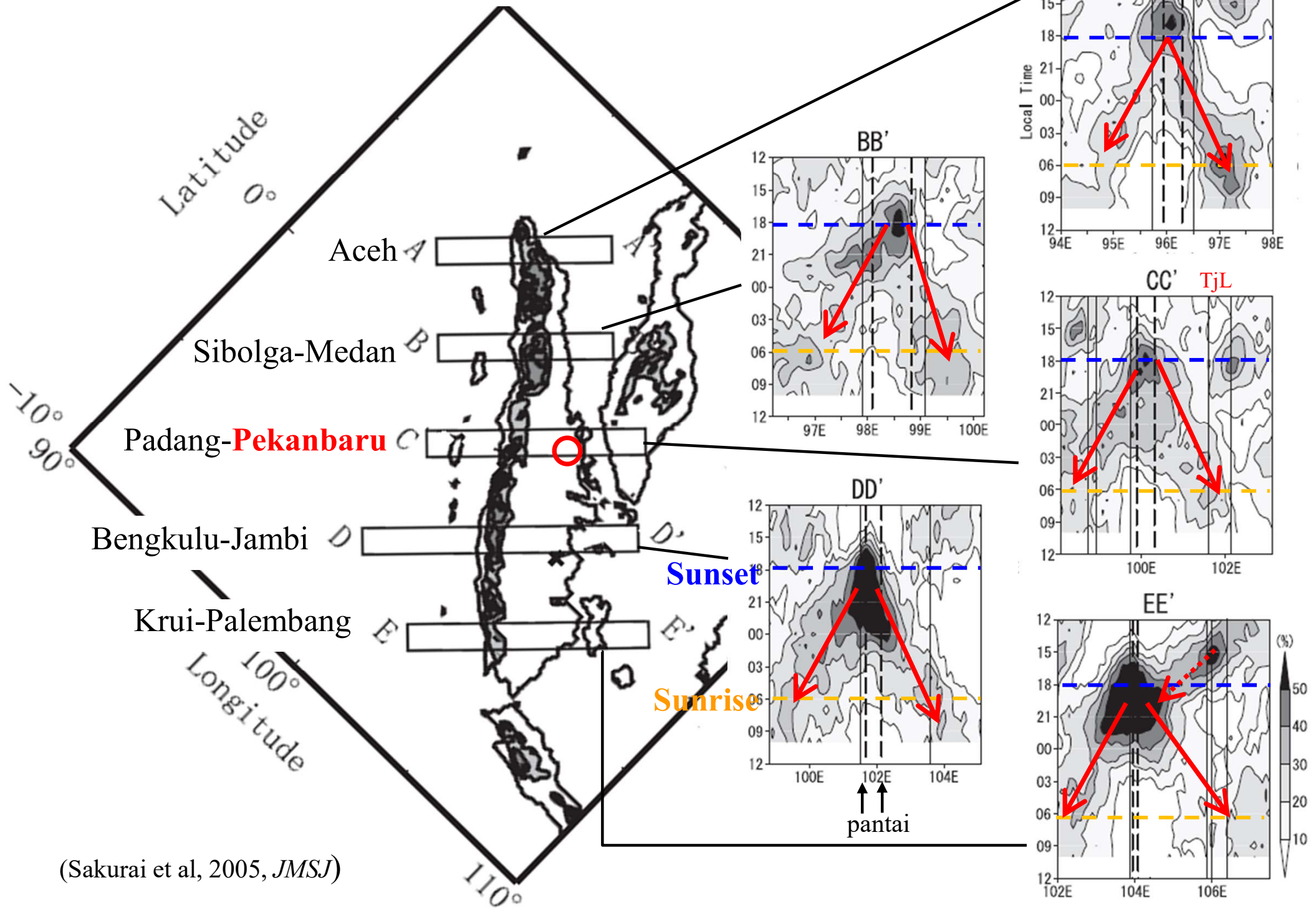
Rainfall cools land → Dominant diurnal cycle even in rainy day/season



Sea water temperature determines intensity of morning maritime convection → Less rainfall in El Nino and More rainfall in La Nina



Satellite tracking of rain cloud migration

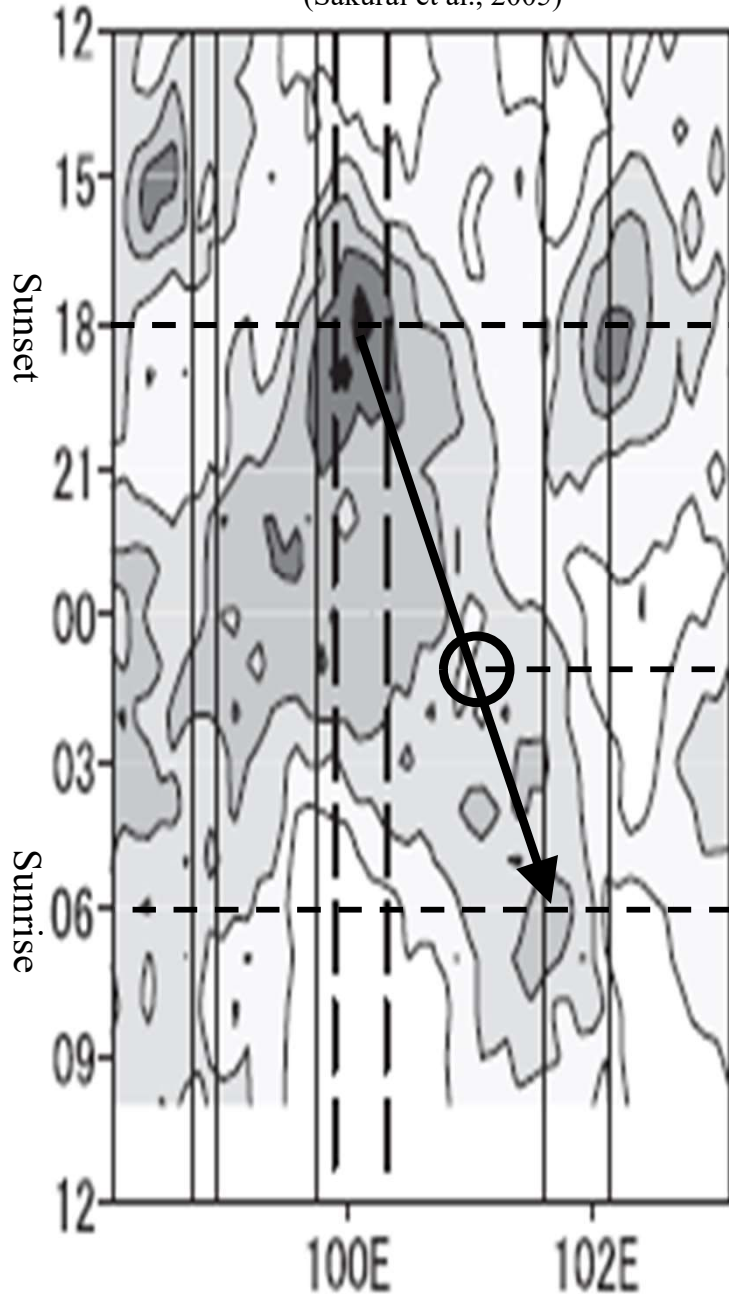


(Sakurai et al, 2005, *JMSJ*)

Sumatran diurnal cycle and Riau peatland rainfall

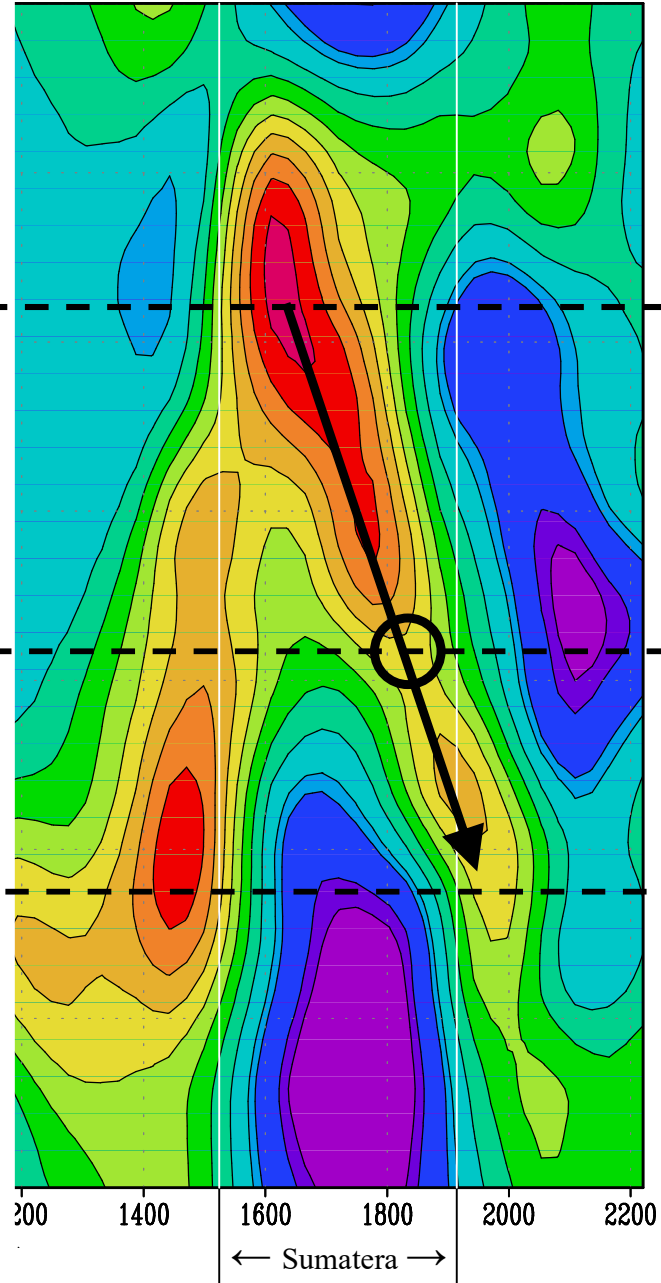
GMS IR cloud top

May 2001 – April 2002
(Sakurai et al., 2005)



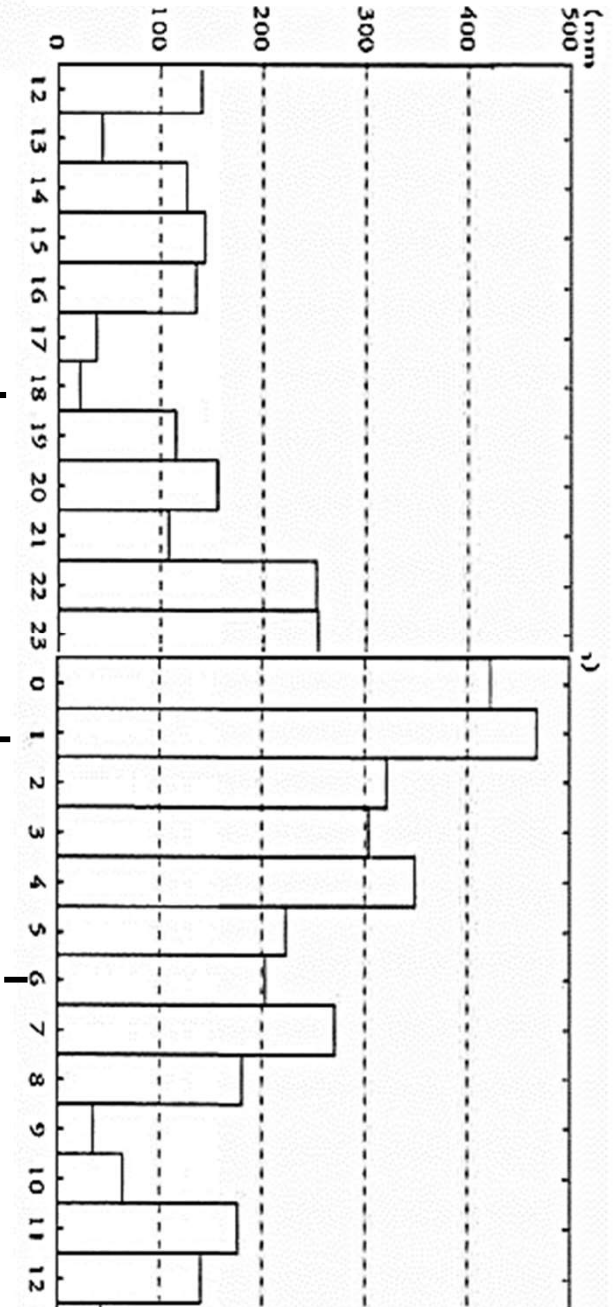
TRMM PR rain intensity

1998 – 2000
(Mori et al., 2004)

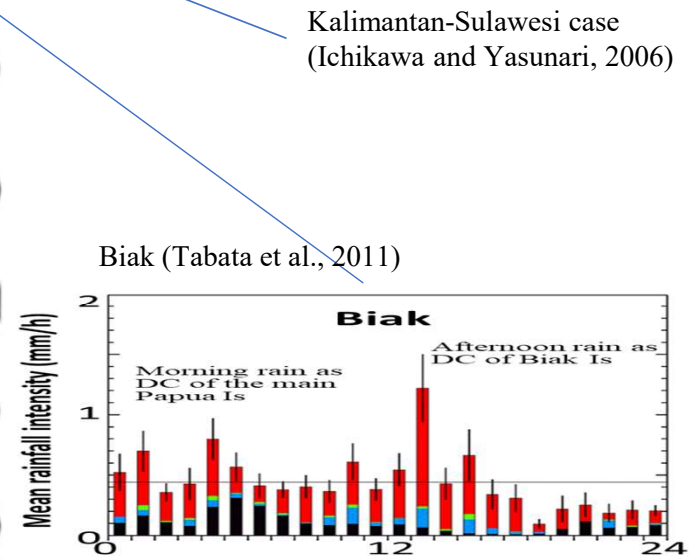
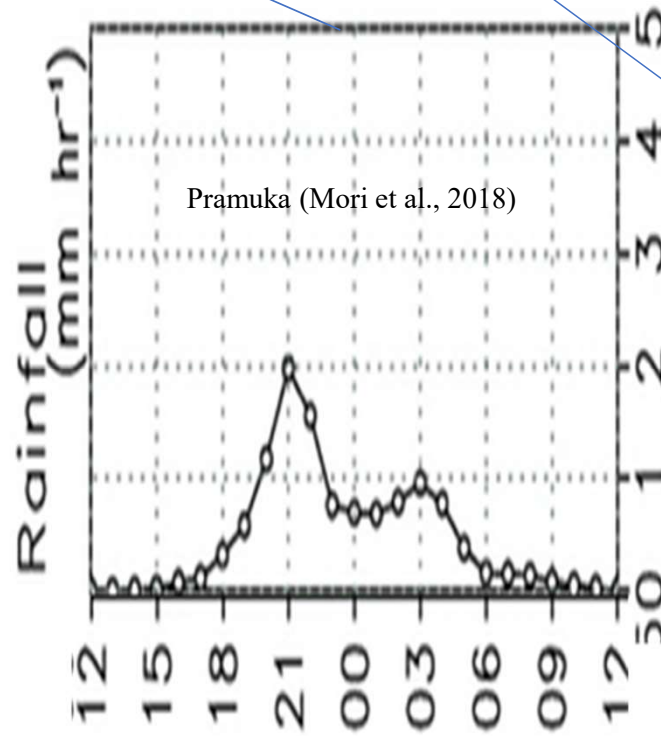
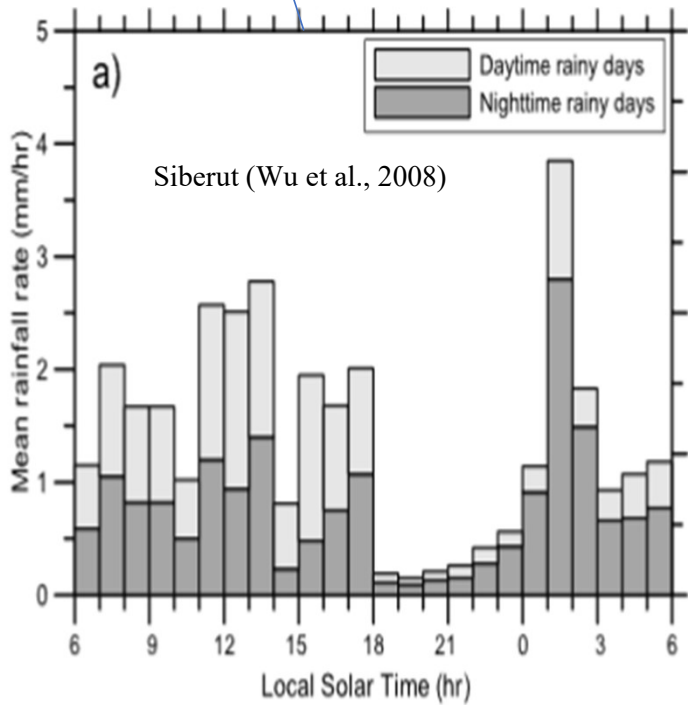
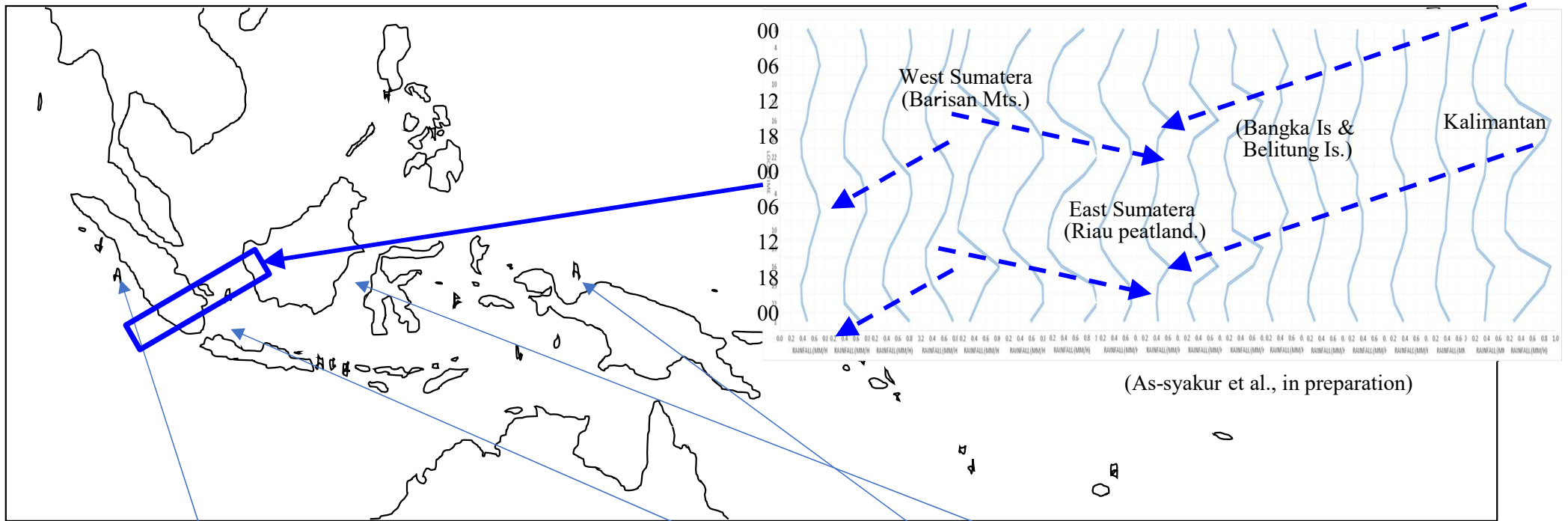


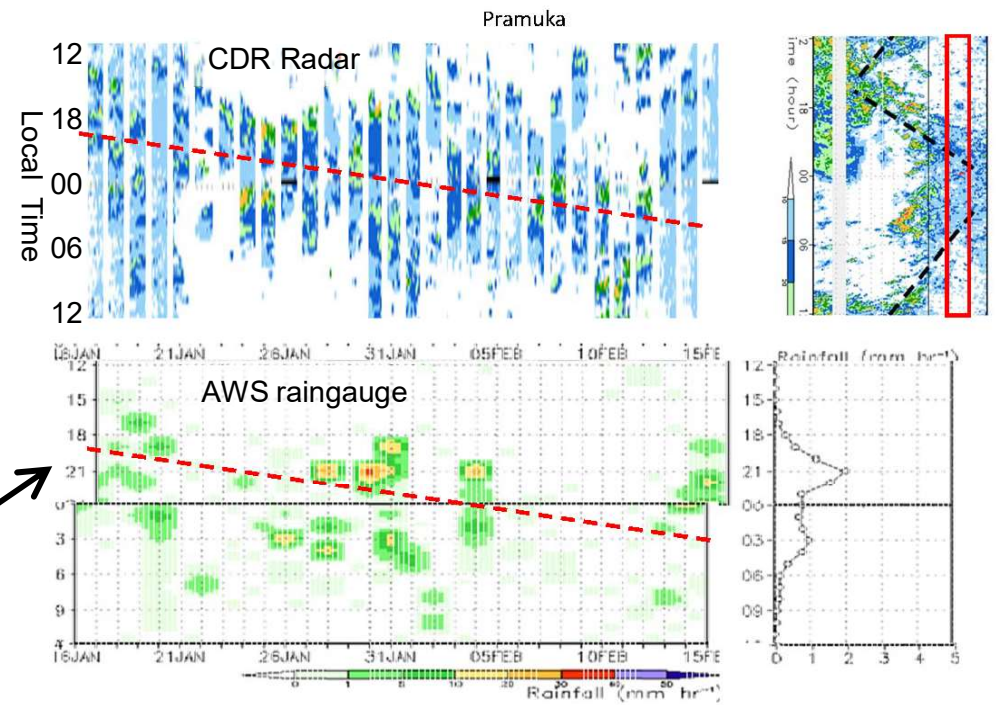
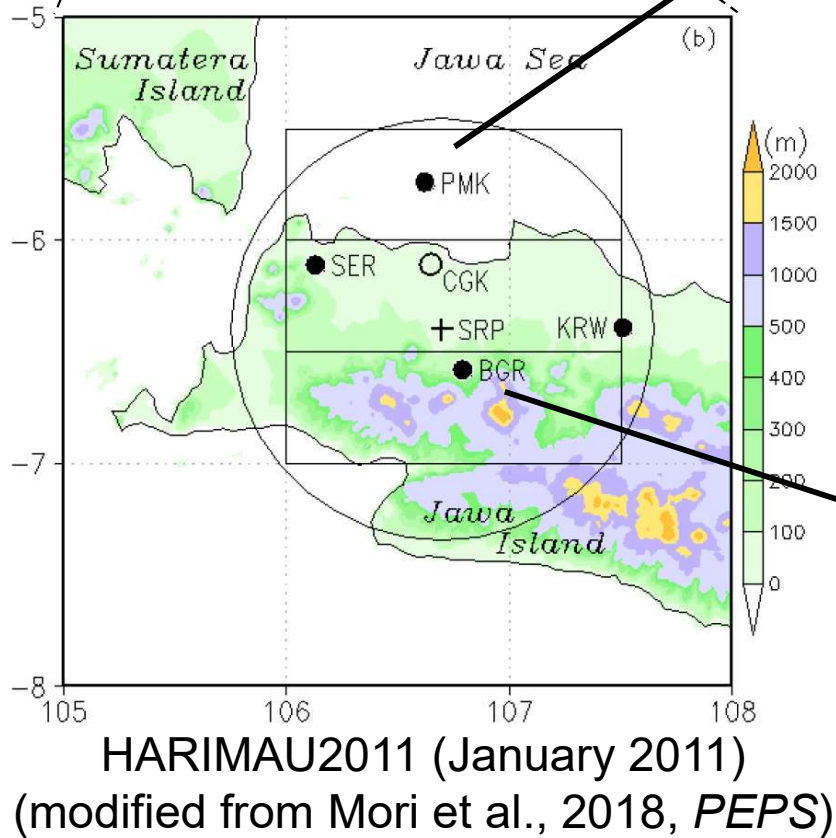
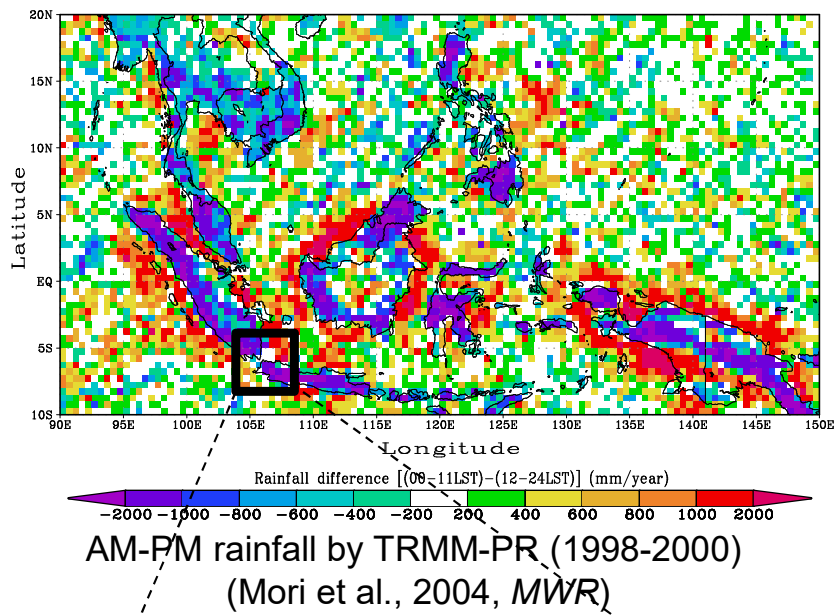
Hourly rain at Bukit Batu

6 Nov 2009 – 23 Apr 2011 (kozan, 2012)

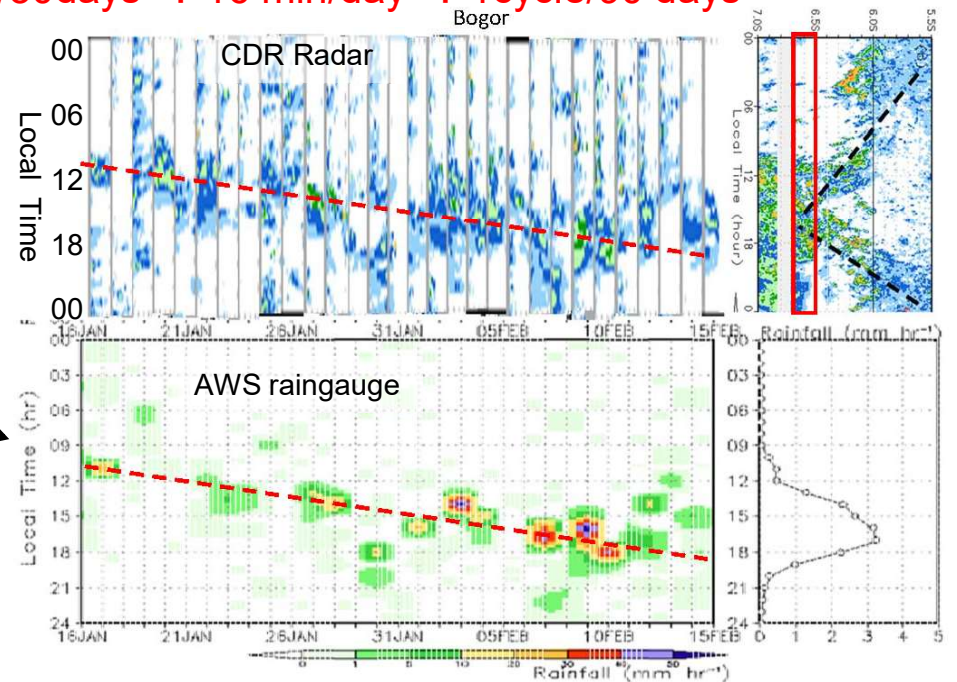


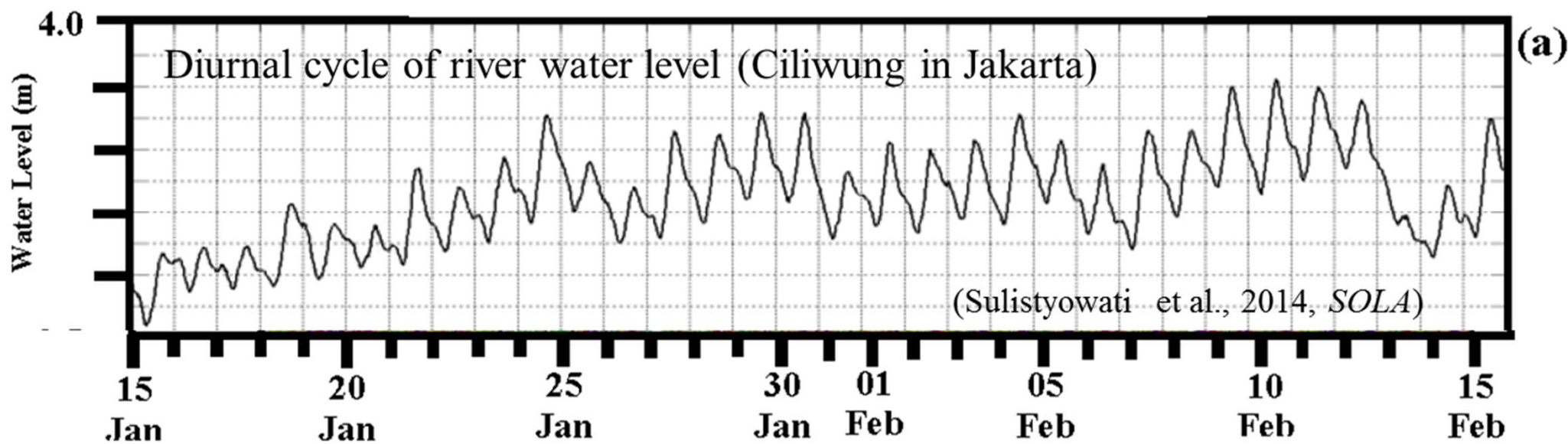
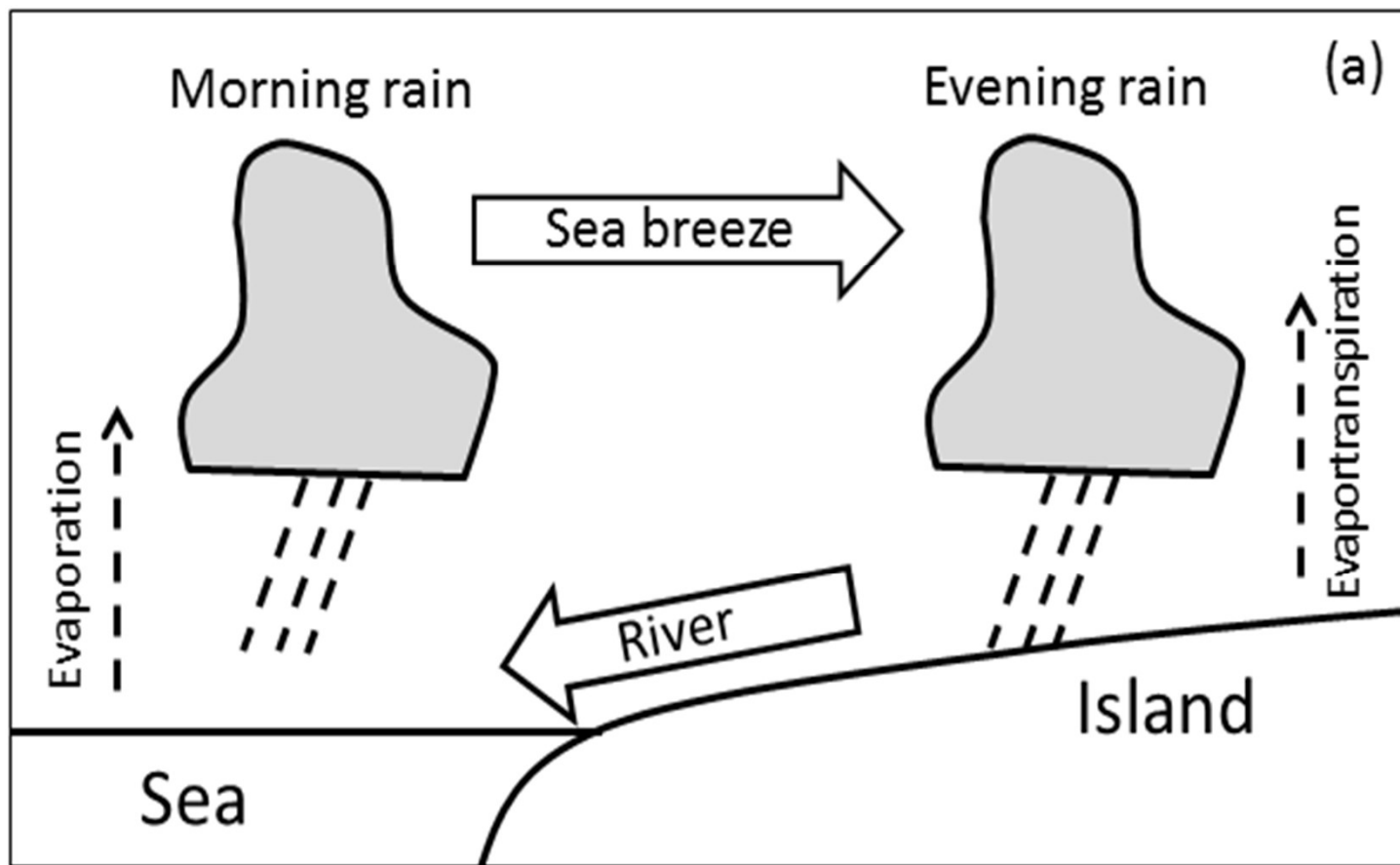
Diurnal cycle interactions at small/large islands





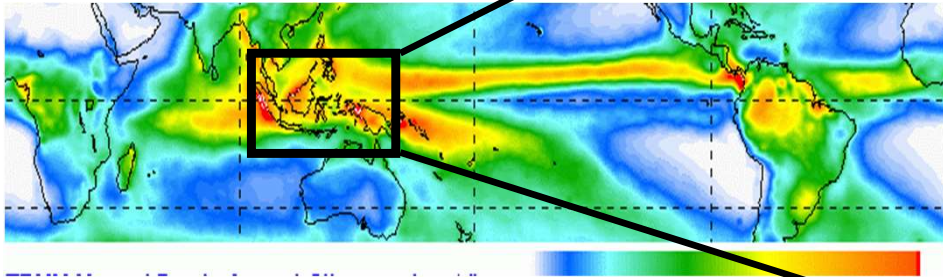
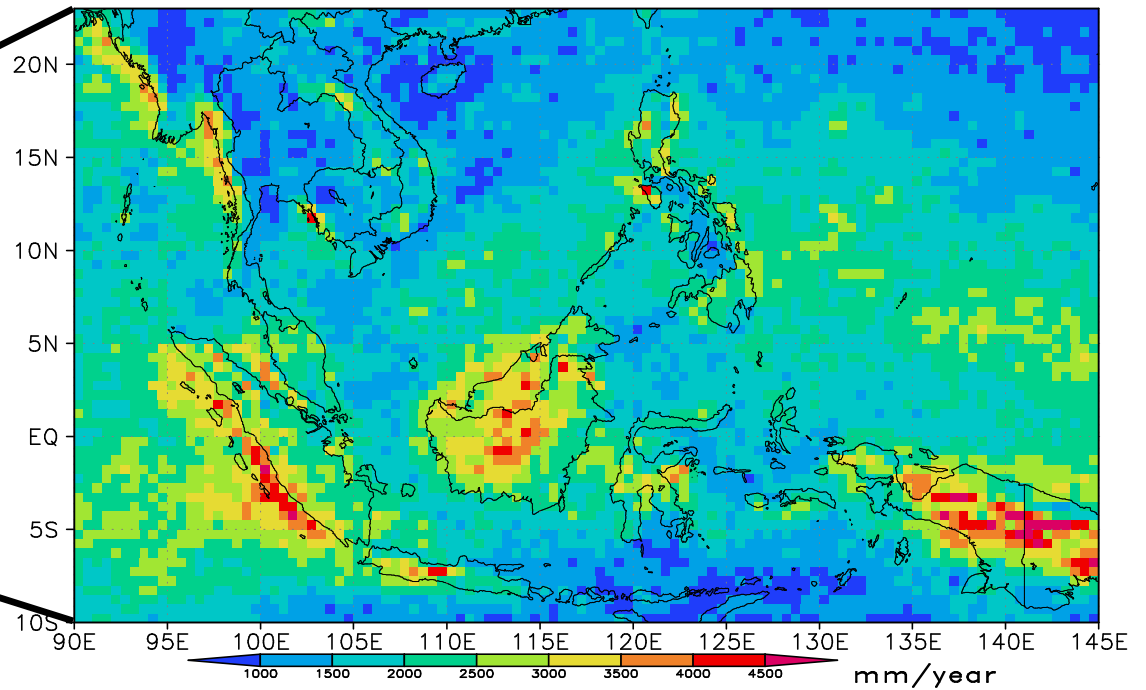
Delay of daily rain peak (due to intraseasonal variation?)
 $8\text{h}/30\text{days} \doteq 16\text{ min/day} \doteq 1\text{ cycle}/90\text{ days}$



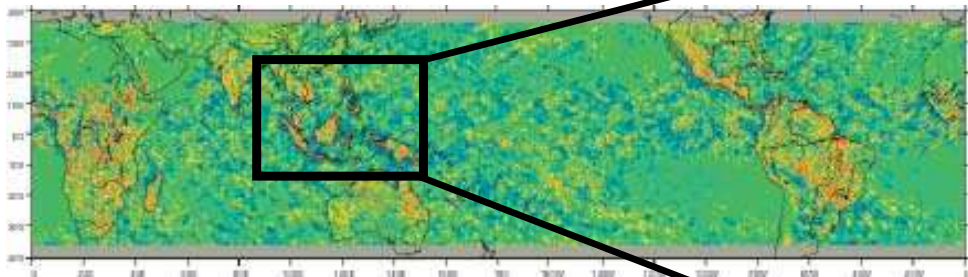


Equatorial rainfall Diurnal → Coastal

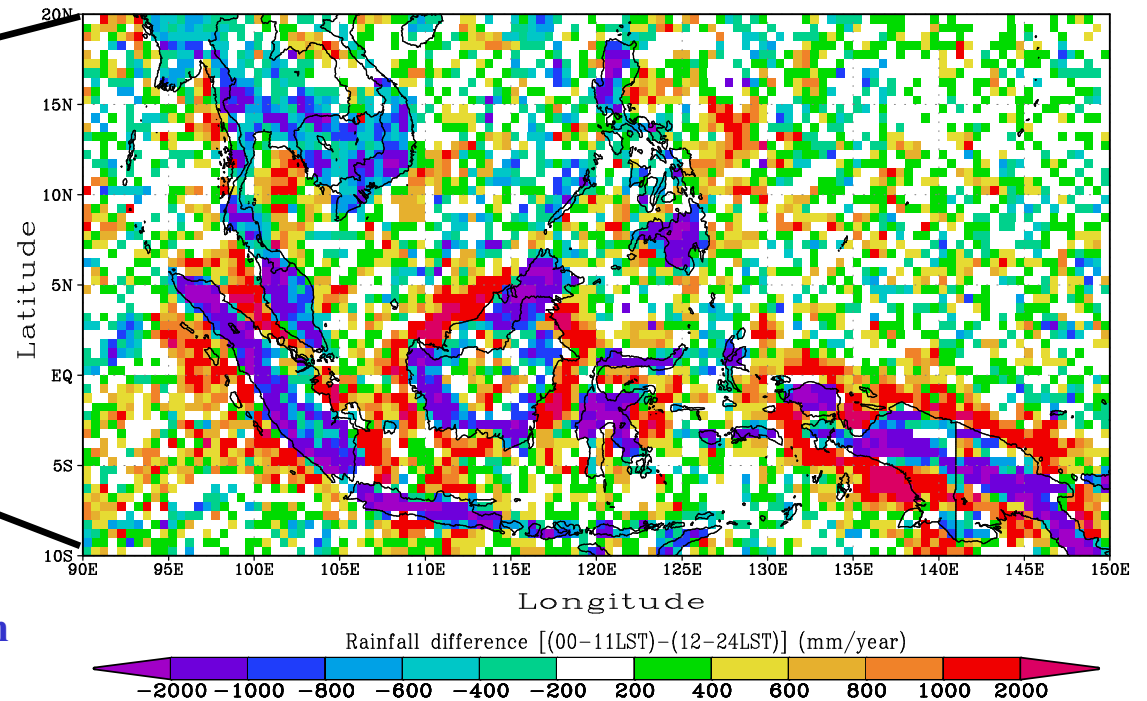
Annual Rainfall (1998.1~2005.12 average, TRMM 3A25G2)



Correlation between **annual rainfall** and **diurnal cycle** dominance of rainfall



(Hirose & Nakamura, 2004, *J. Appl. Meteor.*)

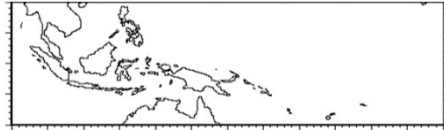


Morning Rain — **Evening Rain**

(Mori et al., 2004, *Mon. Wea. Rev.*)

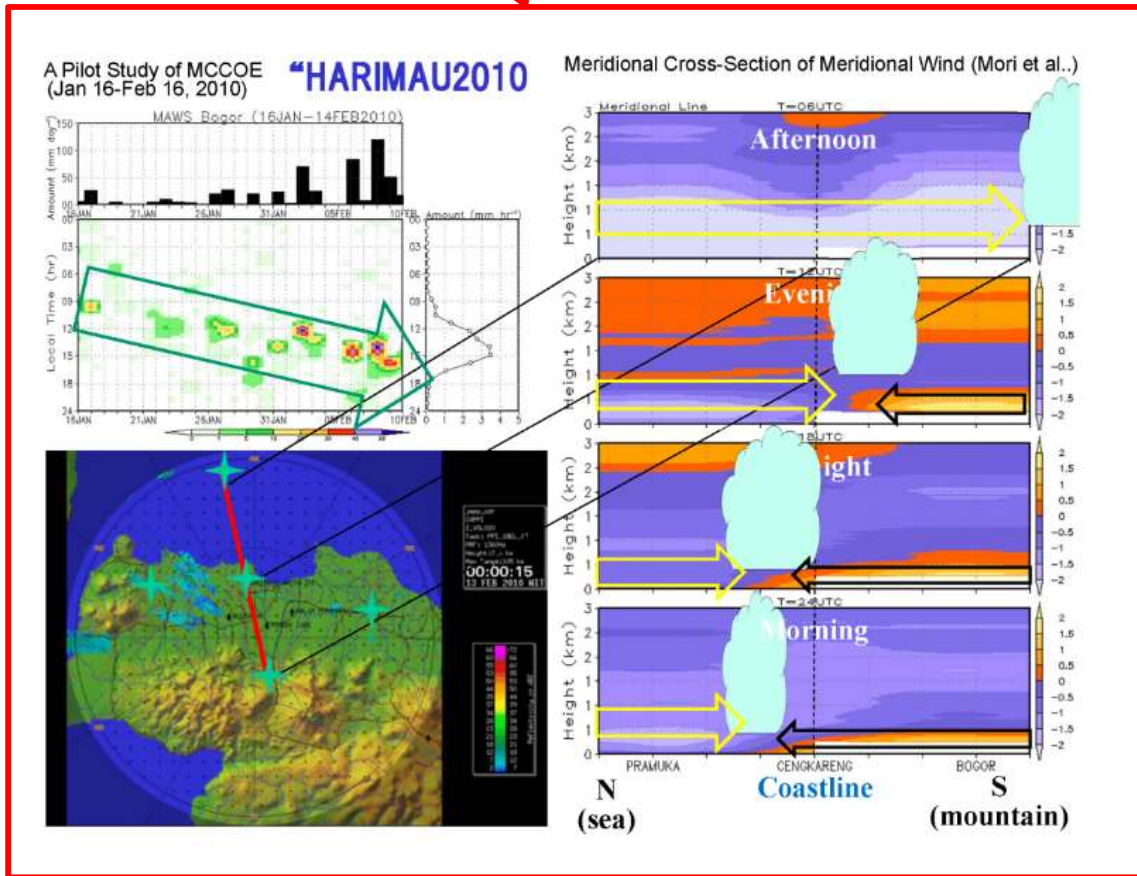
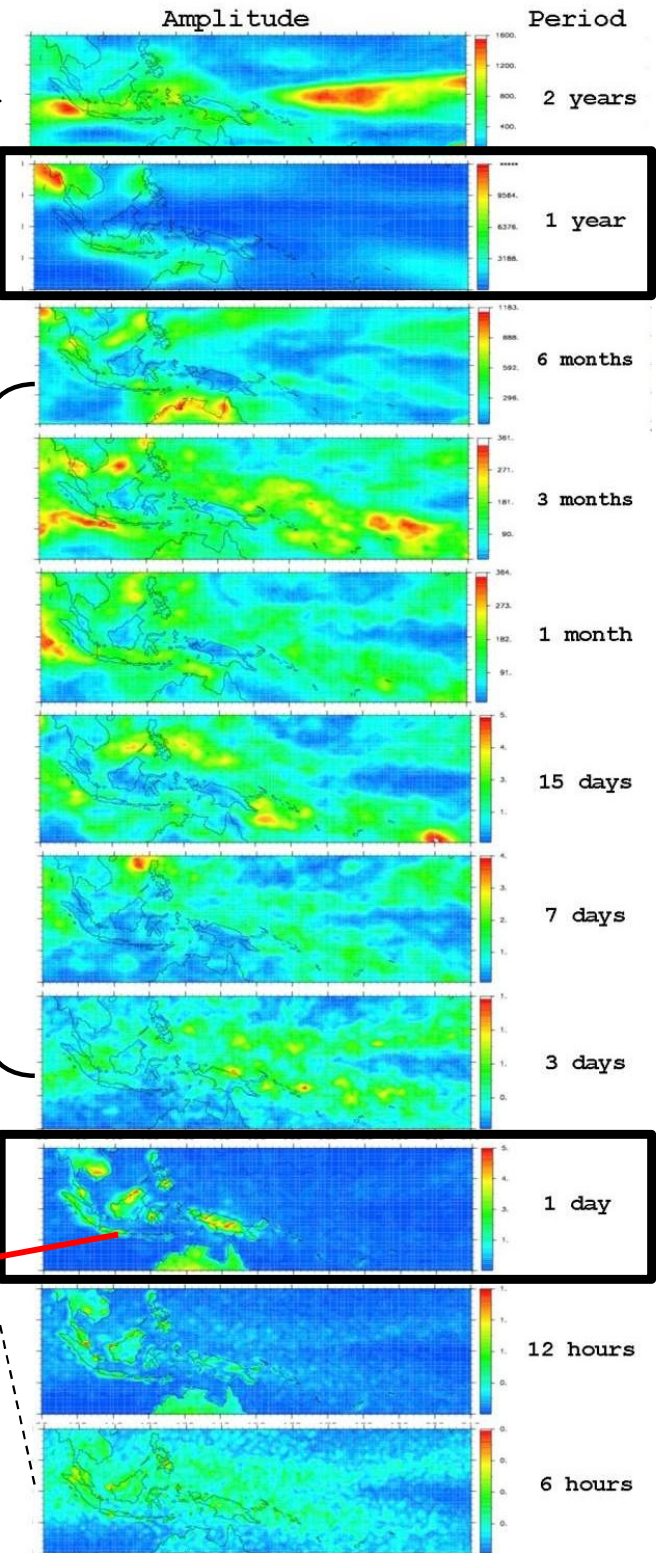


Spectral distribution of GMS cloud height



Interannual, intraseasonal & subdiurnal variations over oceans

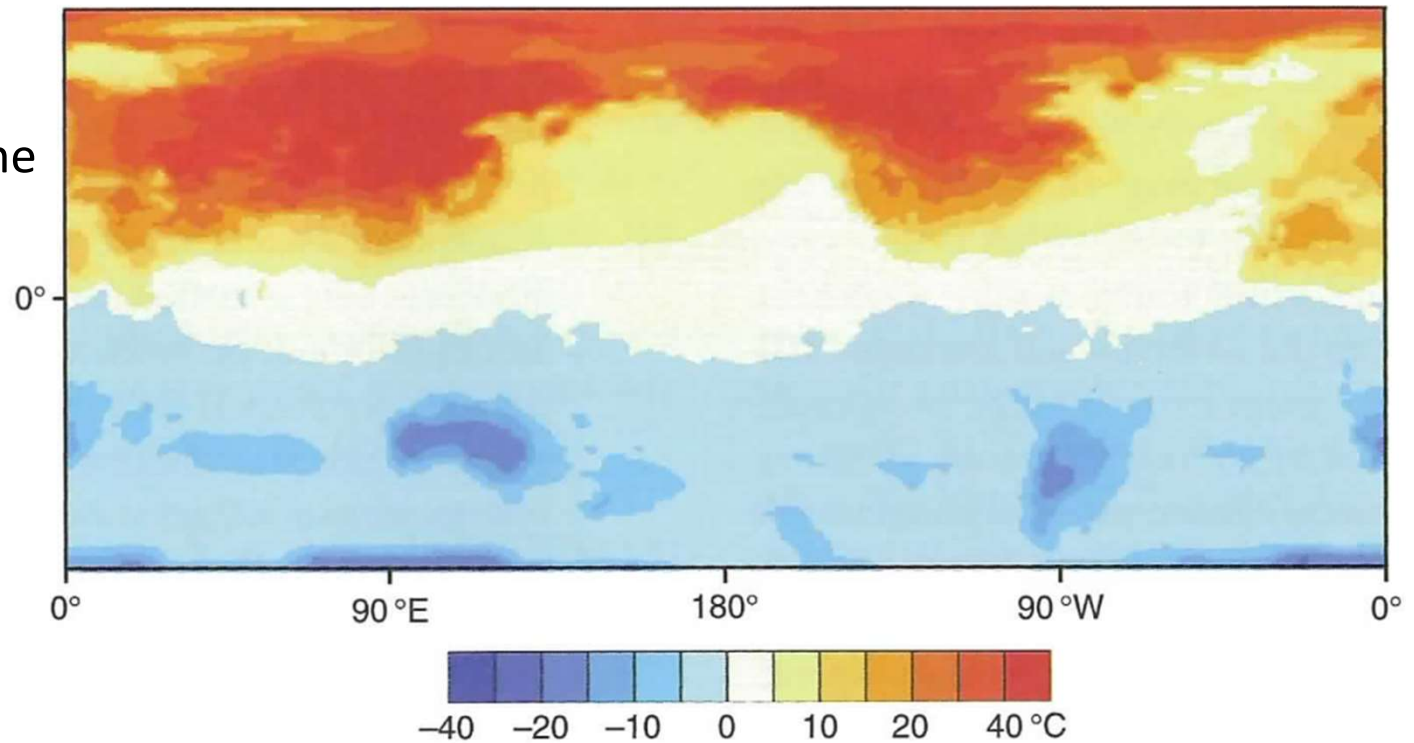
Annual & Diurnal cycles around lands



Seasonal/diurnal cycles by land-sea contrast

“Find the continents” game
July – January

(Wallace & Hobbs, 2006;
original by Mitchel)



“Find IMC” game
Monthly-mean
hourly cloud height

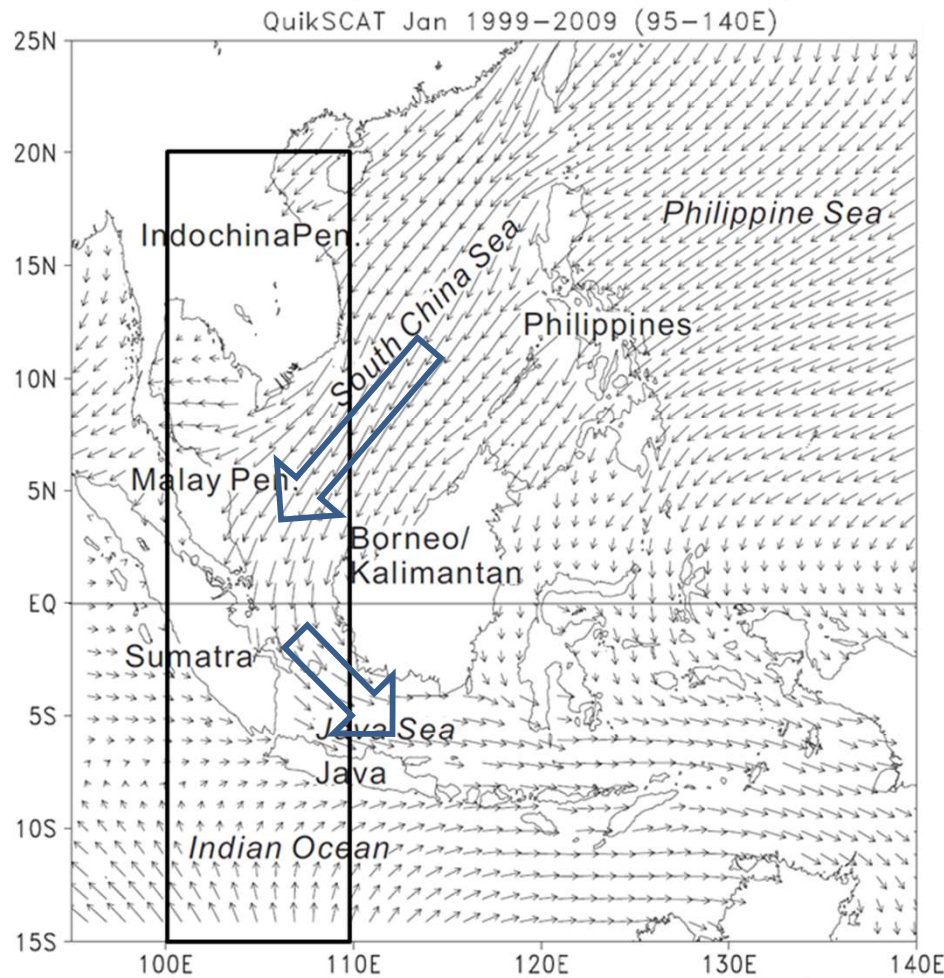
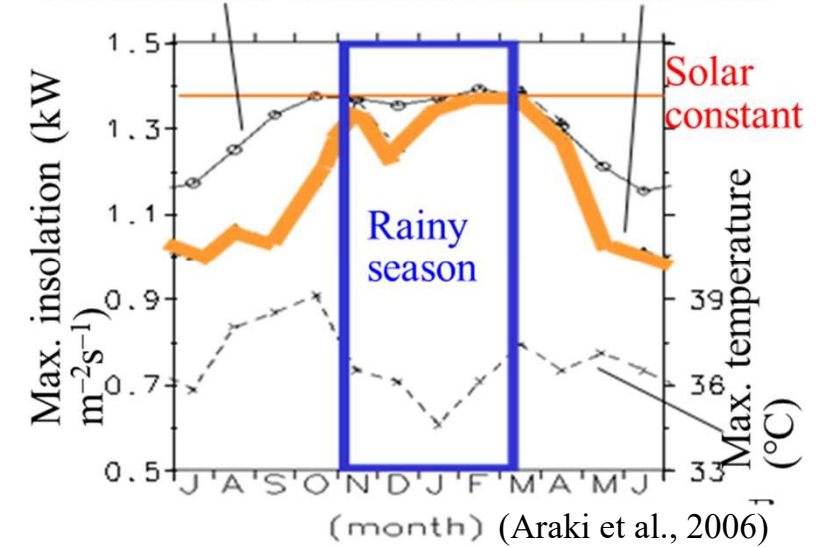
(Suga et al., 2010; cf.
Mori et al., 2004)



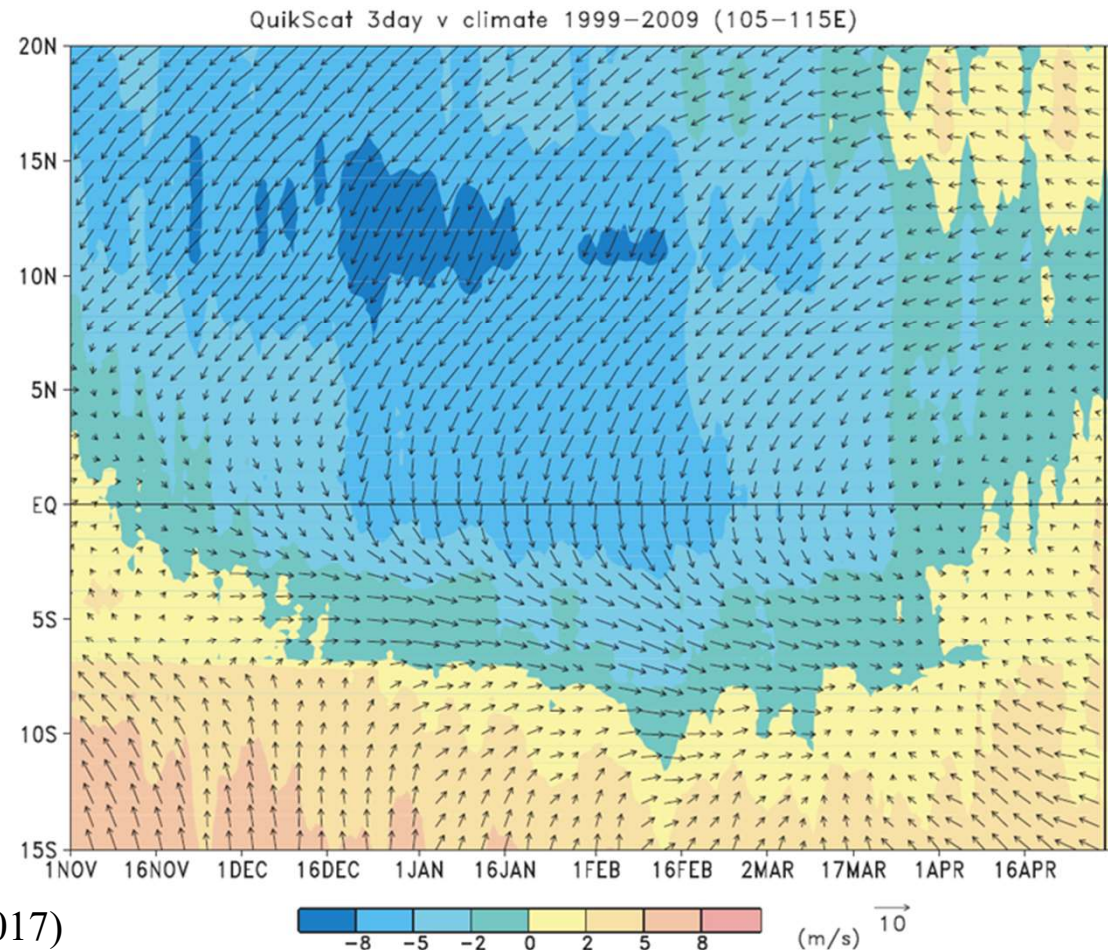
Diurnal cycle enhancing seasonal cycles

- Noon insolation before could parasol effect → seasonal cycle
 - **Rainy in each hemispheric summer** (Semiannual near equator) (Hamada et al., 2002; Aldrian & Susanto, 2003)
 - Cloud parasol effect: after could generation mainly afternoon
- Monsoon in the western IMC (Matsumoto & Murakami, 2000)
 - Eurasia-Indian Ocean location enhancing hemispheric contrast
 - Coriolis force → NE-SW / NW-SE wind in N / S hemispheres
 - N-winter “**cold surge**” → wet on E/S-China & Jawa Seas → flooding diurnal rain in Jakarta (Wu et al., 2007, 2013)

Astronomical calculation Radiometer obs.



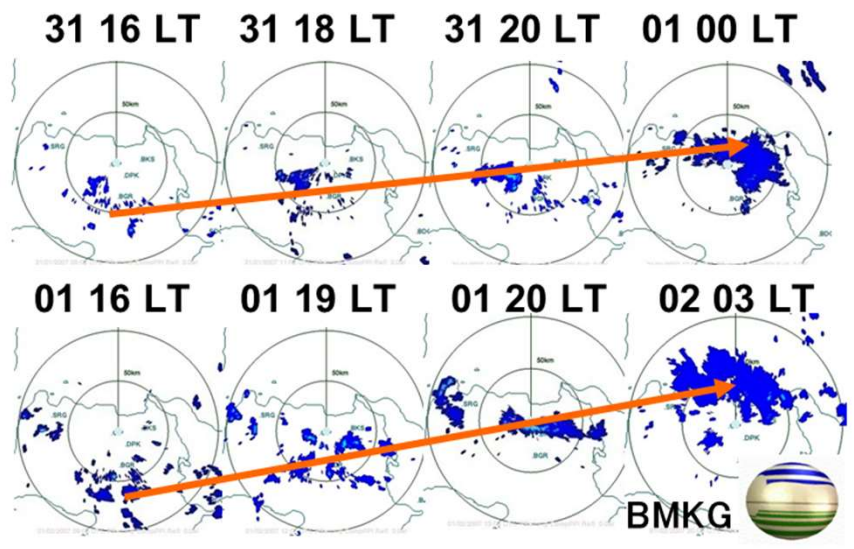
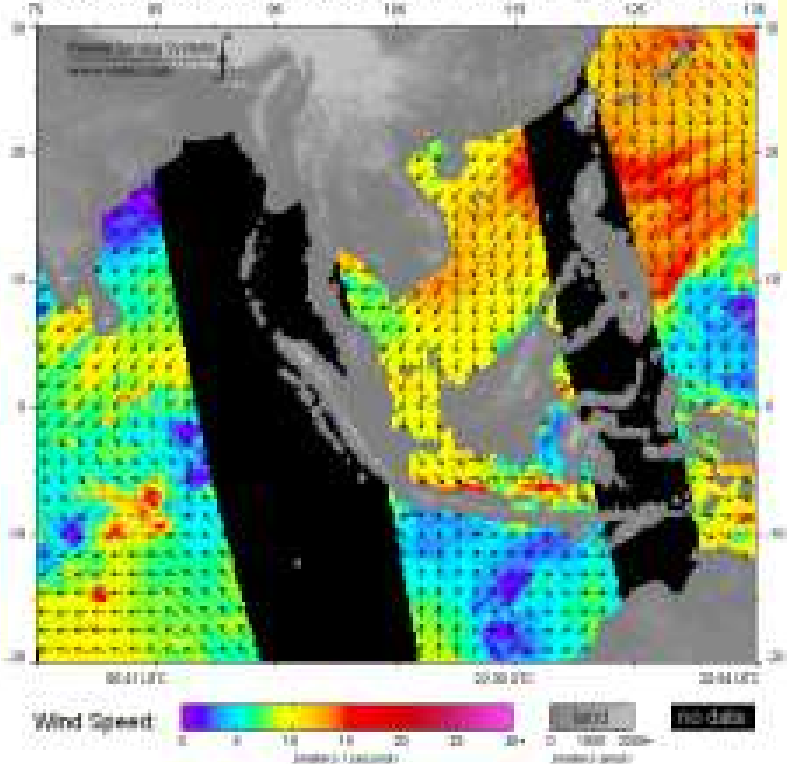
(Hattori et al., 2011; Matsumoto et al., 2017)



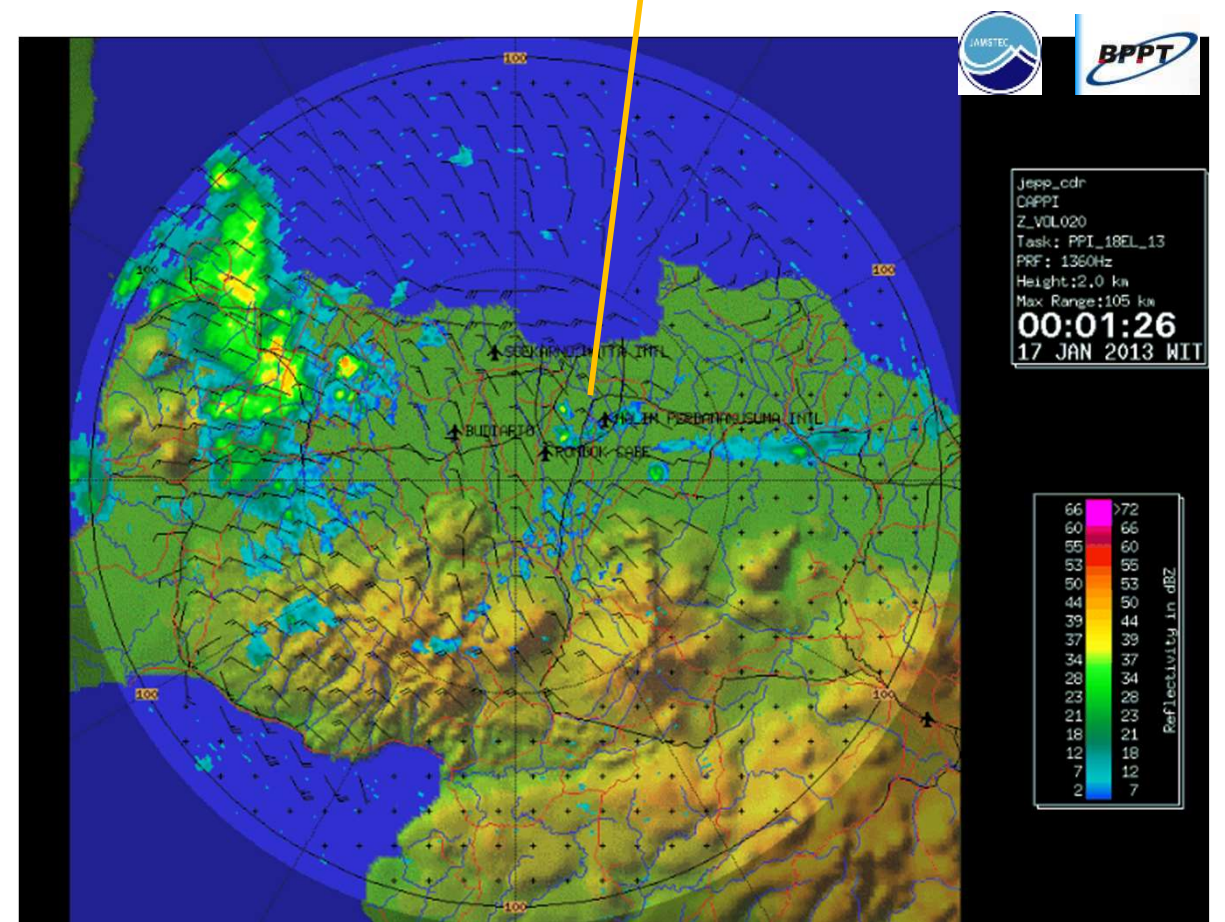
Jan-Feb 2007

La Nina
 + Monsoon
 + MJO
 (Indian Ocean eastward cloud)
 + Local Diurnal Cycle

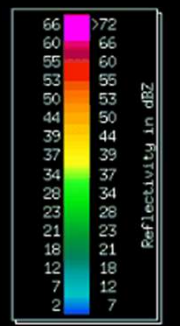
QuikScat wind vectors: 2007/02/01 - morning passes - South East Asia



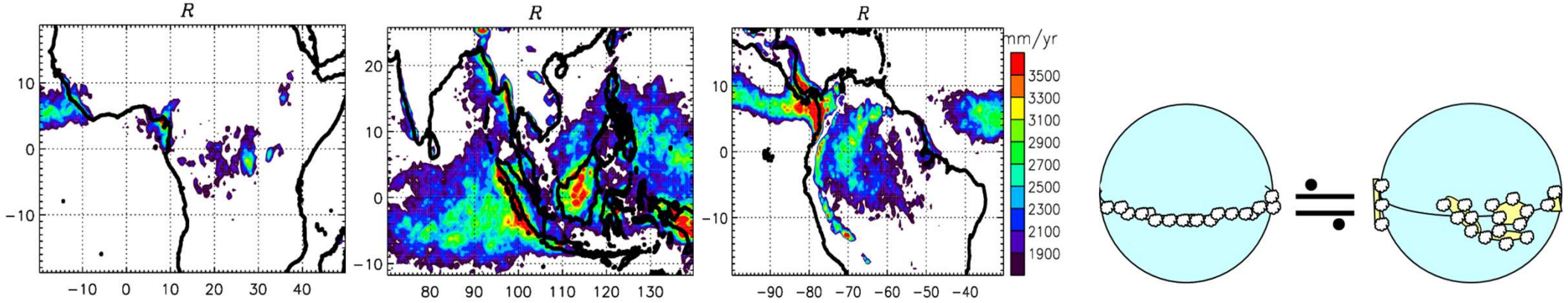
Jan 2013



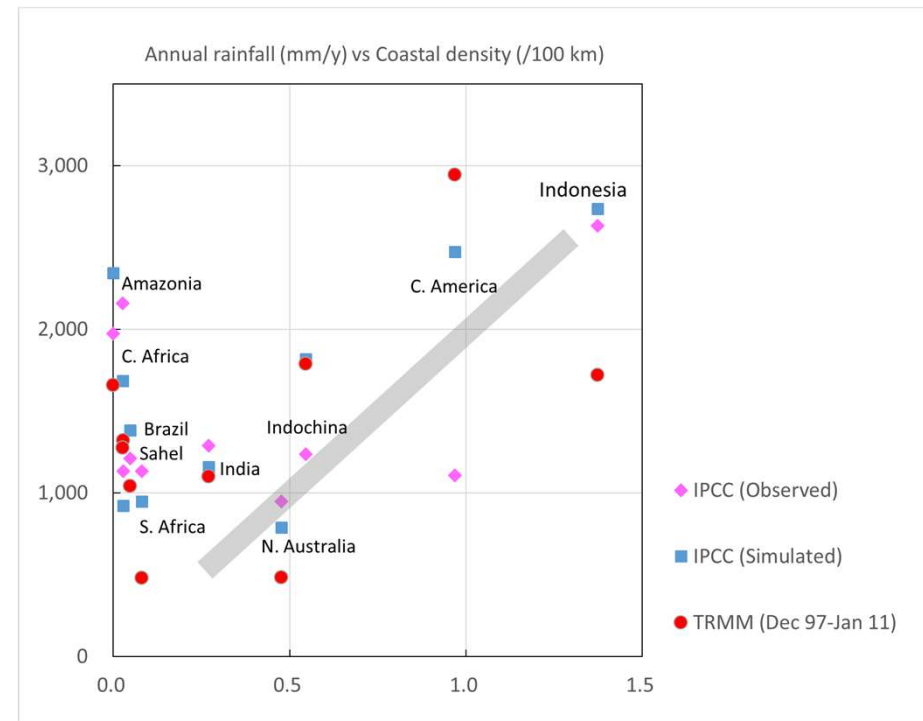
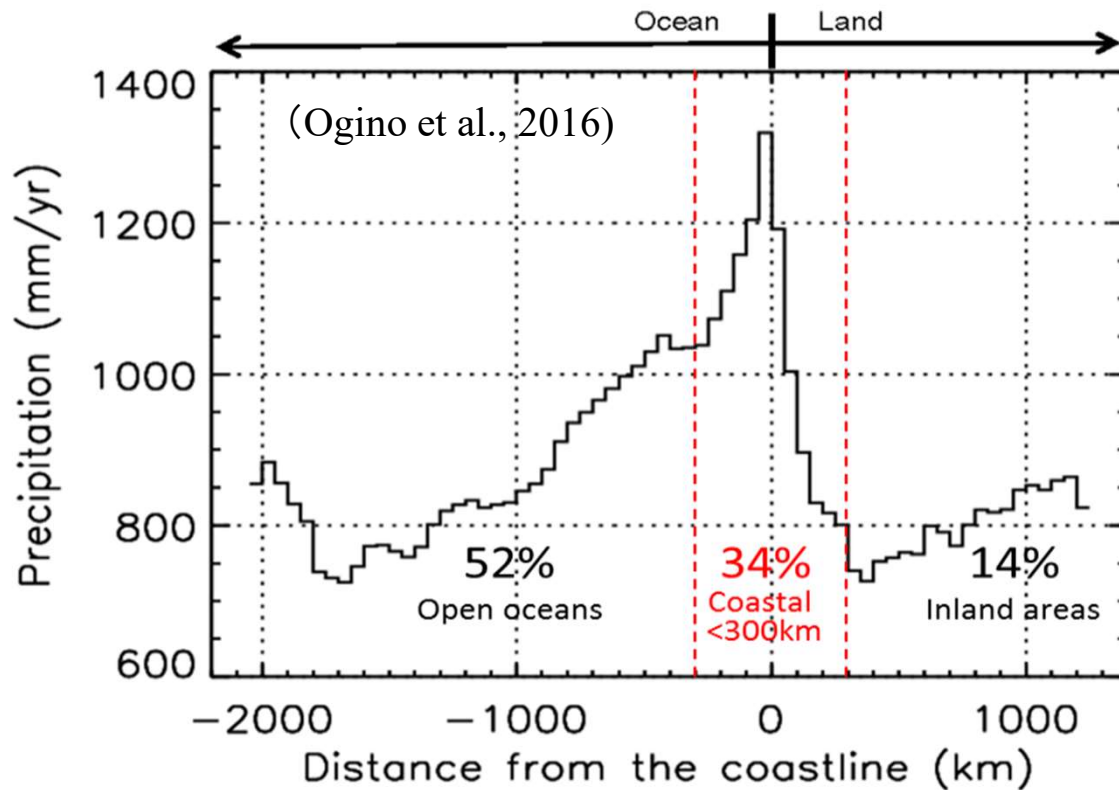
Jepp_cdr
 CAPPI
 Z_VEL020
 Task: PPI_18EL_13
 PRF: 1360Hz
 Height: 2.0 km
 Max Range: 105 km
00:01:26
 17 JAN 2013 WIT



Tropical convection/rainfall as functions of coastline distance/length



(TRMM, Dec 1997-Jan 2011, 37S-37N; Ogino et al., 2016, *JC*)

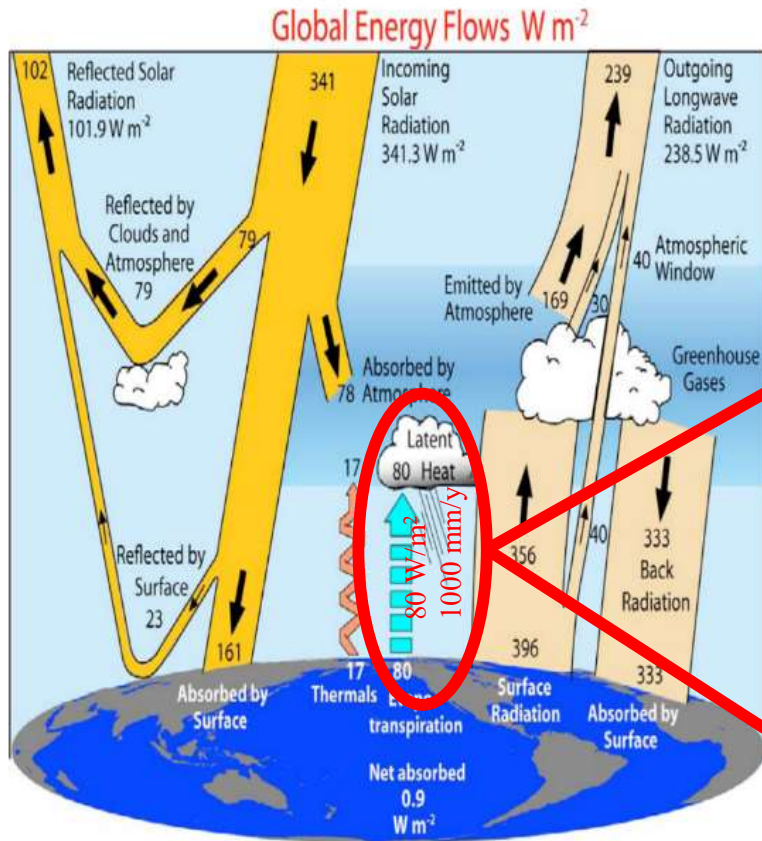


(Yamanaka, 2016, *AR*, invited overview)

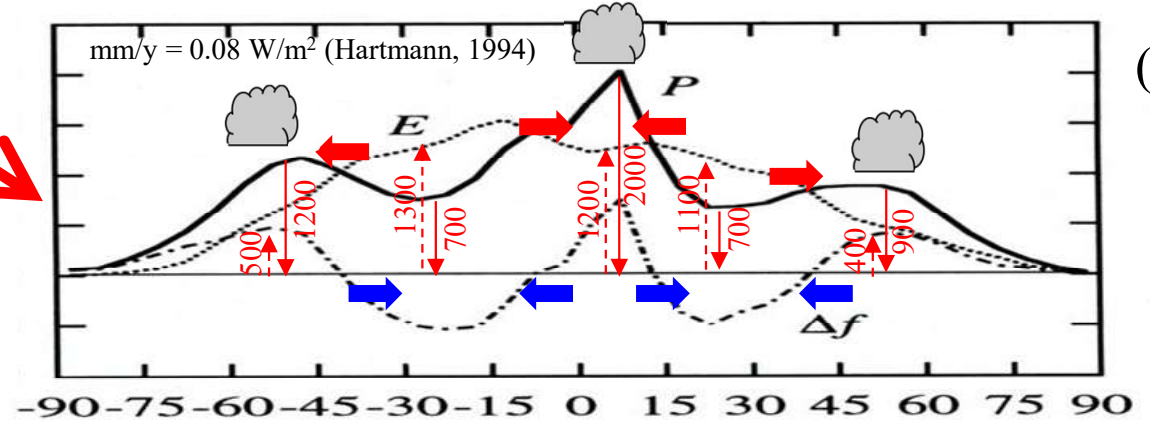
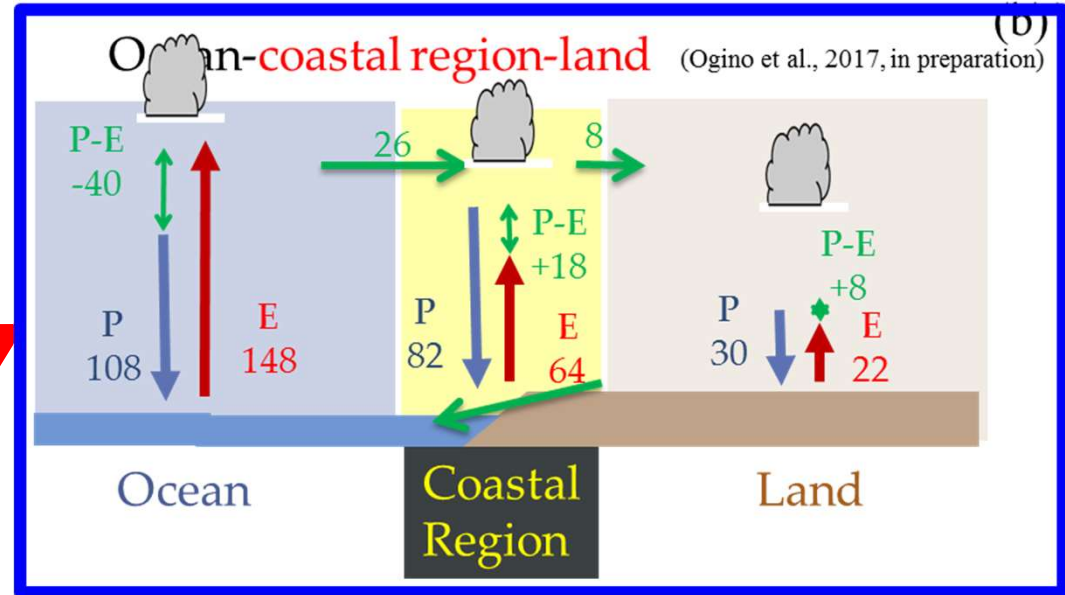
Rainfall concentrated along the coastlines.
 → Regional rainfall dependent on the coastline length

Regional rainfall (mm/yr) = $2000 \text{ (mm/y)} \times 10^2 \text{ km}$
 \times [coastline length (10^2 km) / land area (10^4 km^2)]

Costal regions in the global energy/water cycle



(Trenberth et al., 2009)



(c)

IMC coastal regions in the global water/energy budget

Annual rainfall: 2,500 mm

$\doteq 2.5 \times$ global mean latent heat release 1,000 mm or $80 W/m^2$ (1/3 of GH effect)

Annual rainwater amount: $1 \times 10^{14} m^3$

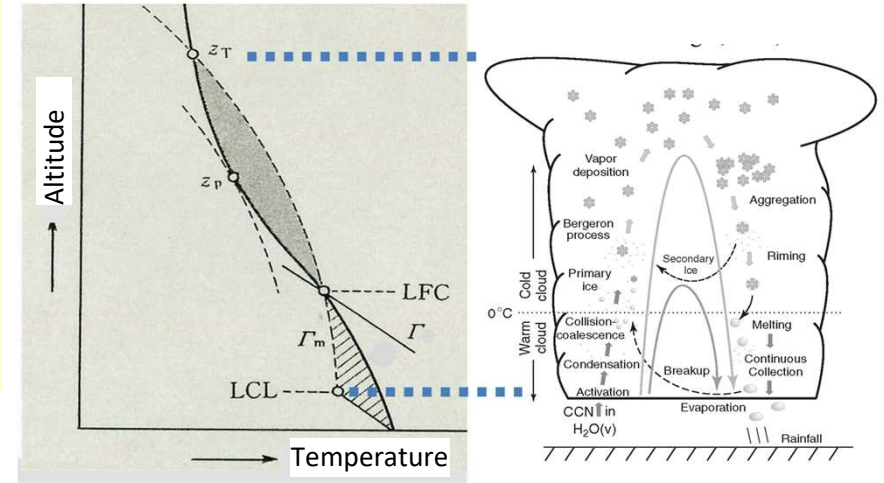
$\doteq 1/3 \times$ tropical total amount . $\doteq 1/6 \times$ global total amount

6.2. Vertical (cloud) convection: Conditional instability and cumulus clouds

- Vertical heat transport:
 - Radiation: electromagnetic (without any media)
 - Conduction: molecule motions
 - Convection: hydrodynamic motions
- Classical hydrodynamics of convection
 - Rayleigh-Taylor, Benard-Rayleigh, ...
 - viscosity, gravity
- (Conditionally) Unstable stratification
 - Latent heating \Rightarrow “Pseudo”-adiabatic process
 - Equivalent potential temperature
- Coupling with cloud microphysics
 - Condensation/evaporation, freezing/melting, sublimation
 - Heterogeneous growing, aerosol nuclei, chemistry, electricity
 - Warm rain: stochastic coalescence, droplet/drizzle/raindrop
 - Cold rain: ice crystal/snow/graupel /hail

Rainfall “not so easy” even in tropics (Conditional instability paradox)

- **Dry** adiabatic $<$ actual lapse rate $<$ **Moist** adiabatic
 ($-10^{\circ}\text{C}/\text{km}$) ($-6.5^{\circ}\text{C}/\text{km}$) ($-5^{\circ}\text{C}/\text{km}$)
- Convection generated if condensation (cloud) started
- Condensation (cloud) if convection (lifted / moistened)
- Therefore “initial upward motion” must be forced

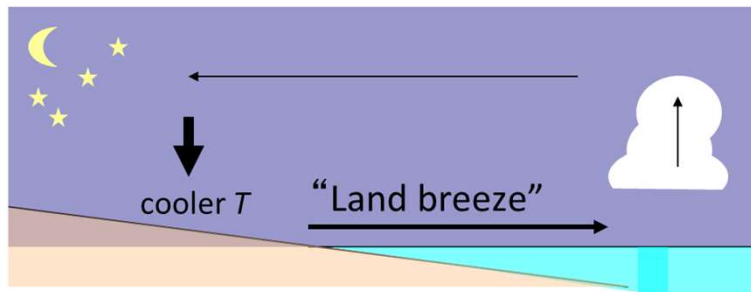
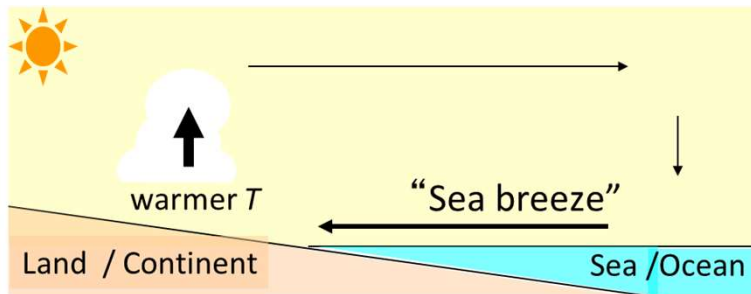


(i) Cold /warm air boundary (*extratropical* cyclone “front”)

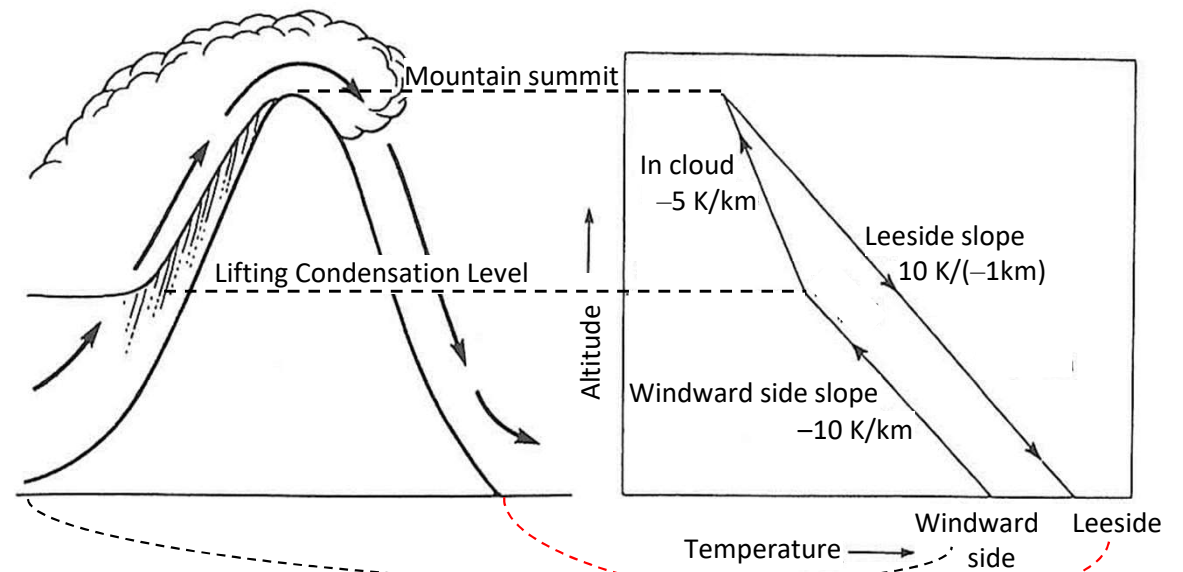
(ii) *Subtropical* vortex organization (Typhoon)

(iii) Equatorial *oceanic* wave organization (*Intraseasonal* Madden-Julian oscillation)

(iv) **Diurnal-cycle sea-land breeze circulation**



(v) Windward side of *mountain*
 (leeside: dry and hot, so-called Föhn)



Cumulonimbus

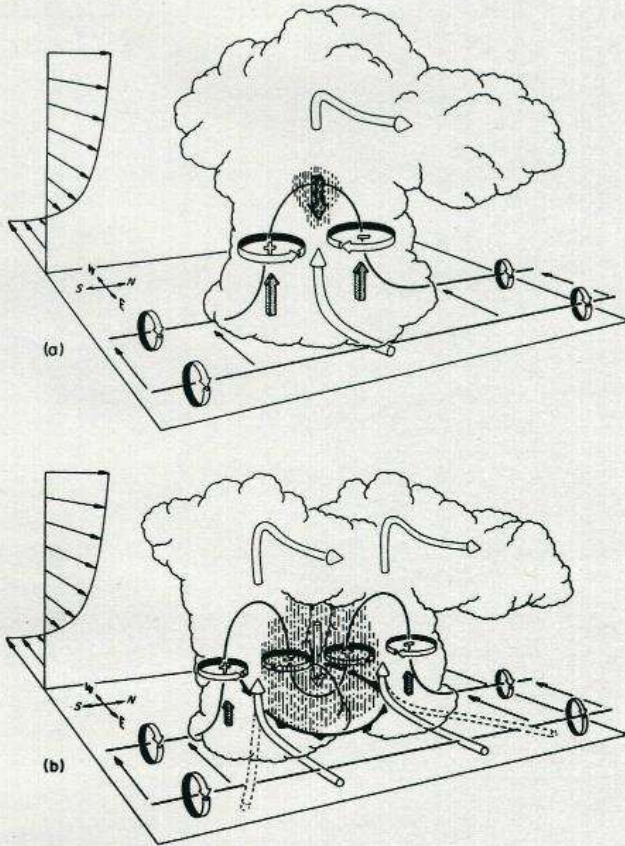


Fig. 9.12 Development of rotation and splitting in a supercell storm with westerly mean wind shear (shown by storm relative wind arrows in the upper left corner of each panel). Cylindrical arrows show the direction of cloud relative air flow. Heavy solid lines show vortex lines with a sense of rotation shown by circular arrows. Plus and minus signs indicate cyclonic and anticyclonic rotation caused by vortex tube tilting. Shaded arrows represent updraft and downdraft growth. Vertical dashed lines denote regions of precipitation. (a) In the initial stage, the environmental shear vorticity is tilted and stretched into the vertical as it is swept into the updraft. (b) In the splitting stage, downdrafts form between the new updraft cells. Barbed line at surface indicates downdraft outflow at surface. (After Klemp, 1987.)

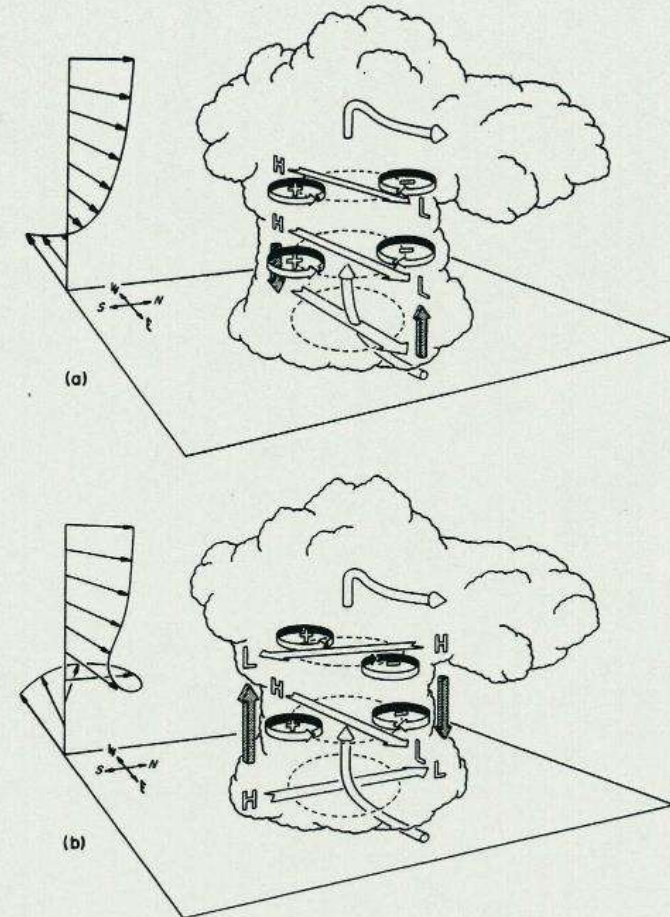
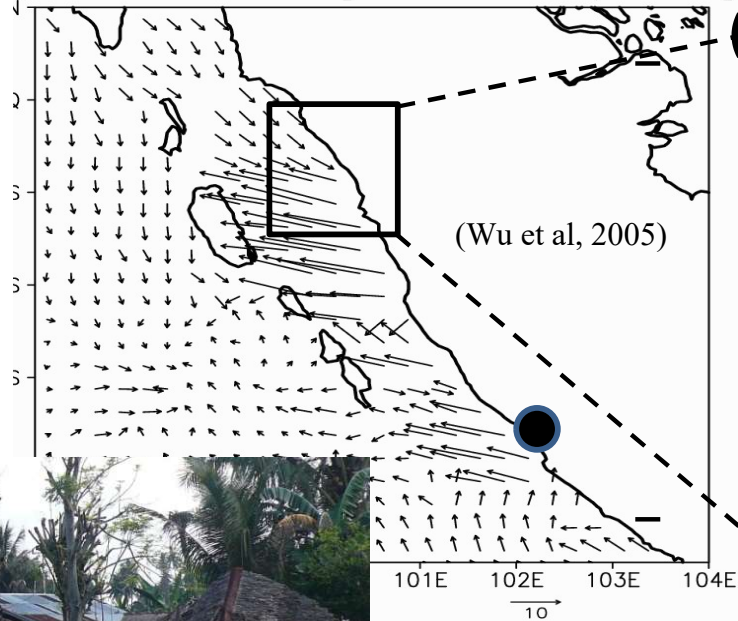


Fig. 9.13 Pressure and vertical vorticity perturbations produced by interaction of the updraft with environmental wind shear in a supercell storm. (a) Wind shear does not change direction with height. (b) Wind shear turns clockwise with height. Broad open arrows designate the shear vectors. *H* and *L* designate high and low dynamical pressure perturbations, respectively. Shaded arrows show resulting disturbance vertical pressure gradients. (After Klemp, 1987.)



QSCAT surface wind [11:18 UTC 7 Apr 2004]

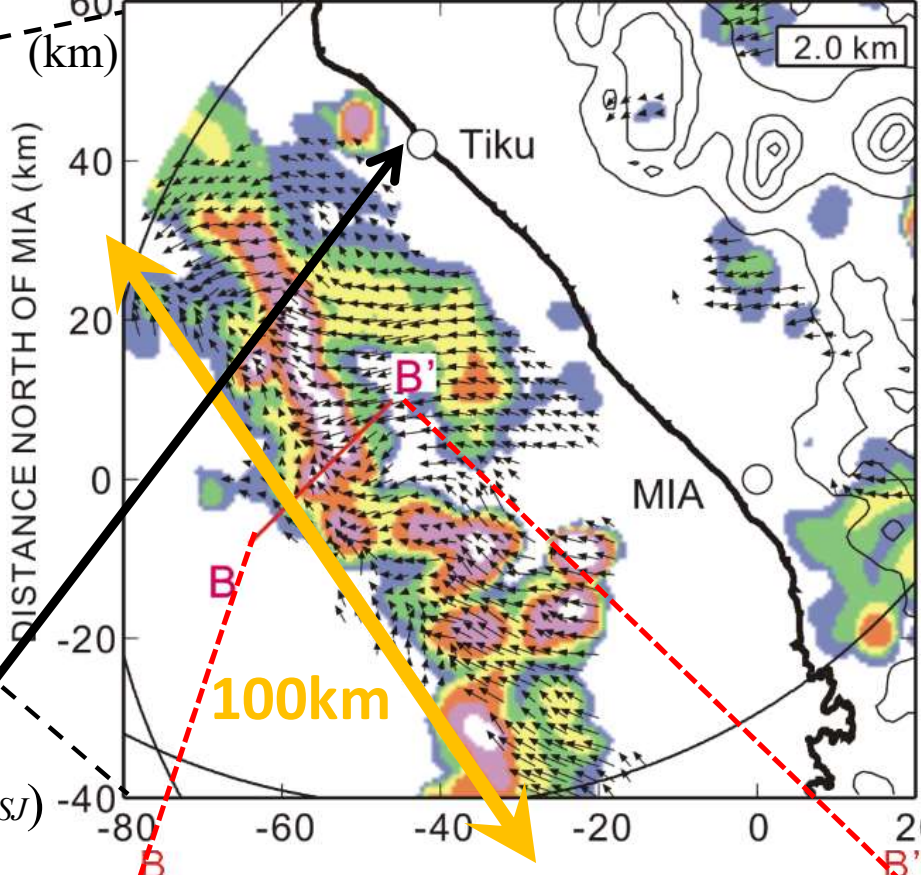


(Wu et al, 2005)

(Sakurai et al, 2011, *JMSJ*;
Kawashima et al, 2011, *JMSJ*)

(a)

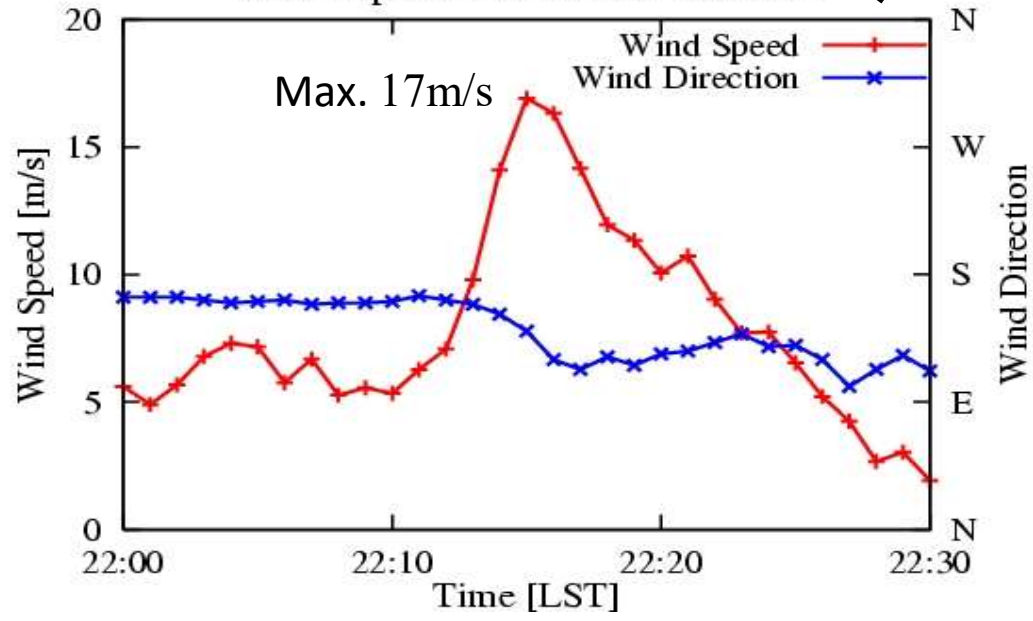
2024 LST 10 NOV 2006



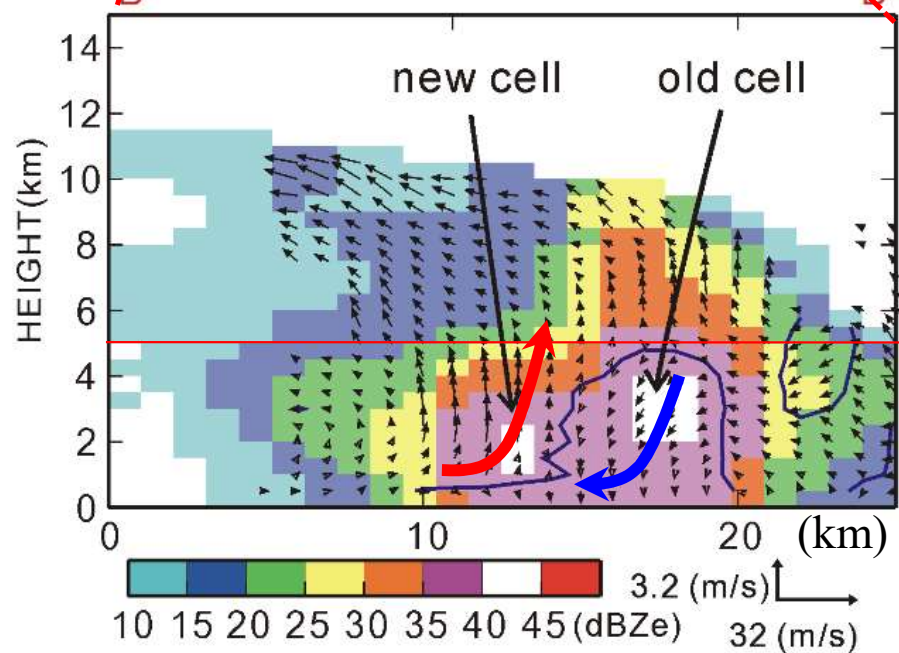
(b)

(d)

Wind Speed and Wind Direction

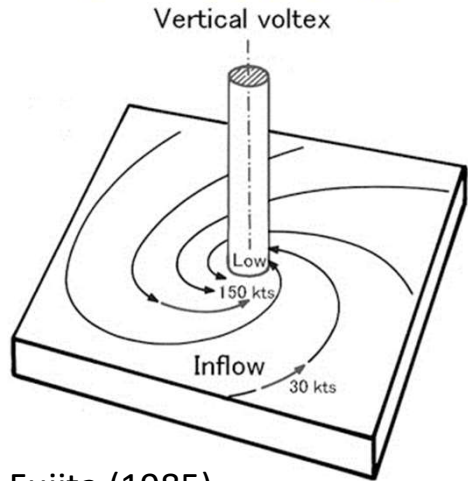


Max. 17m/s



(c)

Tornadoes



Fujita (1985)



(Jawa Tengah, May 2012)



(Sumatera Barat, July 2015)

Microbursts

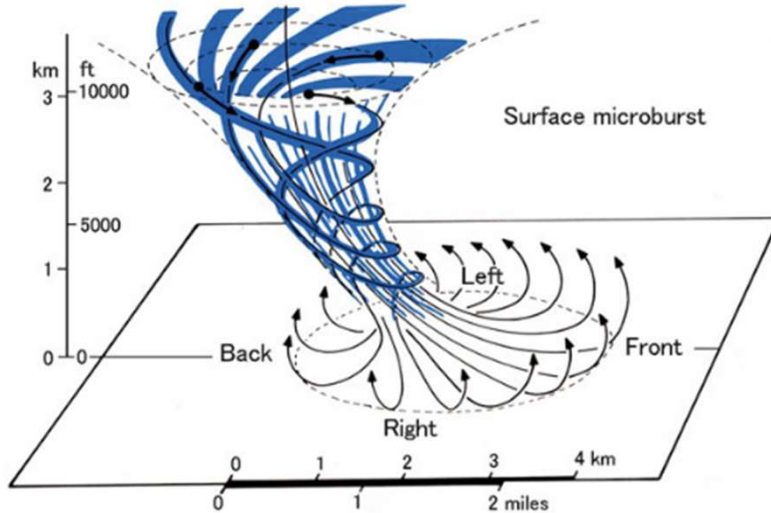


Fig. 11.20 Schematic view of a three-dimensional microburst. Convergence aloft, rotating downdraft, and surface divergence are included [from Fujita 1985, p.75]



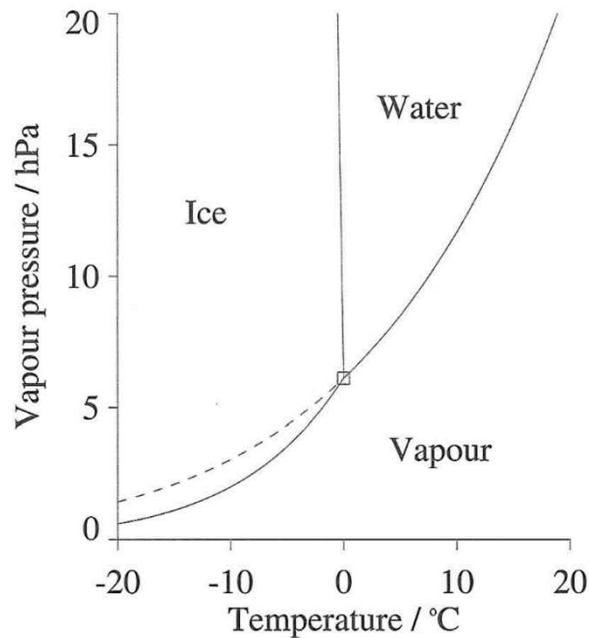
(by Drs. Geng & Katsumata@R/V Mirai, off Bengkulu, 25 Nov 2015)

→ Causes of airplane / ship accidents

Cloud-precipitation microphysics

- Saturation [← a solution of (7)]

Figure 2.8 Schematic diagram showing the phase transitions between ice, liquid water and water vapour. The difference between the ice-vapour and vapour-water curves below 0°C (where the vapour-water curve is shown dashed) has been exaggerated. Note that the ice-water curve is not quite vertical, but has a large negative slope. The triple point is indicated by the small square.



(Andrews, 2000)

- Homogeneous nucleation and curvature effect

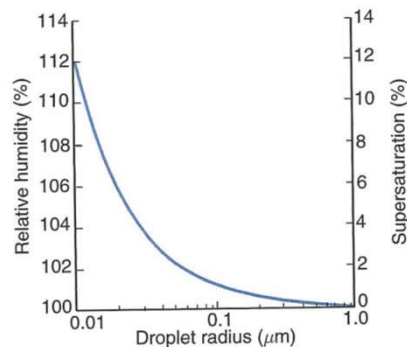


Fig. 6.2 The relative humidity and supersaturation (both with respect to a plane surface of water) at which pure water droplets are in (unstable) equilibrium at 5°C.

(Wallace & Hobbs, 2006)

- Heterogeneous nucleation and solute effect
- Coagulation and warm rain

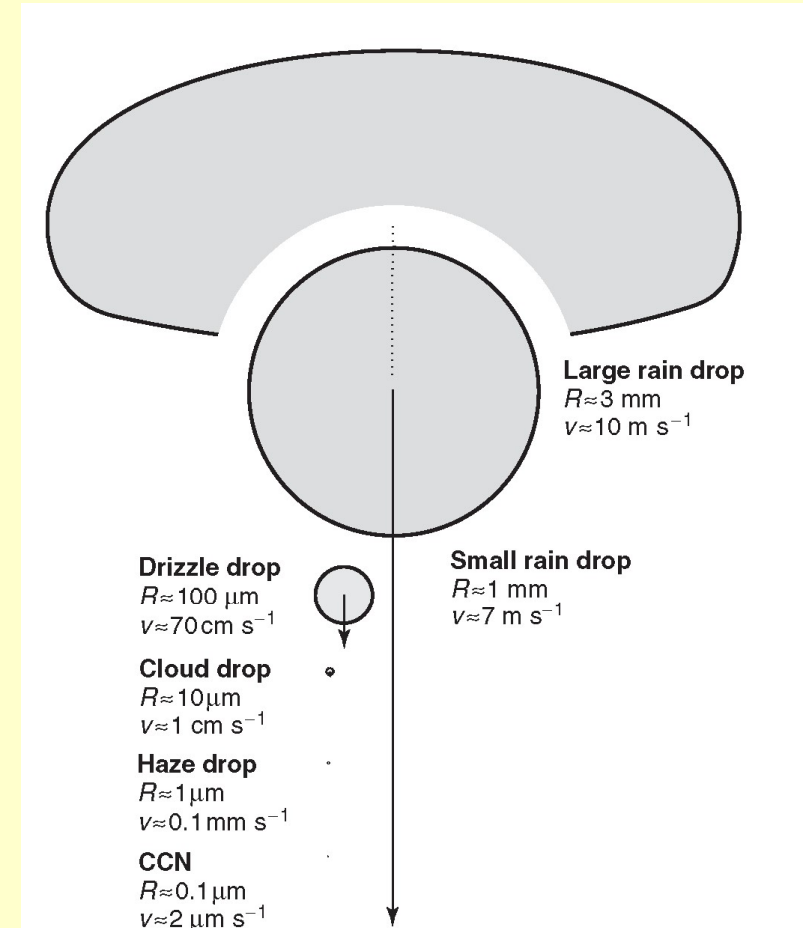
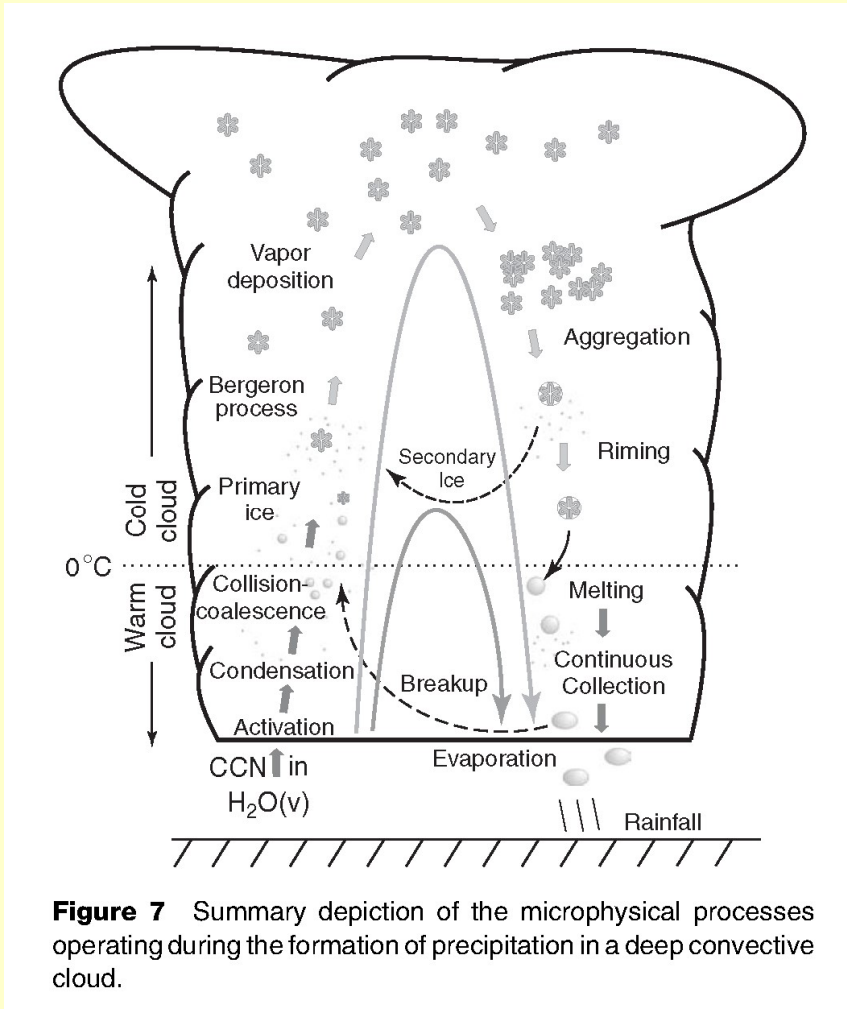


Figure 1 Various categories of liquid drops found in clouds. The indicated drop radii (R) are drawn roughly to scale, as are the arrows representing the terminal fallspeeds (v) of the various drop categories. 'CCN' represents a 'cloud condensation nucleus', a solution droplet that serves as the initial site of condensation. The large raindrop is shown distorted to represent the effect of a large dynamic pressure on its underside.

(Lamb, 2003)

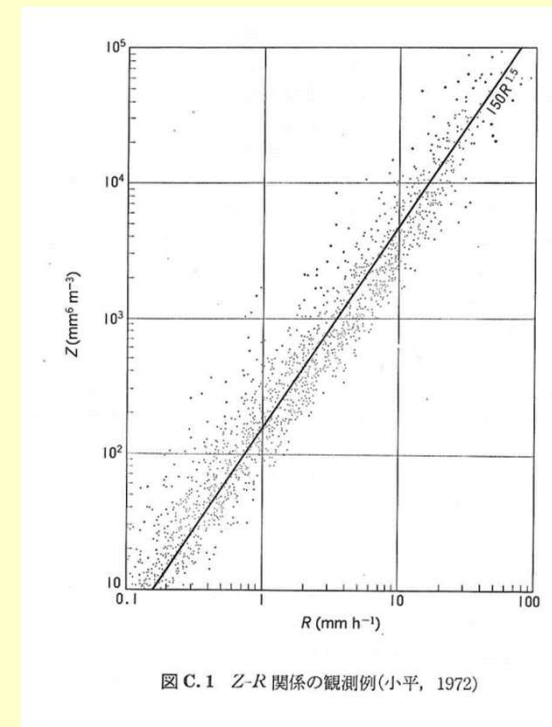
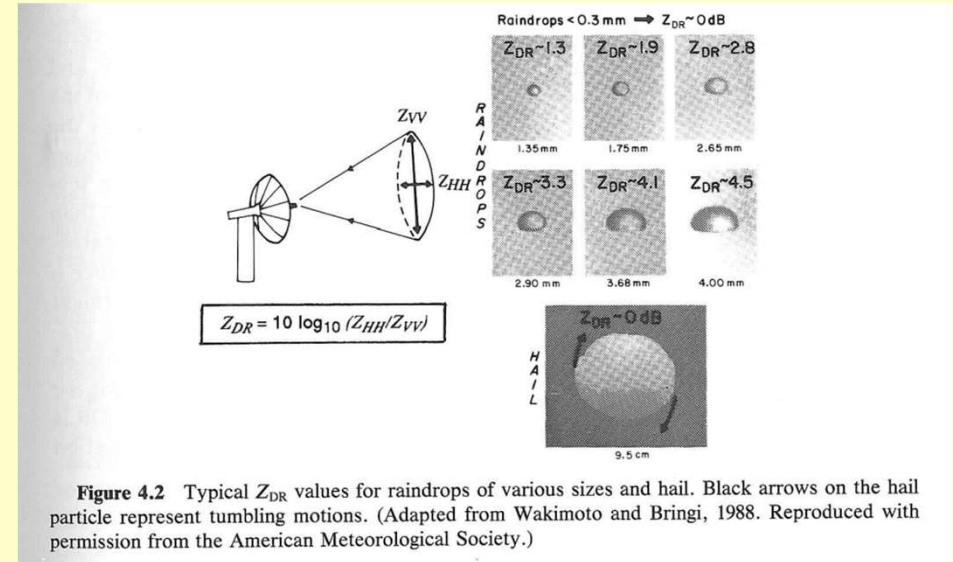
Cloud-precipitation microphysics (cont.)

- Ice face and cold rain
- Thunder



(Lamb, 2003)

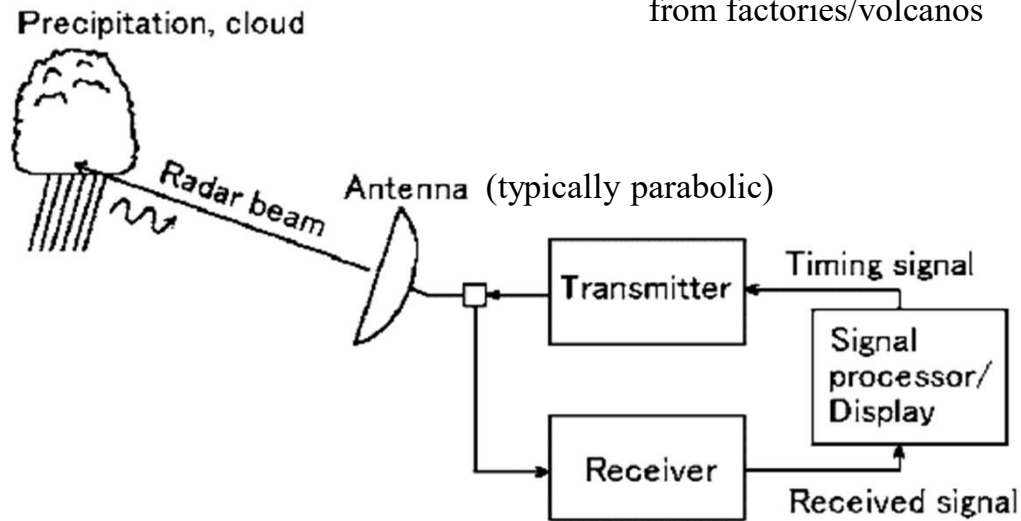
- Radar



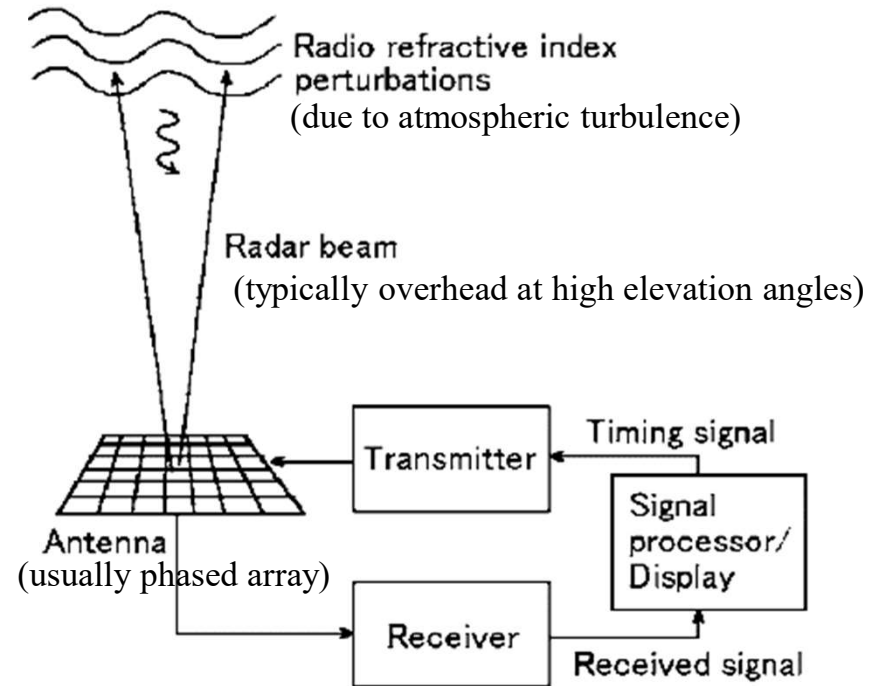
Radar = Radio Detection And Ranging

(a) Meteorological (or weather) radar

Any hard targets/scatterers
(including aircraft, ships, ..., birds, insects, seeds, ..., dusts, ashes,)
Precipitation, cloud from factories/volcanos



(b) Wind profiler (or atmospheric radar)



- Direction of the antenna receiving the scattered signal returns to the antenna → **Direction** of the target
- Time of the radio wave round-trip between radar and target (by light speed) → **Distance** of the target

US radars in 1940s-50s

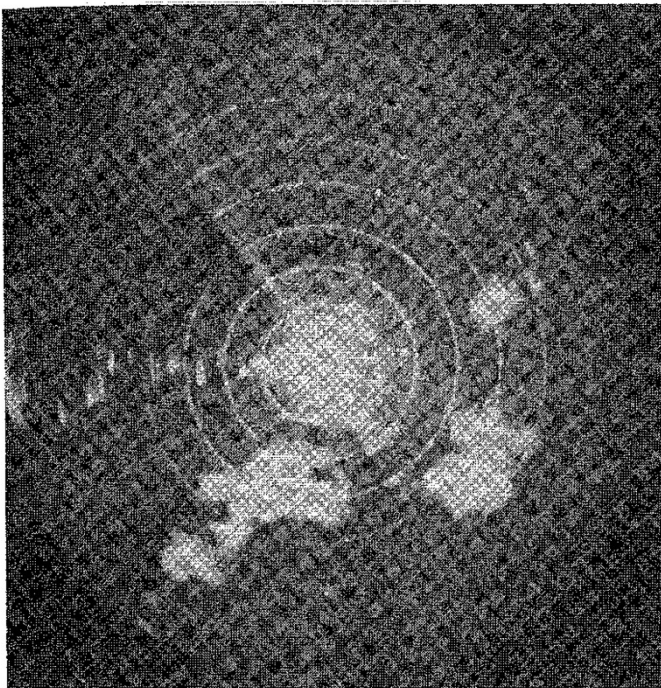


Fig. 1 PPI photograph of thunderstorm conditions in the vicinity of Cambridge, Massachusetts, 14 July 1942 as detected with a 10-cm radar. Range markers are 5 miles apart.

MIT S-band 1942 (Katz & Harney, 1990)

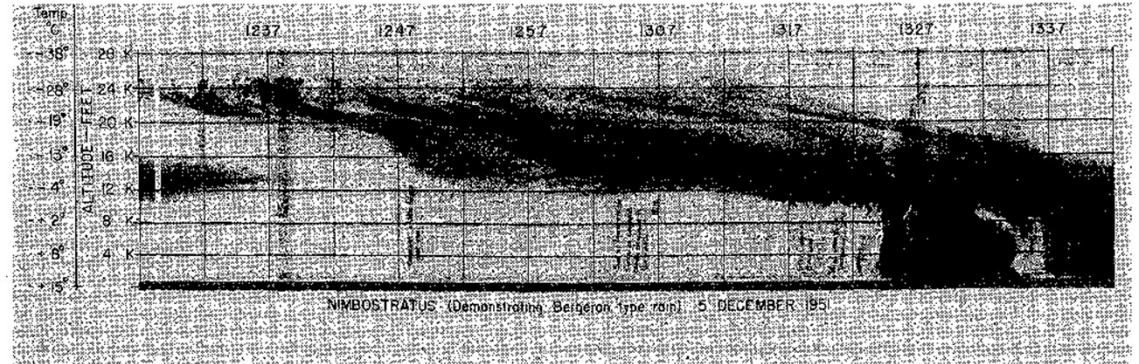


Fig. 1 Precipitation streamers observed by vertically pointing 1.25 cm APS-34 radar in 1951 (after Plank et al. 1955).

AFGL Ku-band 1951 (Metcalf & Grover, 1990)

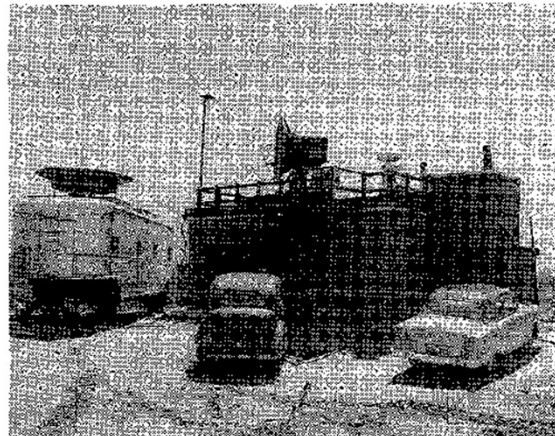


Fig. 2 Facilities of the weather radar program at Hanscom Field about 1954. The APS-34 radar antenna is on the trailer to the left of the building; the TPQ-6 antenna is on the roof.

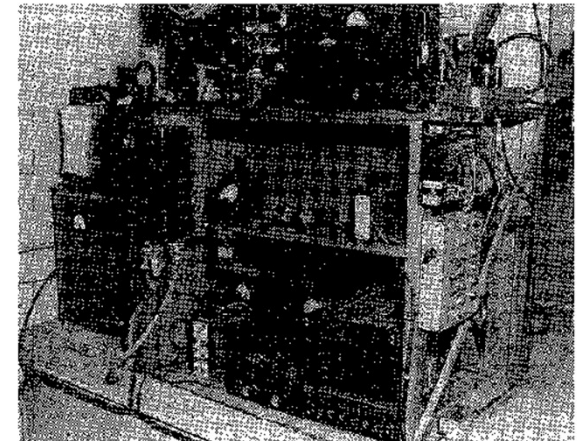


Fig. 3 Controls of the APS-34, inside the trailer. Single oscilloscope display is just visible at the upper left, receiver gain control is on the right, and the signal integrator for a single range gate is at the left.

(にった・じろう)

112)年長野県生れ。本名
無線電信講習所(現在電
学)卒業。昭和31(1956)年
にて第34回直木賞受賞。
勤続した気象庁を退職。
「田信玄」などの作品によ
吉川英治文学賞受賞。
没。



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Mt. Fuji Radar

(S-band, JMA-Mitsubishi, 1964)

Height: 3,776 m; Max. range: 800 km



1959 proposed after "Isewan-Typhoon" killed 5,500 people
1964 started construction (highest), 1965 completed (240 MYen)
1999 stopped (replaced by satellite and advanced radars)

DRAW (C-band, JMA, 2000s-)



Fig. 9.5 The photo of the DRAW installed at Kansai international airport, in Japan [from Hamazu et al. 2000a]

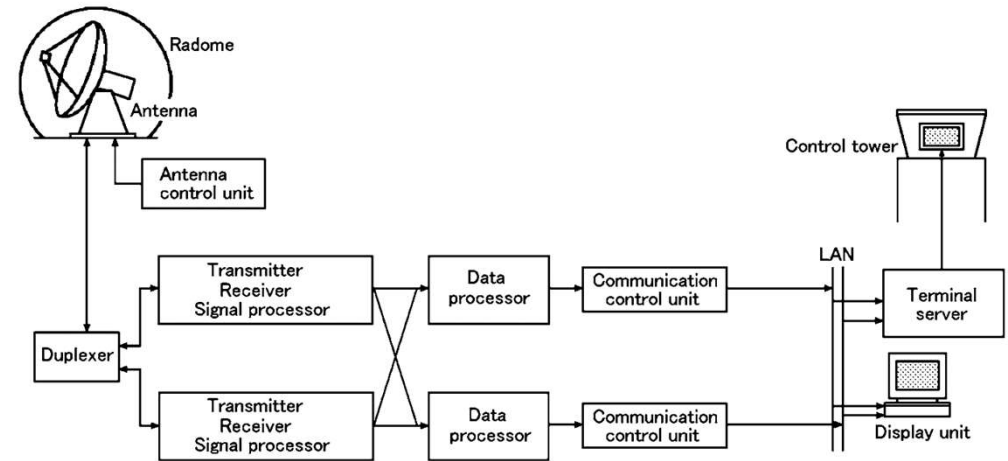
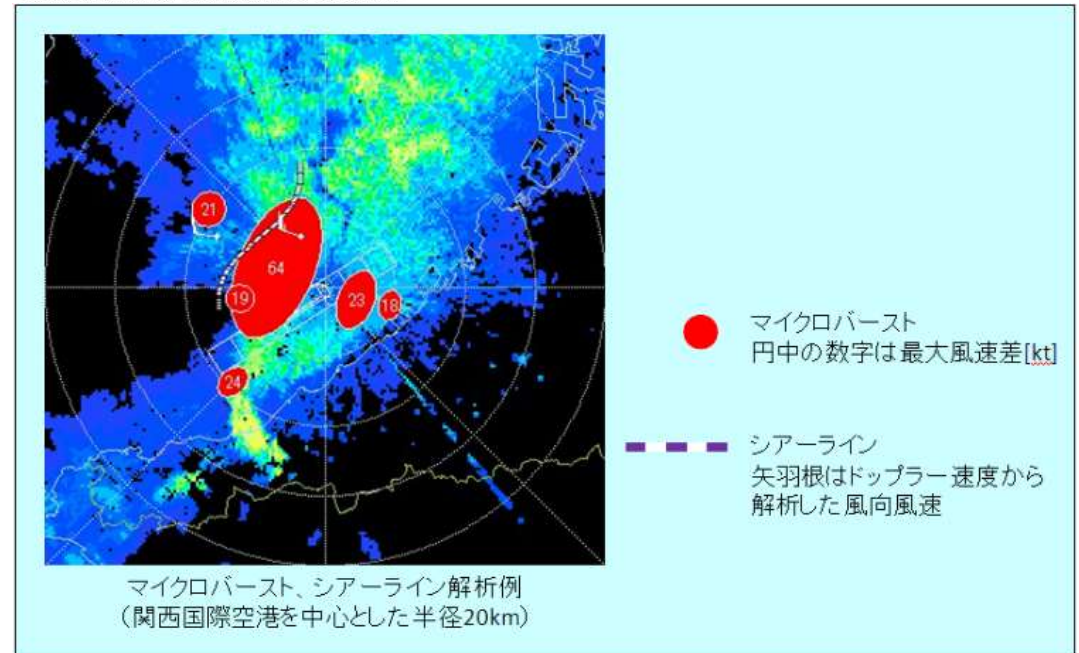
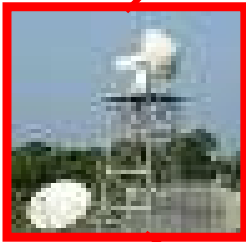
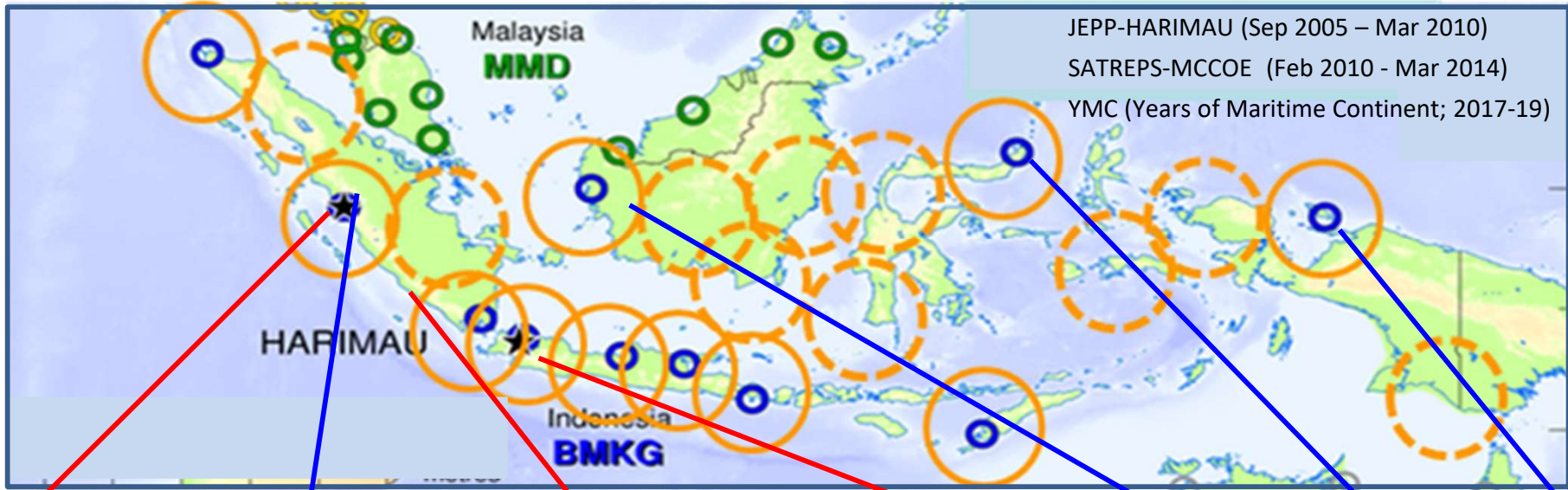


Fig. 9.6 The system configuration of the DRAW. Main equipment except for the antenna and related units of the radar are dualized





XDR (2006-14,
JAMSTEC-BPPT)



VHF-EAR (2001-, KU-LAPAN)



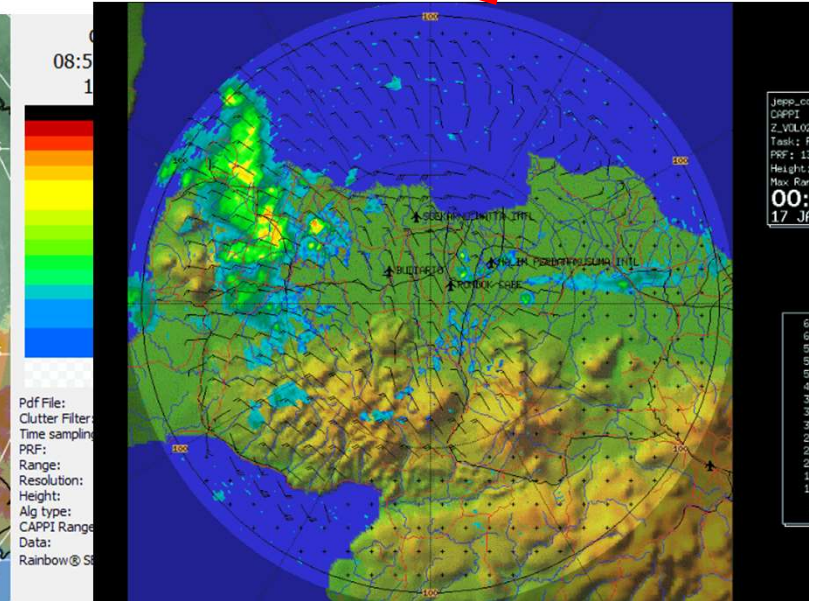
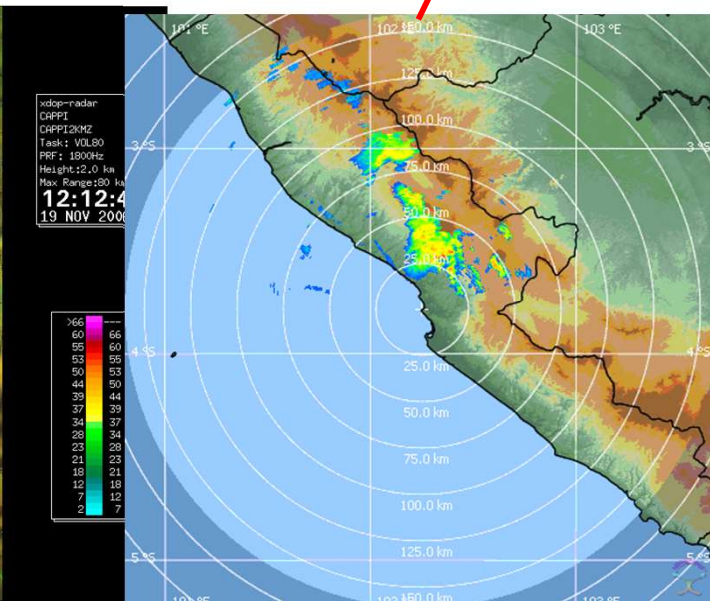
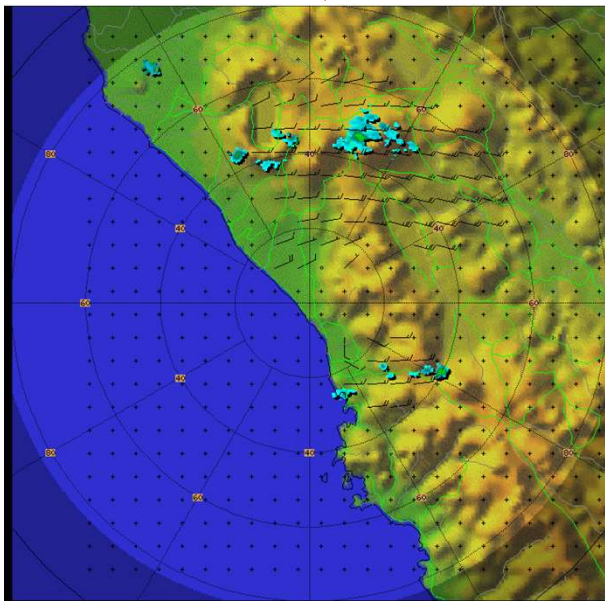
Transportable X-MPR
(2012-, JAMSTEC-BPPT)



CDR (2007-14,
JAMSTEC-BPPT)

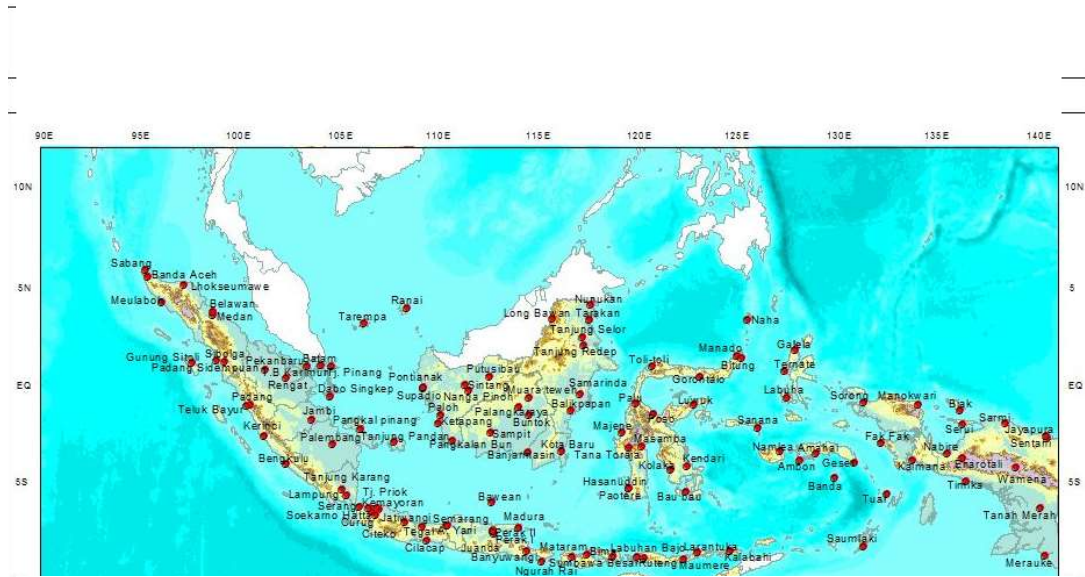


UHF-WPRs, 2007-
JAMSTEC, KU-BPPT, BMKG, LAPAN, 2008-)

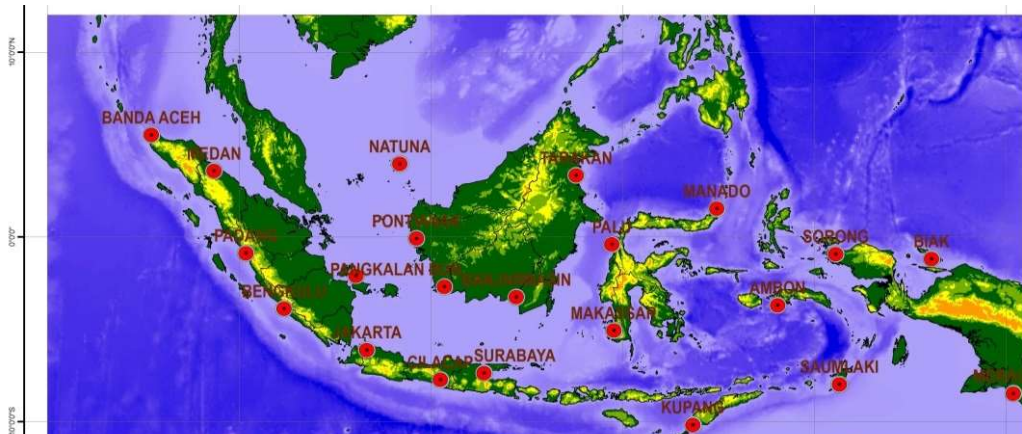


BMKG observation networks

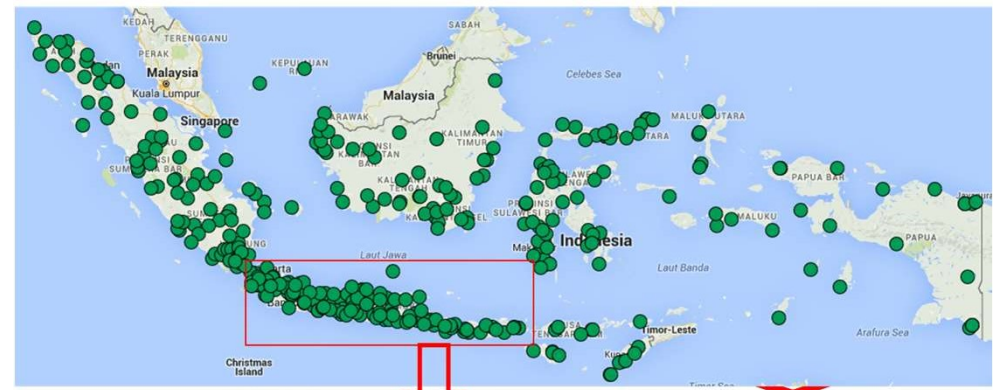
(as of March 2017)



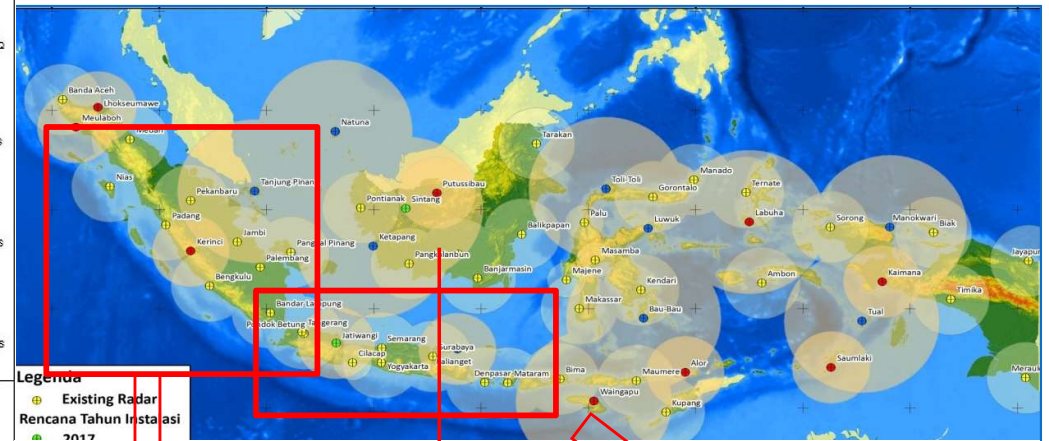
Surface meteorological observatories (120)



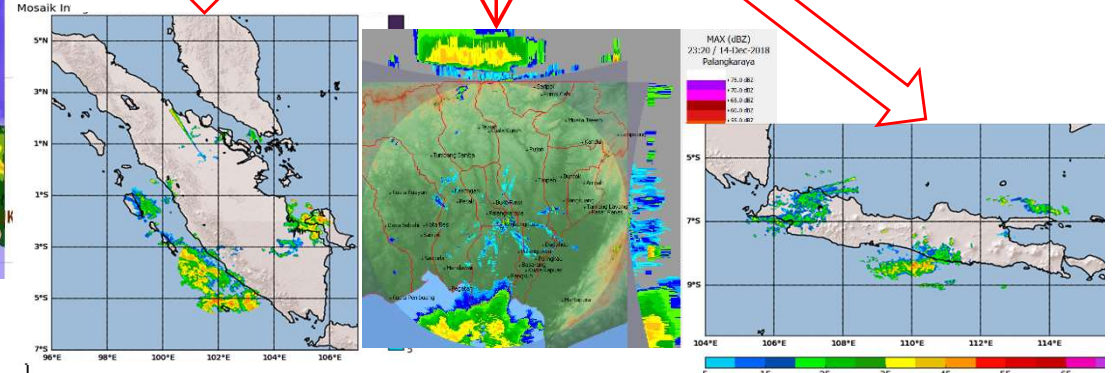
Radiosonde stations (22)



Automatic weather stations (361)



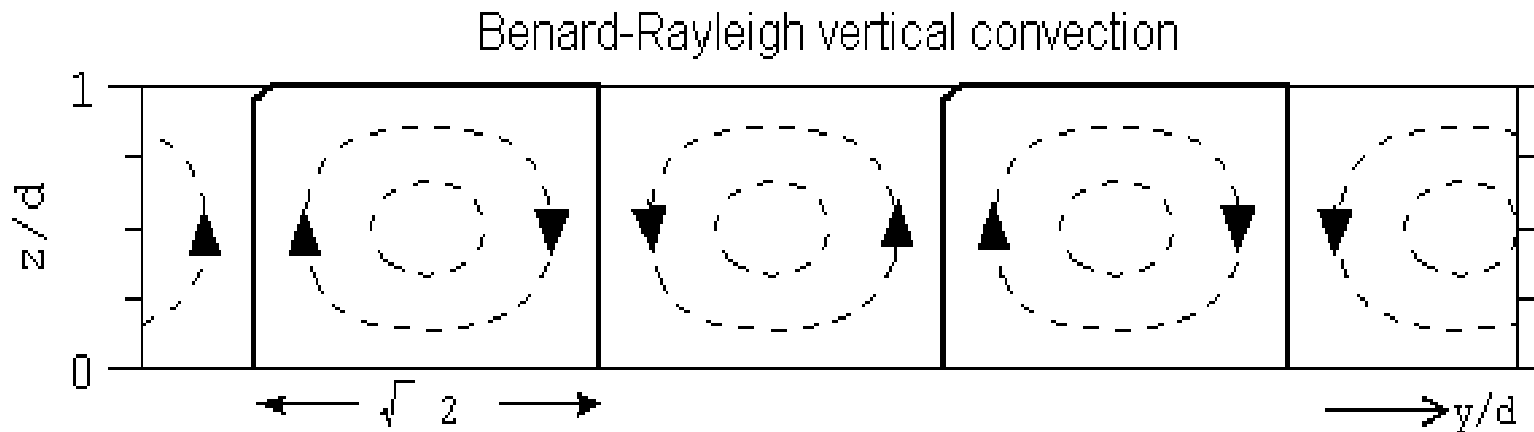
36 C-/4 X-band radars + 1 (2017) + 19 planned



6.3. Conditional instability of second kind (CISK) and tropical cyclone

- Cumulus parameterization schemes:
 - Manabe: “Convective adjustment”
 - Kuo: $Q \sim -\alpha (T - T_c)$
 - Ooyama: $Q \sim \eta(\text{entrainment}) \cdot \Gamma \cdot w / \text{PBL top}$
 - Arakawa-Schubert: Statistics of subgrid clouds
- CISK = **Conditional** instability of the second kind
Vortex generation due to Ekman pumping at PBL top
- Tropical cyclone (Typhoon)
 - Tangential (gradient) wind: Coriolis + centrifugal = pres.grad.
Coriolis force \Rightarrow Cyclone only in sub-tropics ($\phi > 10$ deg)
 - Radial (Ekman) wind: Coriolis + centrifugal = friction
Centrifugal force \Rightarrow no intrusion of outside air into “Eye”
 - Warm-core, “eye-wall” cloud, spiral rainband
 - Typhoon activity and its interannual variation (ENSO)

Paradox of conditional instability



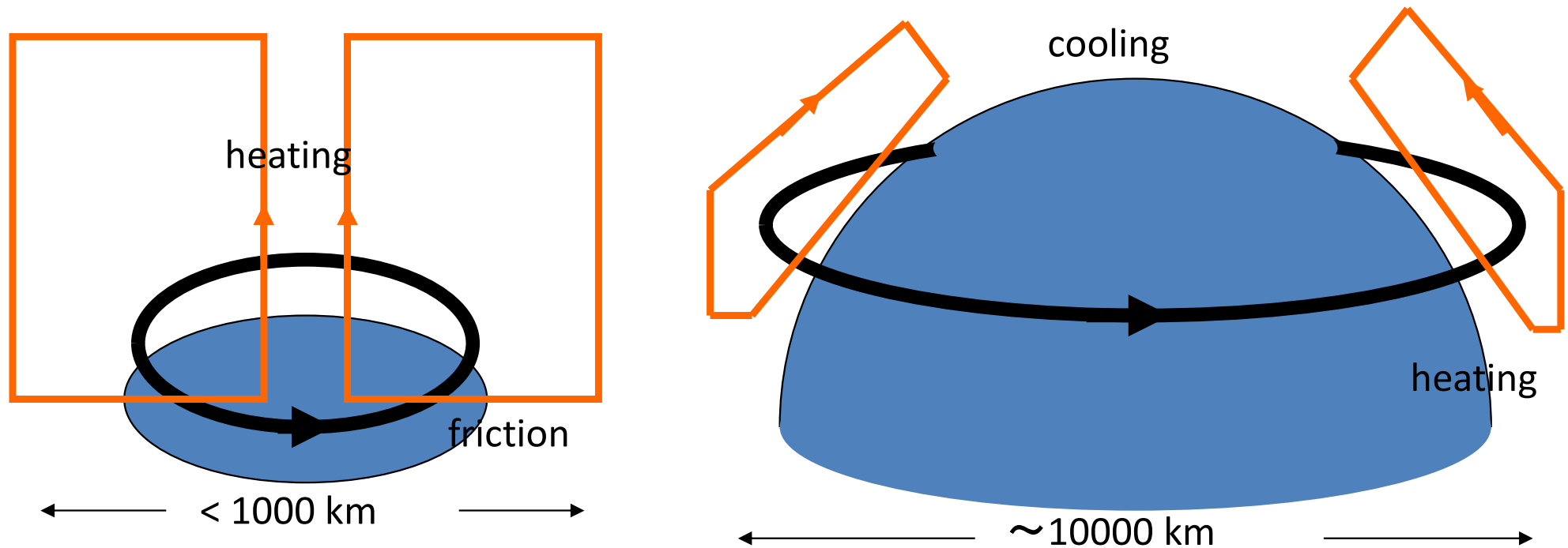
“Paradox” of conditional instability:

- Convection generated spontaneously only when cloud appears.
 - Cloud becomes most active when convection is developed.
- ⇒ *Forced motions (waves, circulations), or CISK*

Large-scale dynamics is a trigger /accelerating mechanism

6.3. Conditional instability of second kind (CISK) and tropical cyclone

Typhoon as a “mini-earth” with different heating distribution



Tangential wind \Leftrightarrow Pressure gradient

Radial wind \Leftrightarrow Surface friction, turbulence /drag

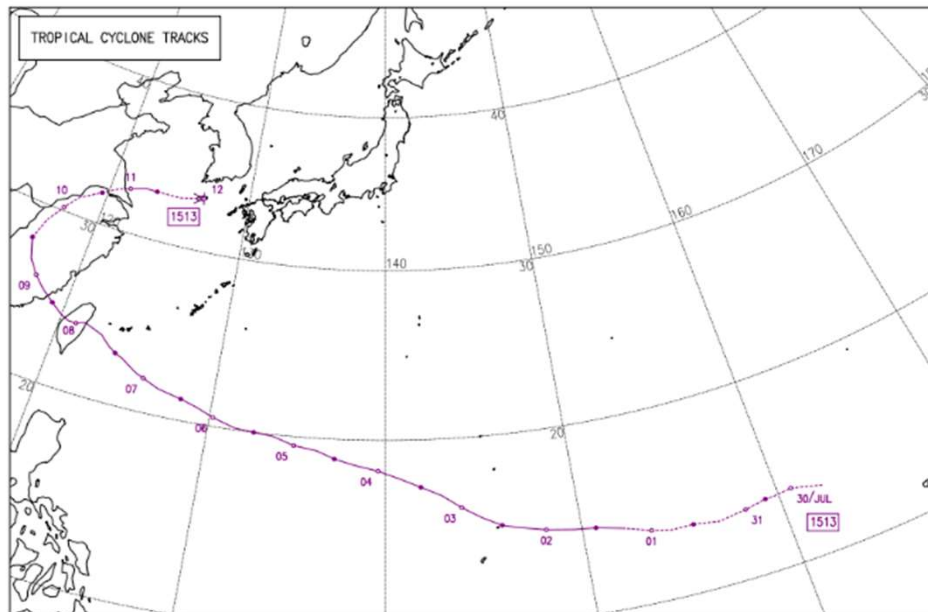
Vertical velocity \Leftrightarrow Latent heat by cloud/precipitation

Himawari 8 (July 2015-)

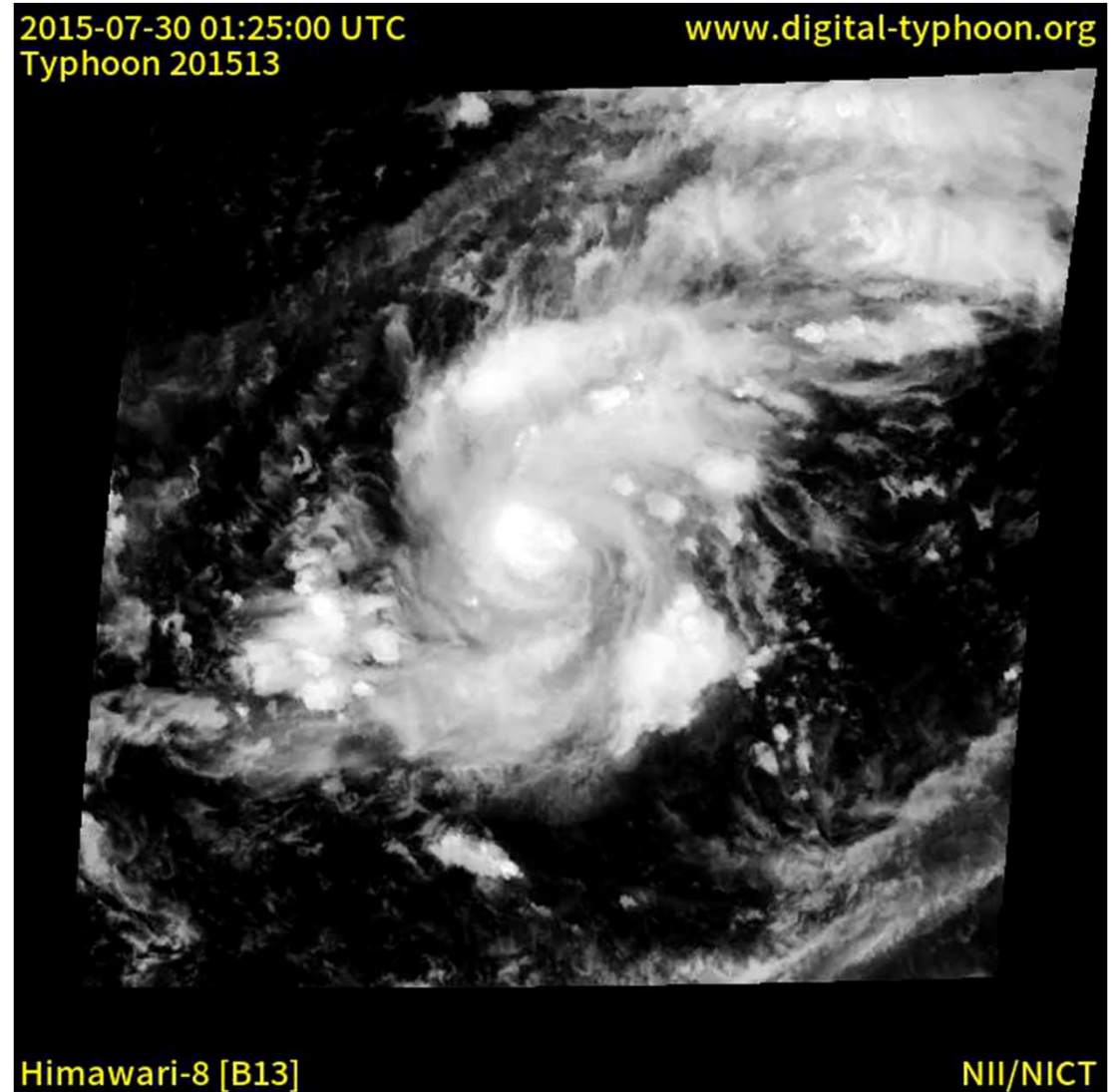
Temporal/horizontal resolution: 2.5-10 min, 0.5-1 km

A supertyphoon T1513 Soudelor

Cloud distribution variations
relative to the typhoon center



http://www.data.jma.go.jp/fcd/yoho/typhoon/route_map/bstv2015.html
<http://www.data.jma.go.jp/fcd/yoho/data/typhoon/T1513.pdf>



<http://agora.ex.nii.ac.jp/digital-typhoon/animation/wnp/r3/B13/mp4/201513.mp4>

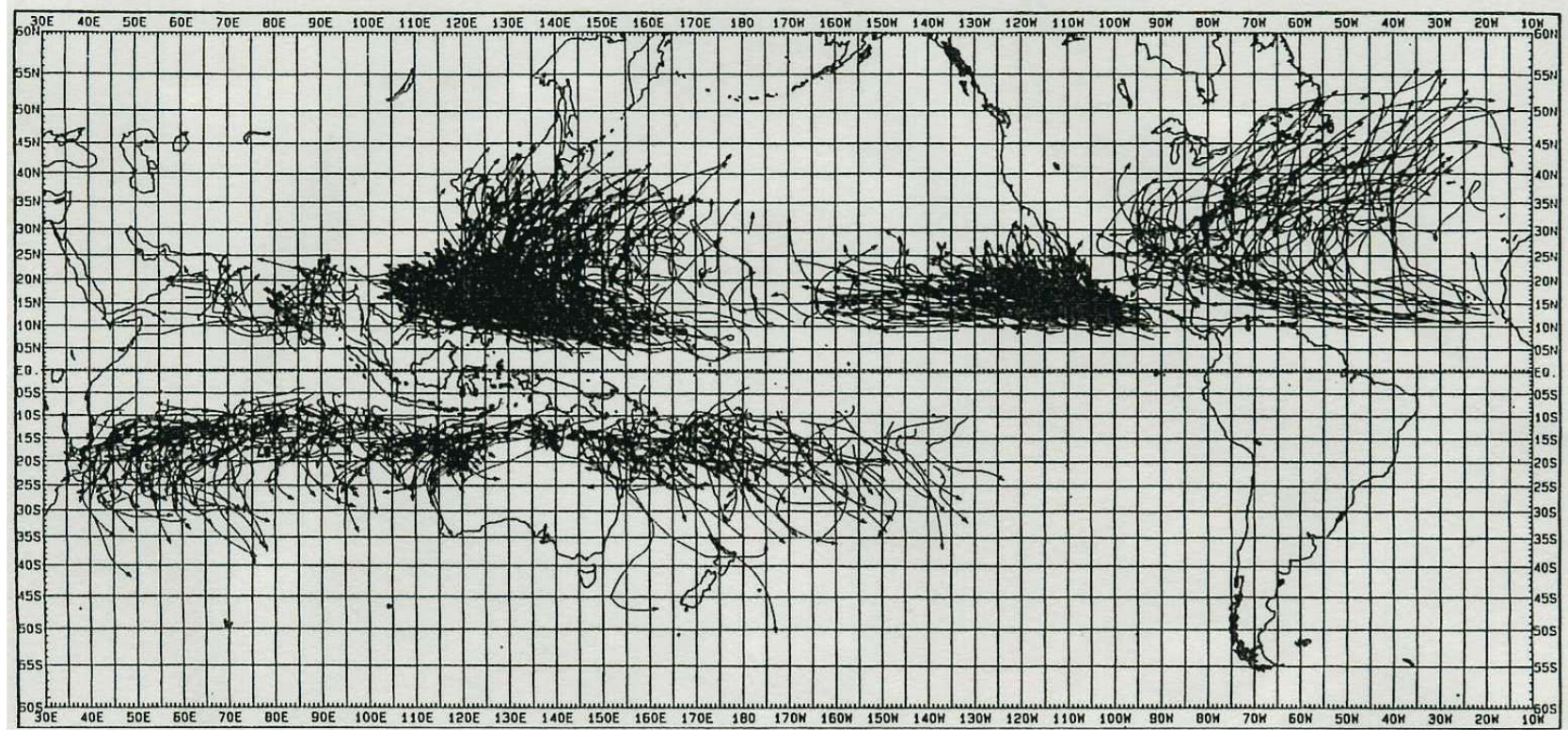
However, still radar observations of rainfall in much higher resolutions are necessary.

Geographical Distribution of Tropical Cyclones

(Max. Wind ≥ 17 m/s)

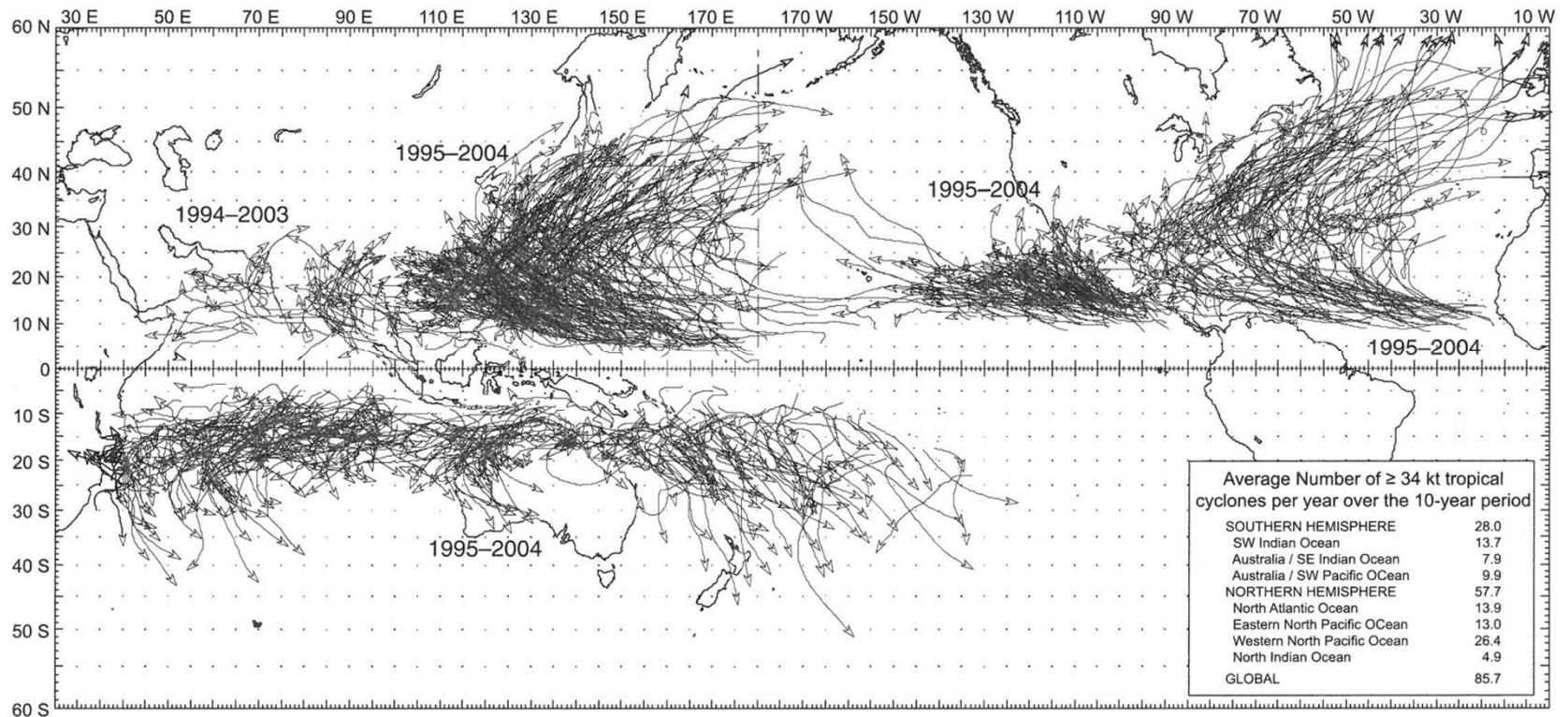
1979-88

(Neumann, 1993)



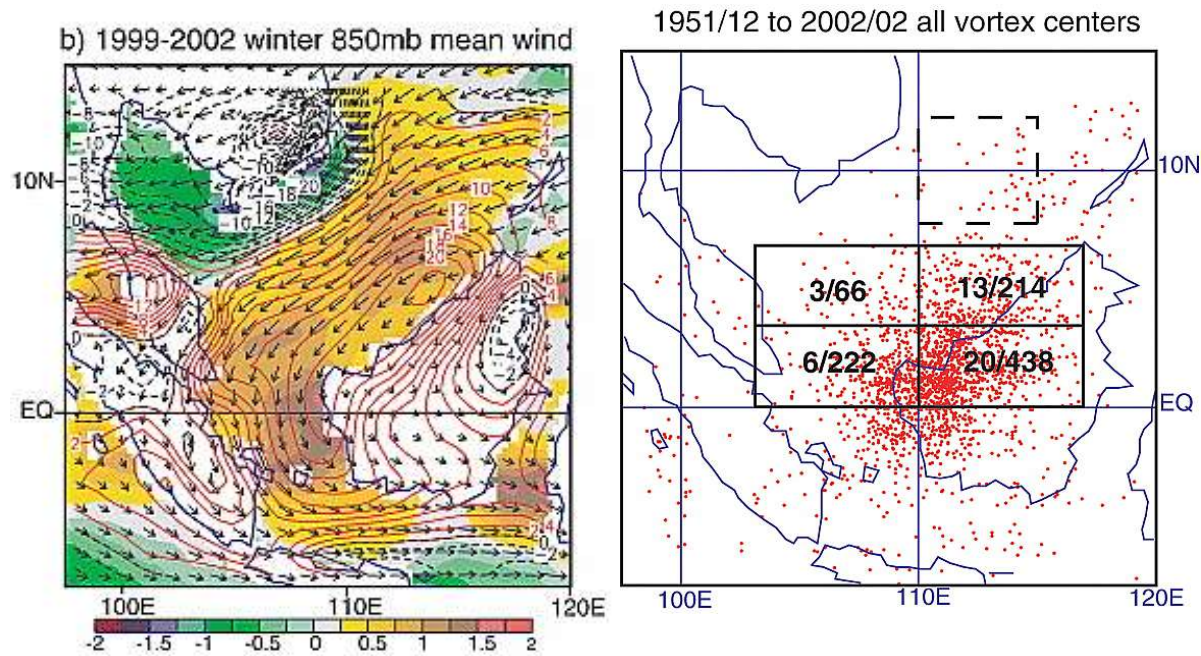
1995-2004

(Liu, 2007;
original by
Neumann)



“Borneo vortex”

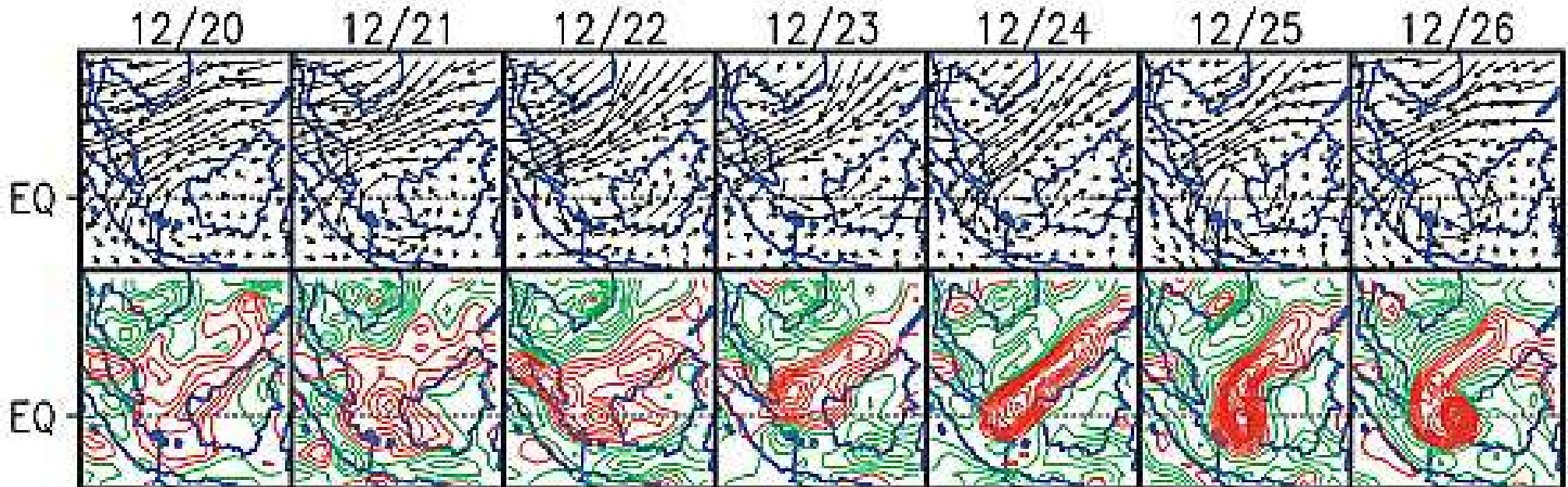
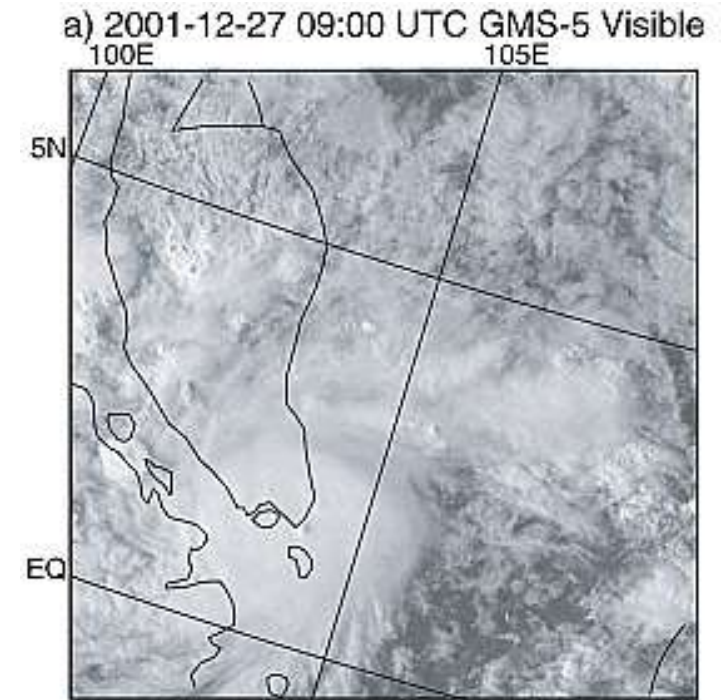
(Not tropical storm, but related to torrential rainfalls)



(Chang et al., 2003, *GRL*)

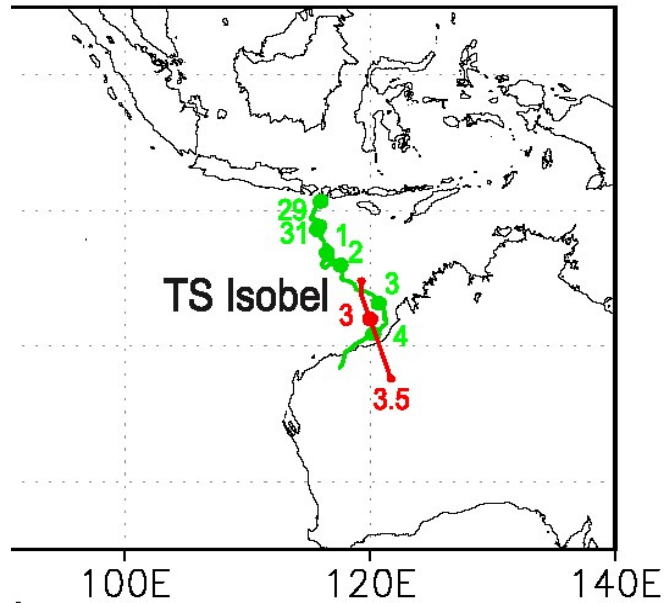
Badai Tropis “Vamei”

(Dec 2001; 1st case $<1.5^\circ$ lat)



Badai Tropis “Isobel”

(Dec 2006-Jan 2007)



le
(Fudeyasu et al., 2008, *GRL*)

L22808

FUDEYASU ET AL.: TC SIMULATED BY NICAM

L22808

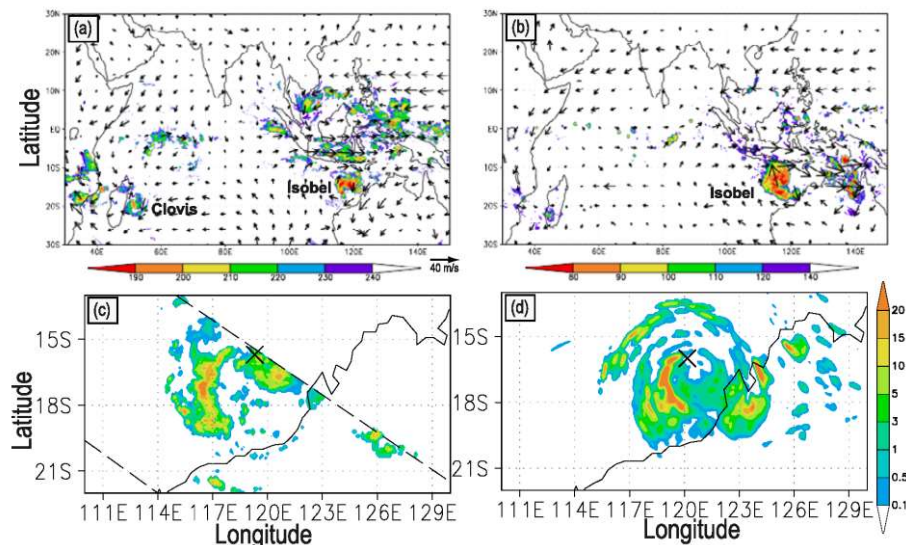


Figure 3. Same as Figure 2 except for cross sections. The time is (a) and (b) 0000 UTC, (c) 0920 UTC, and (d) 2230 UTC 2 January 2007. Crosses represent the position of the storm center.

L22808

FUDEYASU ET AL.: TC SIMULATED BY NICAM

L22808

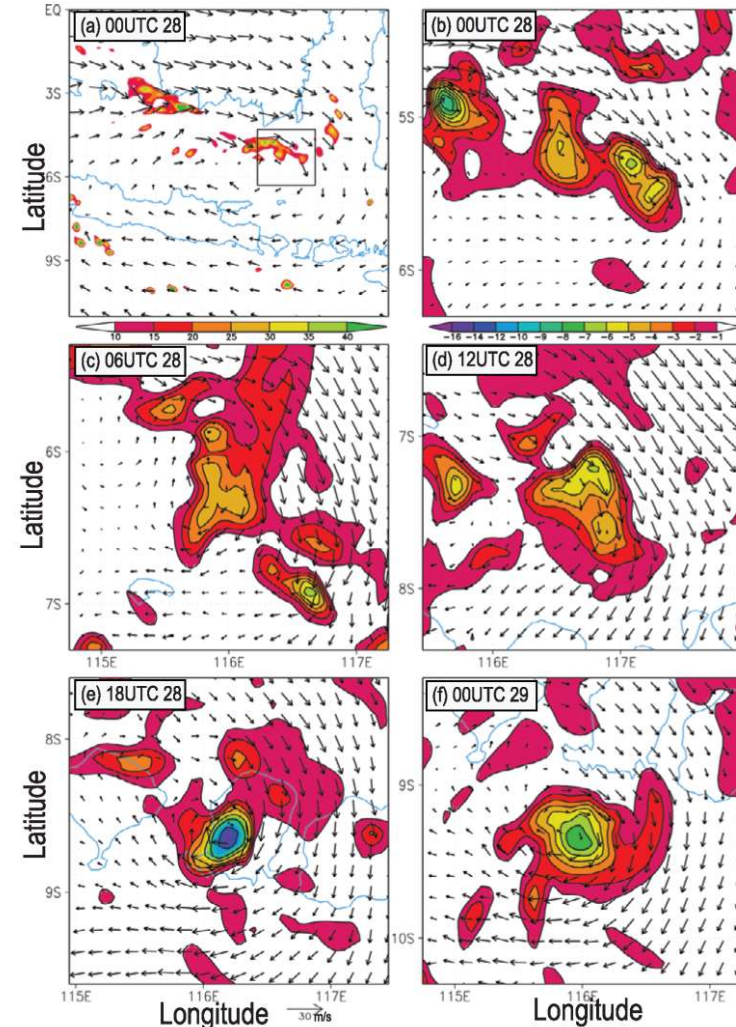


Figure 4. (a) Precipitation rate (shading, mm h^{-1}) and horizontal winds at 310-K level at 0000 UTC 28 December 2006 showing the WWB associated with the MJO. The evolution of cyclonic potential vorticity (shading and contour, PVU) and horizontal winds at 310-K level in a $2.5^\circ \times 2.0^\circ$ box are shown at (b) 0000 UTC, (c) 0600 UTC, (d) 1200 UTC, (e) 1800 UTC 28, and (f) 0000 UTC 29 December 2006. Rectangle in Figure 4a represents the domain in Figure 4b.

[http://dx.doi.org/10.1175/1520-0469\(1971\)028<0702:DOADOI>2.0.CO;2](http://dx.doi.org/10.1175/1520-0469(1971)028<0702:DOADOI>2.0.CO;2)

Detection of a 40–50 Day Oscillation in the Zonal Wind in the Tropical Pacific

ROLAND A. MADDEN AND PAUL R. JULIAN

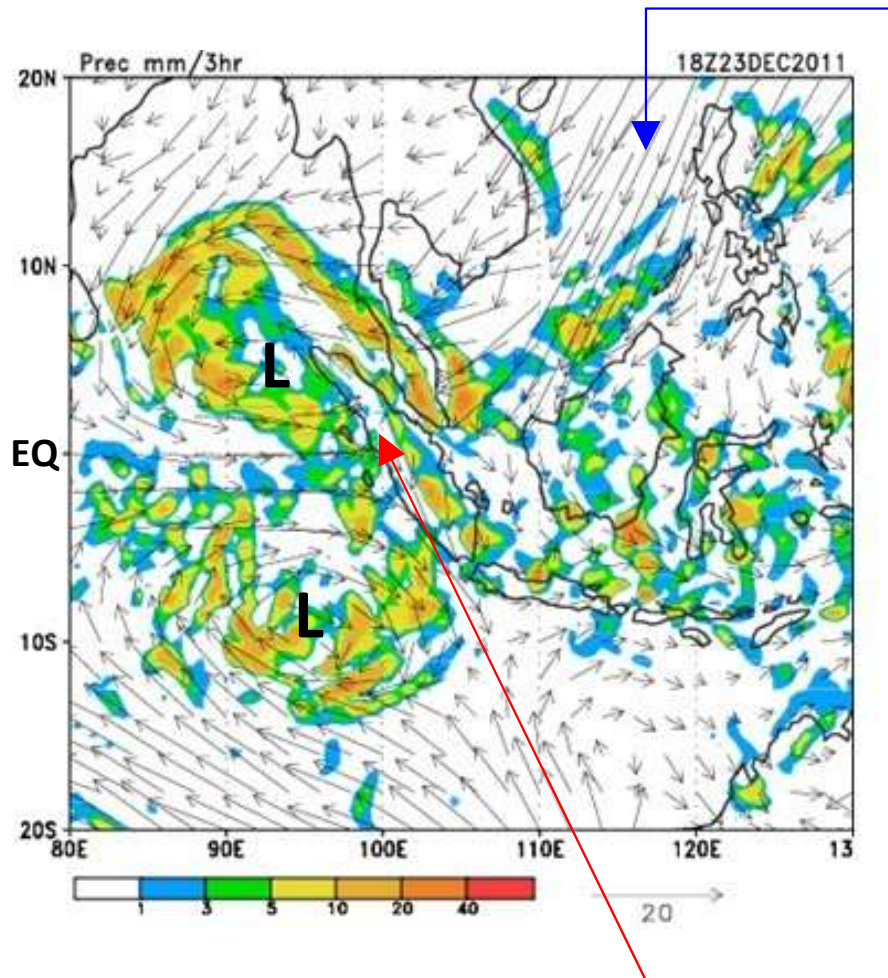
National Center for Atmospheric Research,¹ Boulder, Colo.

(Manuscript received 21 December 1970, in revised form 29 March 1971)

ABSTRACT

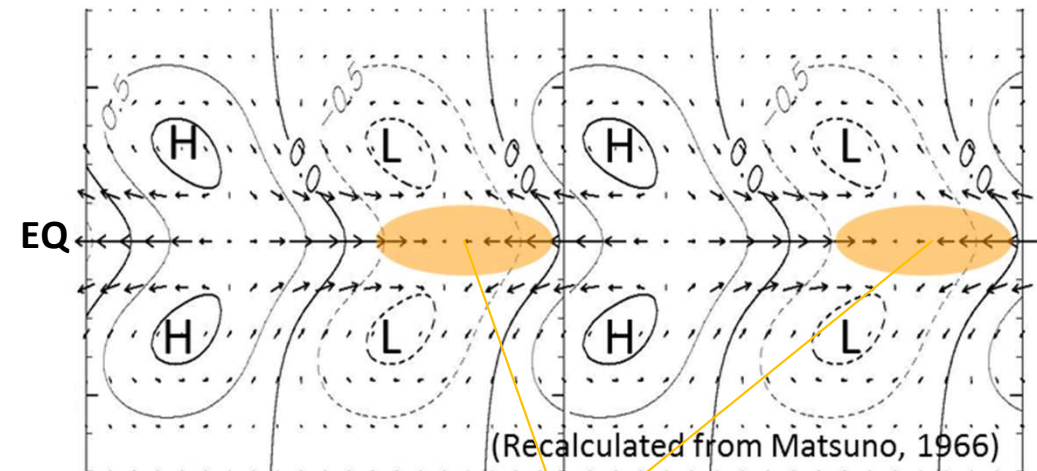
Nearly ten years of daily rawinsonde data for Canton Island (3S, 172W) have been subjected to spectrum and cross-spectrum analysis. In the course of this analysis a very pronounced maximum was noted in the co-spectrum of the 850- and 150-mb zonal wind components in the frequency range $0.0245\text{--}0.0190\text{ day}^{-1}$ (41–53 days period). Application of *a posteriori* sampling theory resulted in a significance level of $\sim 6\%$ (0.1% prior confidence level). This type of significance test is appropriate because no prior evidence or reason existed for expecting such a spectral feature. Subsequent analysis revealed the following structure of the oscillation. Peaks in the variance spectra of the zonal wind are strong in the low troposphere, are weak or non-existent in the 700–400 mb layer, and are strong again in the upper troposphere. No evidence of this feature could be found above 80 mb, or in any of the spectra of the meridional component. The spectrum of station pressure possesses a peak in this frequency range and the oscillation is in phase with the low tropospheric zonal wind oscillation, and out of phase with that in the upper troposphere. The tropospheric temperatures exhibit a similar peak and are highly coherent with the station pressure oscillation; positive station pressure anomalies are associated with negative temperature anomalies throughout the troposphere. Thus, the lower-middle troposphere appears to be a nodal surface with u and P oscillating in phase but 180° out of phase above and below this surface. Evidence for this phenomenon was found in shorter records at Kwajalein (9N, 168E) but not at Singapore (1N, 104E) or Balboa, Canal Zone (9N, 79W). We speculate that the oscillation is a large circulation cell oriented in zonal planes and centered in the mid-Pacific.

Matsuno-Gill pattern associated with an ISV (MJO) observed during HARIMAU2011 IOP

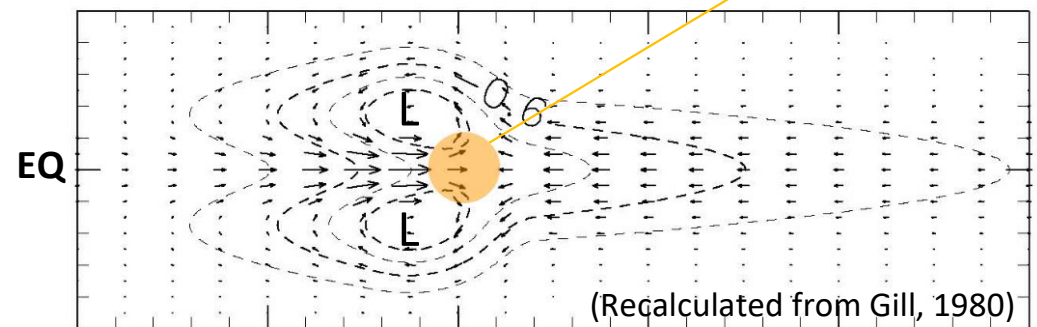


Diurnal cycle (clear land after midnight)

Boreal winter monsoon (so-called *cold surge*)



"Hot spot" (aligned or isolated)

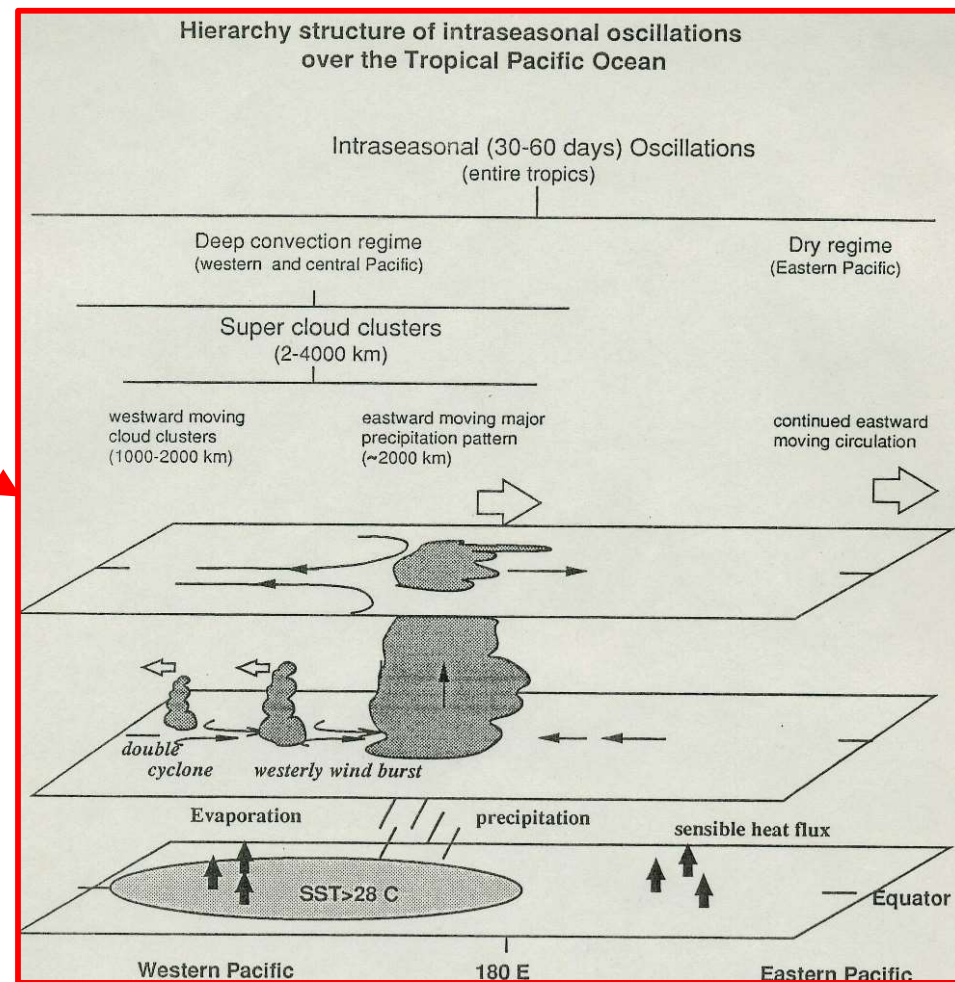
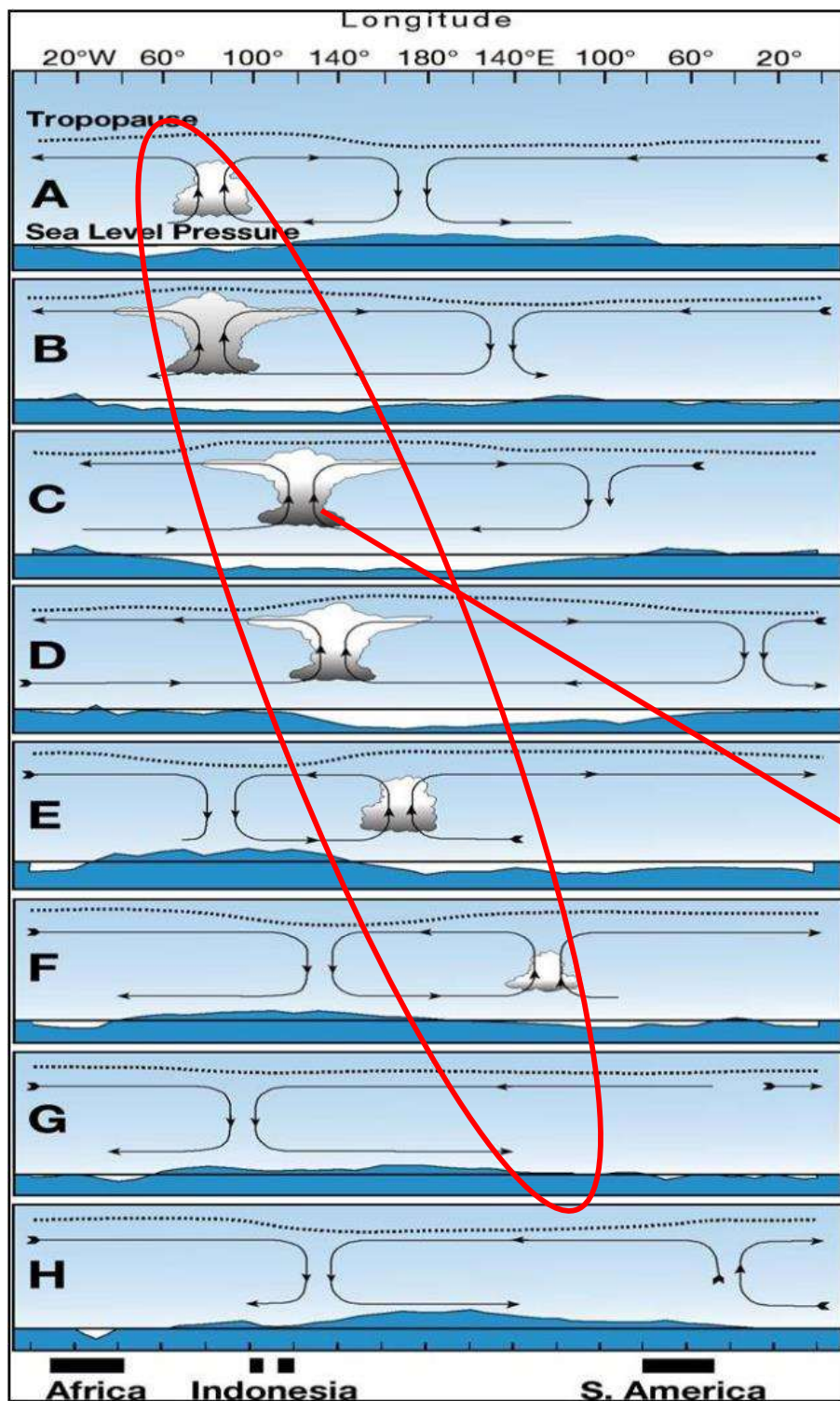


Intra-Seasonal Variation (Madden-Julian Oscillation) and its hierarchical structure

Day 0

time

Day
30 - 60



(Nakazawa, 1985; Lau et al., 1987)

(Madden and Julian, 1972; Madden, 2003)

Localized convection (Super cloud cluster / ISV)

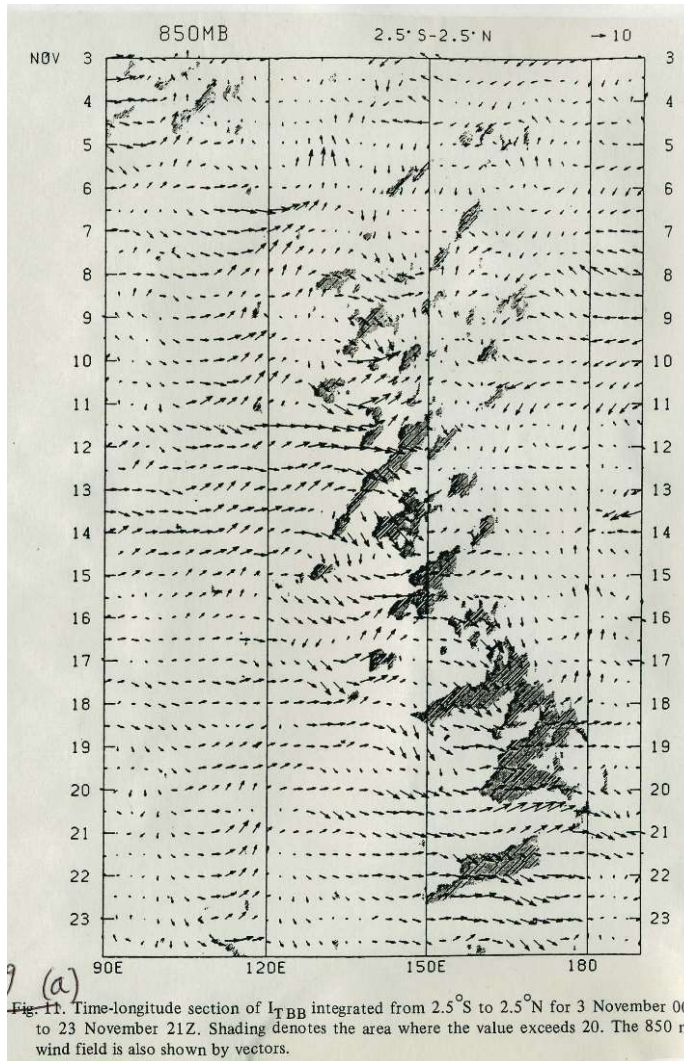
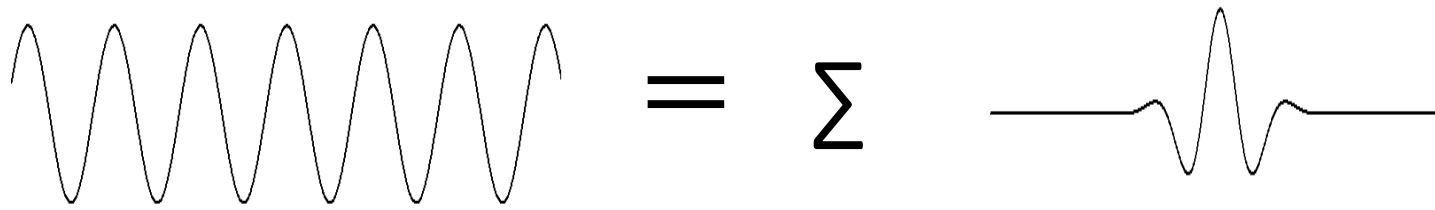
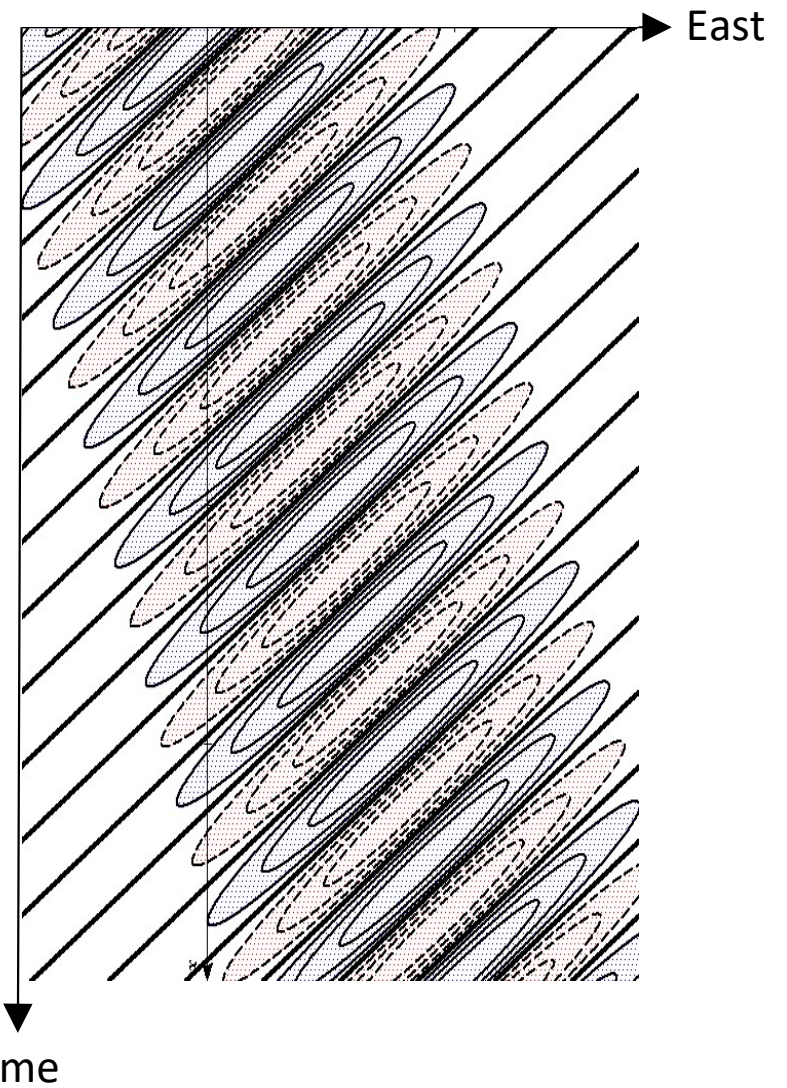


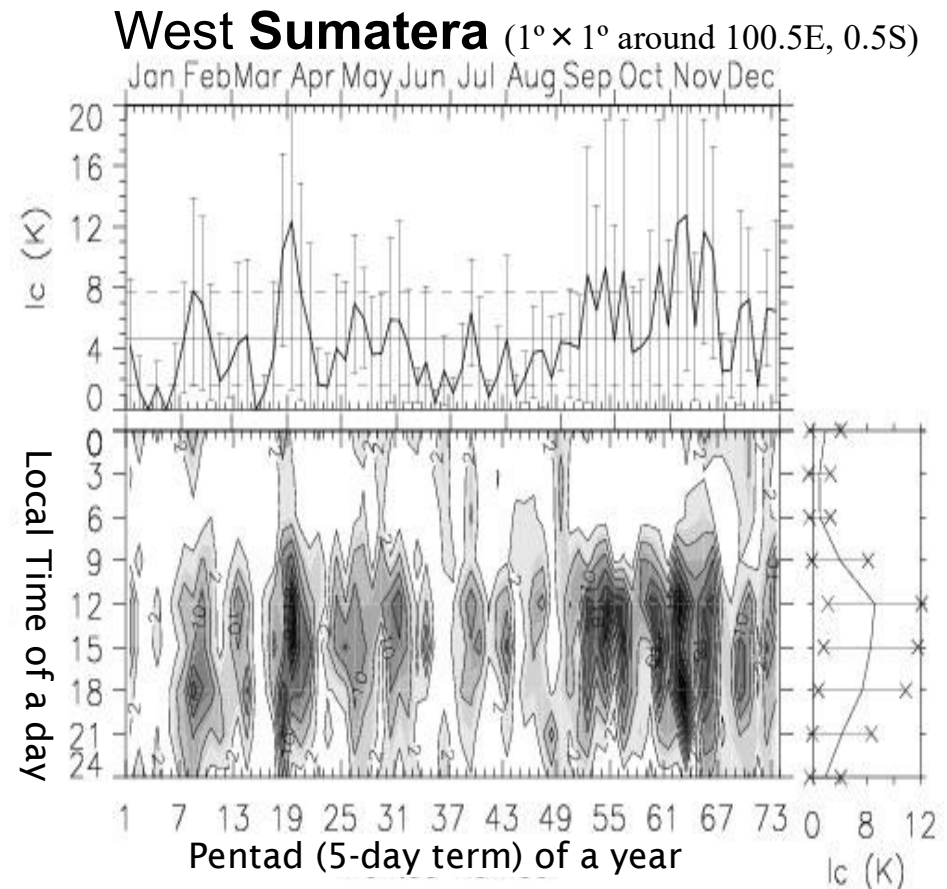
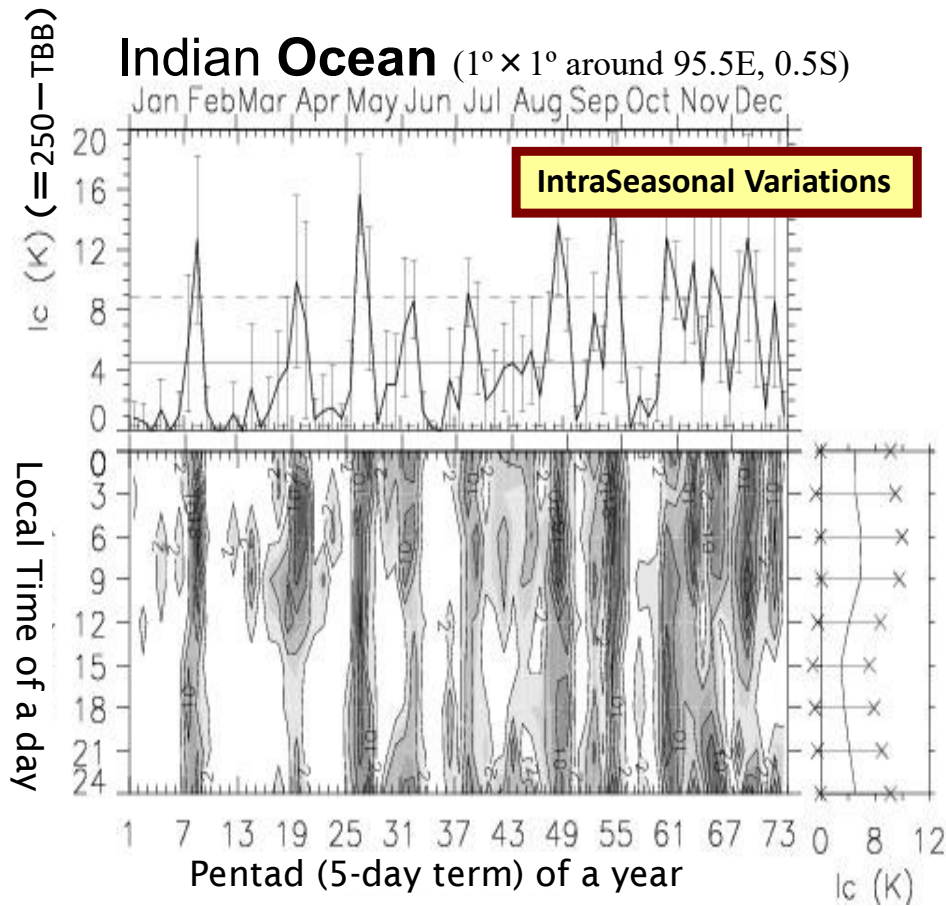
Fig. 11. Time-longitude section of I_{TBB} integrated from $2.5^{\circ}S$ to $2.5^{\circ}N$ for 3 November 00Z to 23 November 21Z. Shading denotes the area where the value exceeds 20. The 850 m wind field is also shown by vectors.

(Nakazawa, 1988)



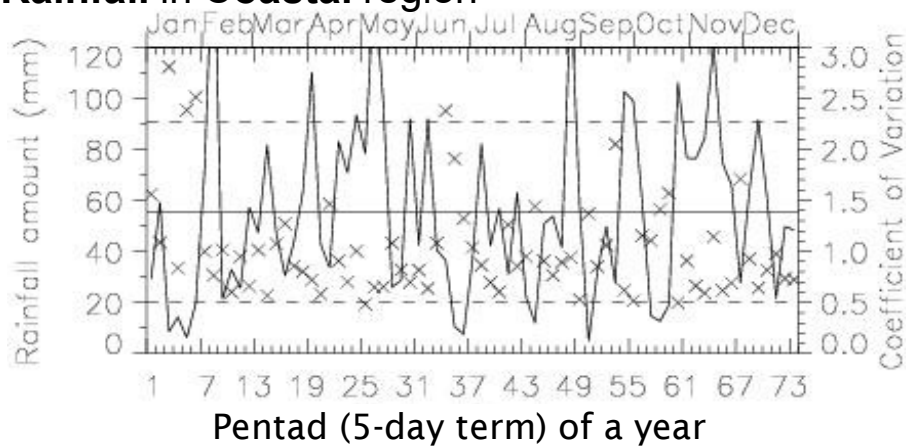
Intraseasonal / diurnal variations of convection

(Hamada et al., 2008)

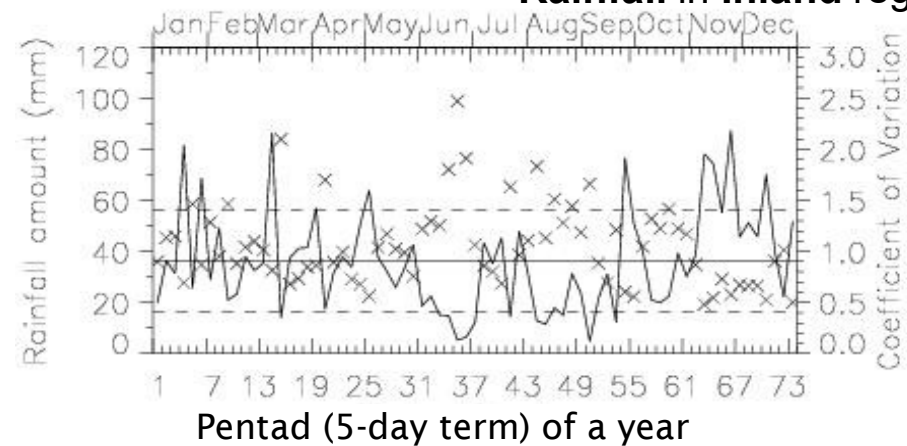


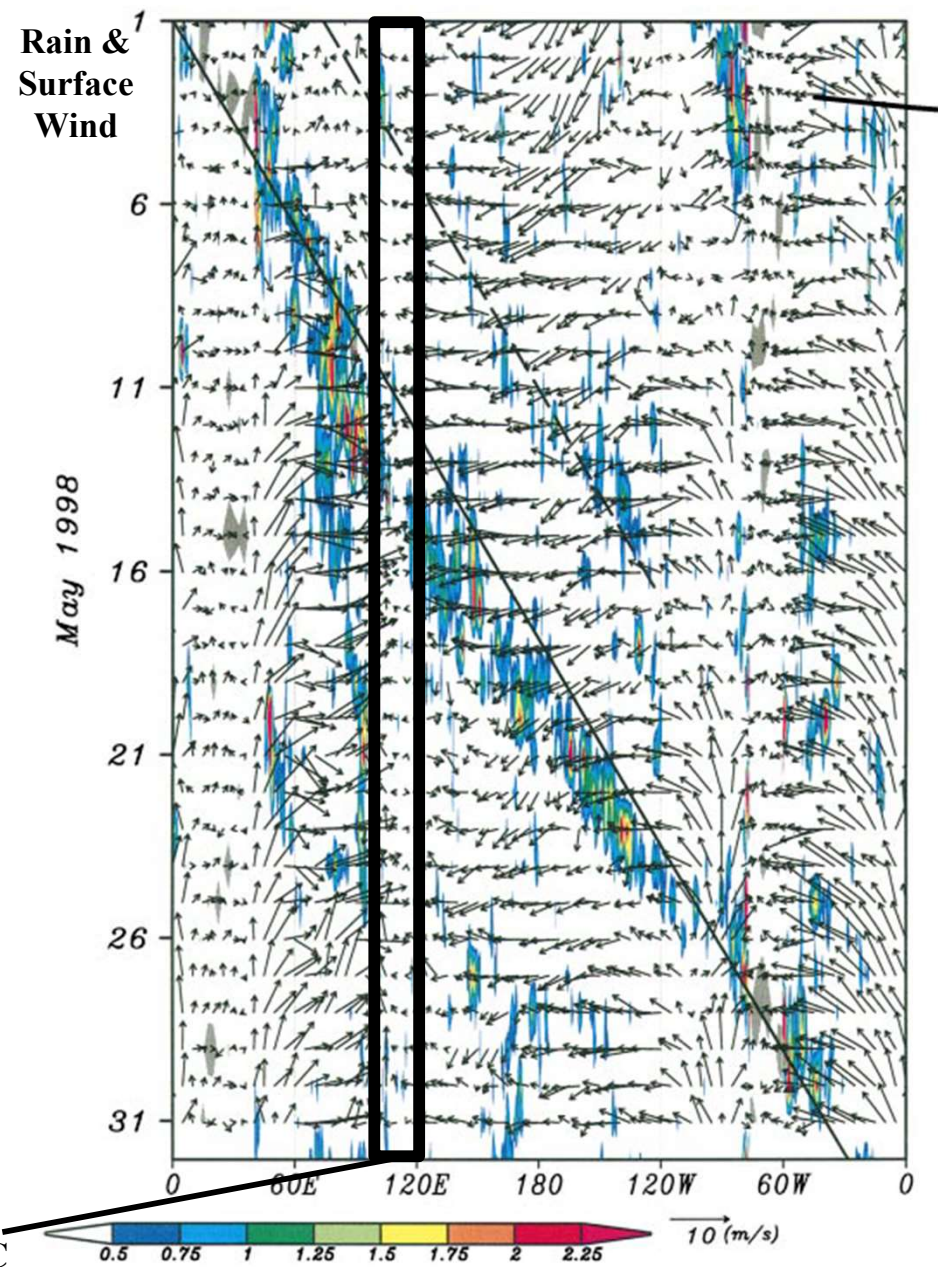
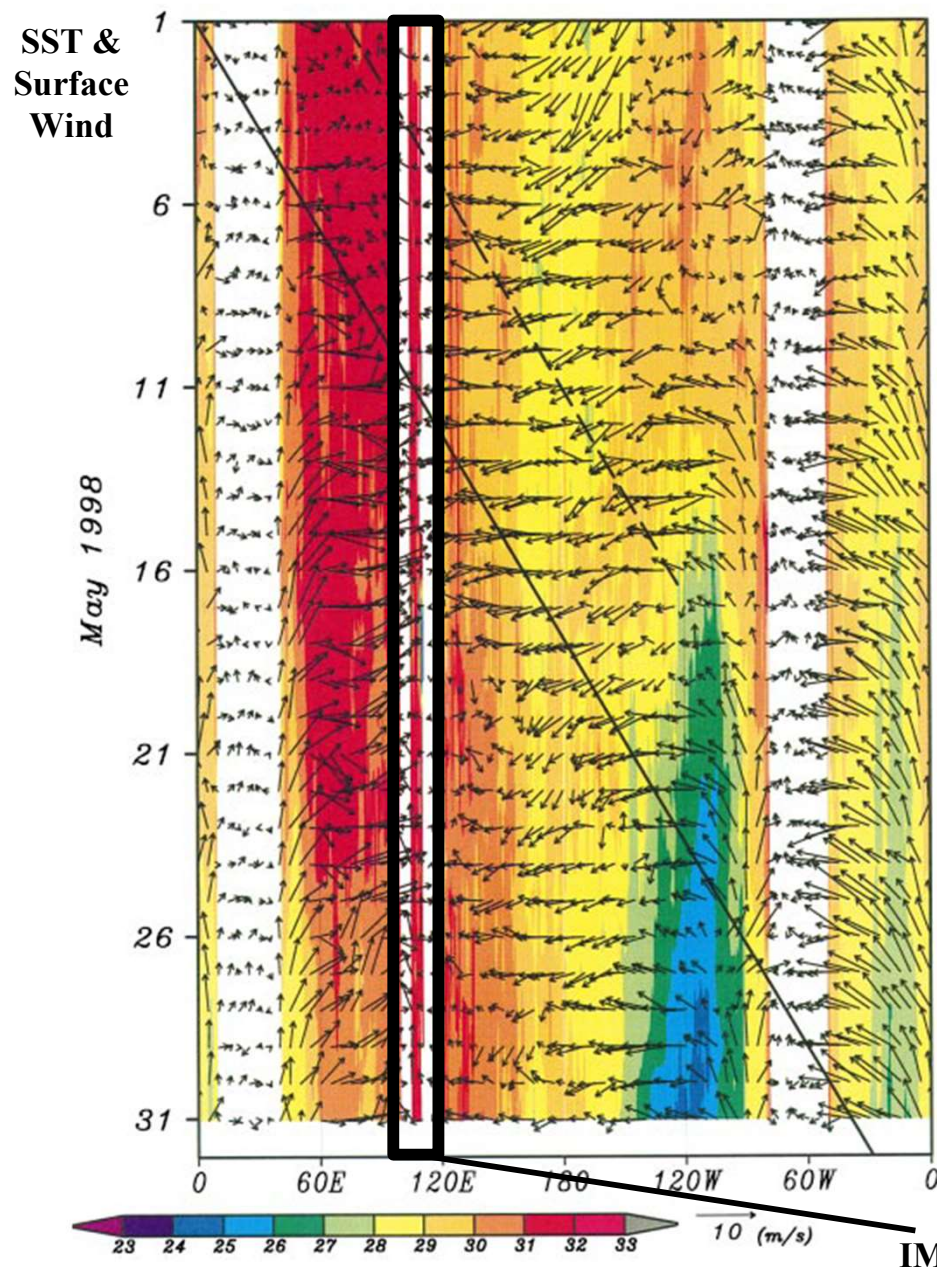
Afternoon rain

Rainfall in Coastal region



Rainfall in Inland region





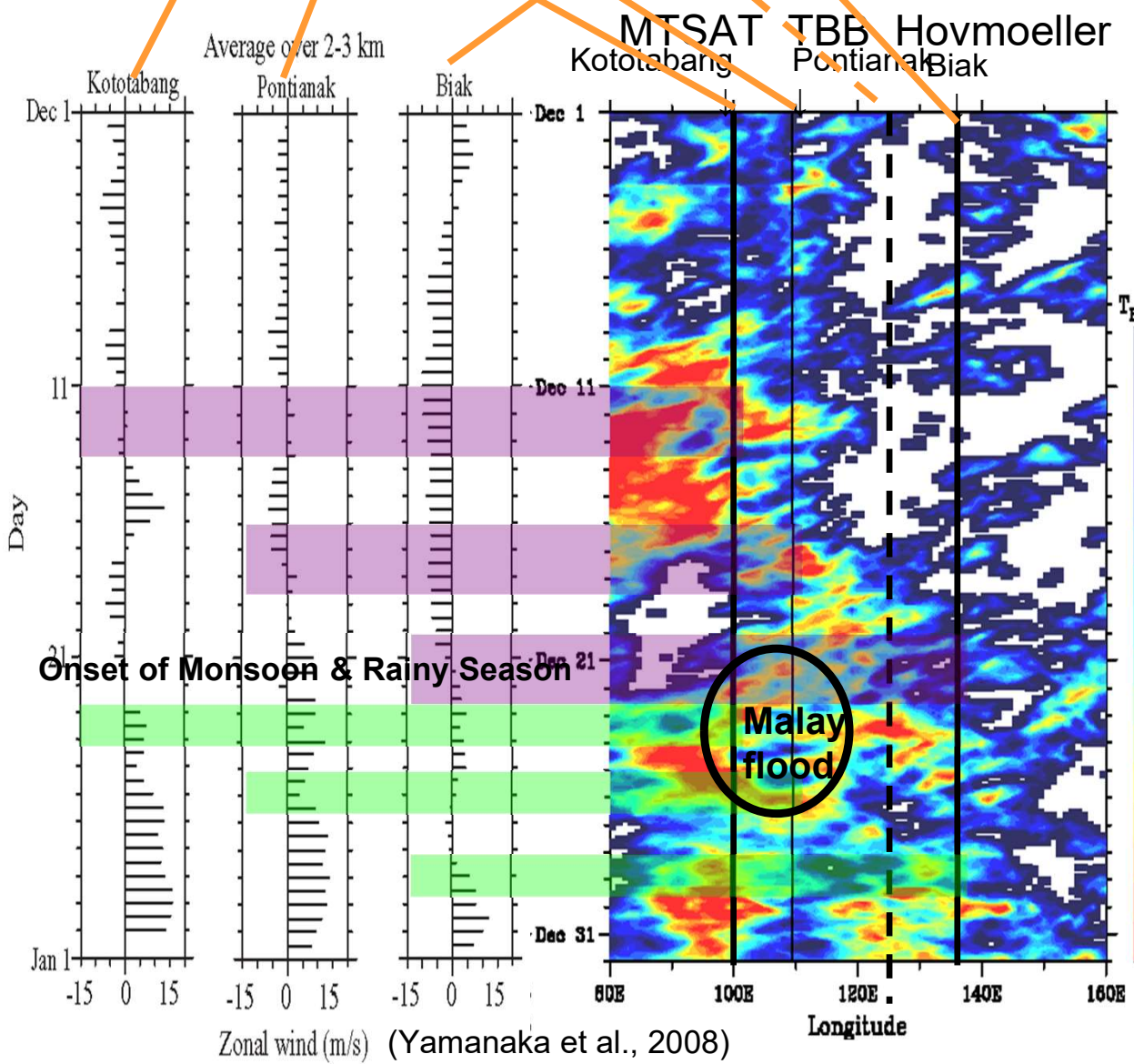
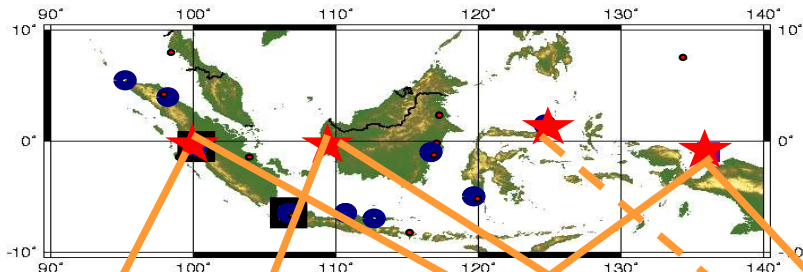
IMC “barrier effect” > 75% (Zhang & Ling, 2017)

Figure 2 Time–longitude sections of SST and the surface winds. Colours indicate the SST derived from the TMI data and averaged from 3° N to 3° S. Units on the colour scale are °C. The vectors are the ECMWF surface winds on the Equator. The abscissa is the longitude starting from the Greenwich meridian, and the ordinate is for the period of 1 May–1 June 1998. The solid line corresponds to the propagation of the major rain system found in Fig. 3, and the dashed line shows that of the preceding rain system (see text for details).

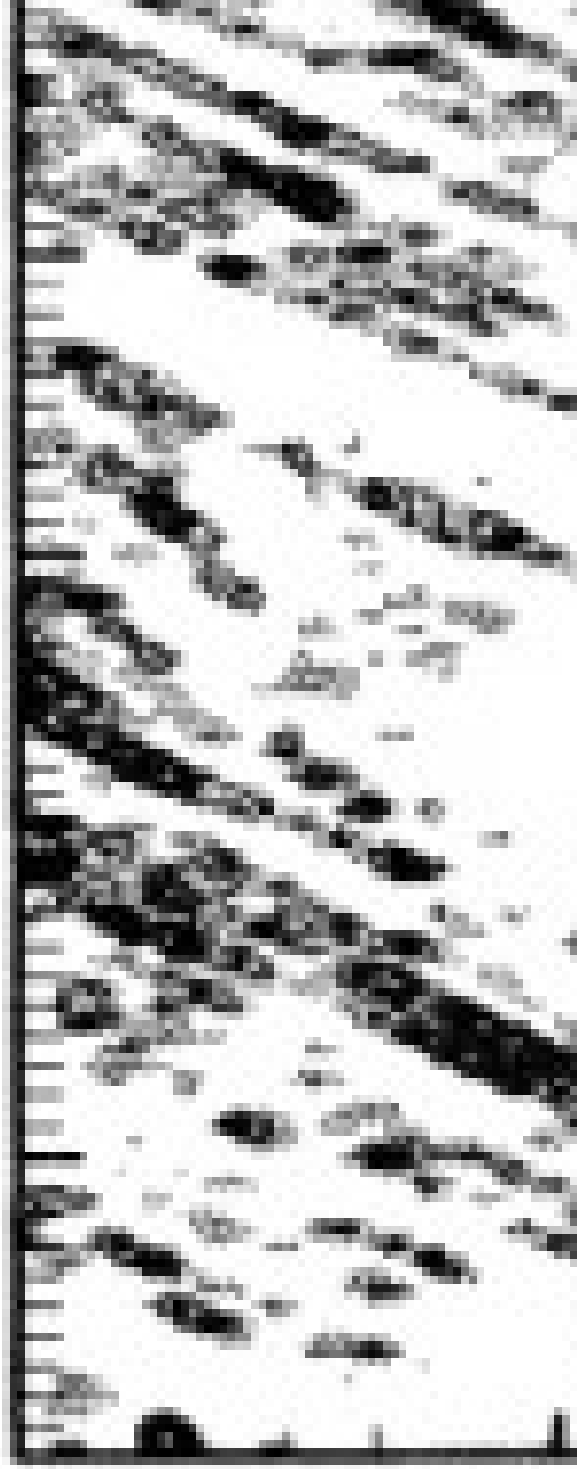
Figure 3 Time–longitude sections of the rain rate and the surface winds. Colours show the rain rate obtained from the SSM/I retrievals (see text), and the grey shades show that obtained from the TRMM precipitation radar with the PR2a25 algorithm (T.I., manuscript in preparation) for values > 0.5 mm h⁻¹, and averaged from 10° N to 10° S. Units on the colour scale are mm h⁻¹. The vectors are the ECMWF surface winds on the Equator.

(Takayabu et al., 1999, *Nature*)

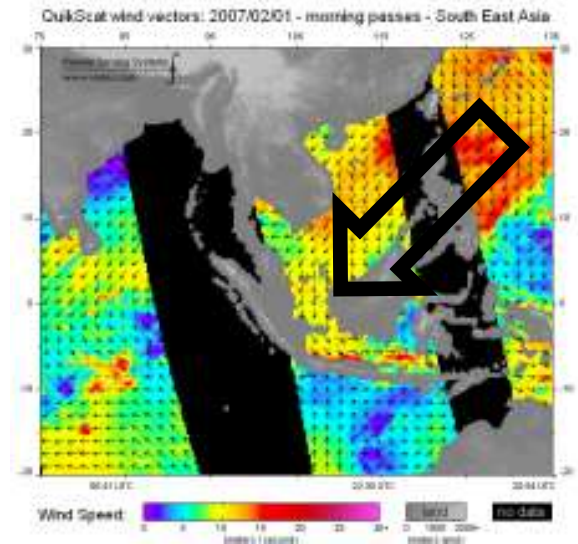
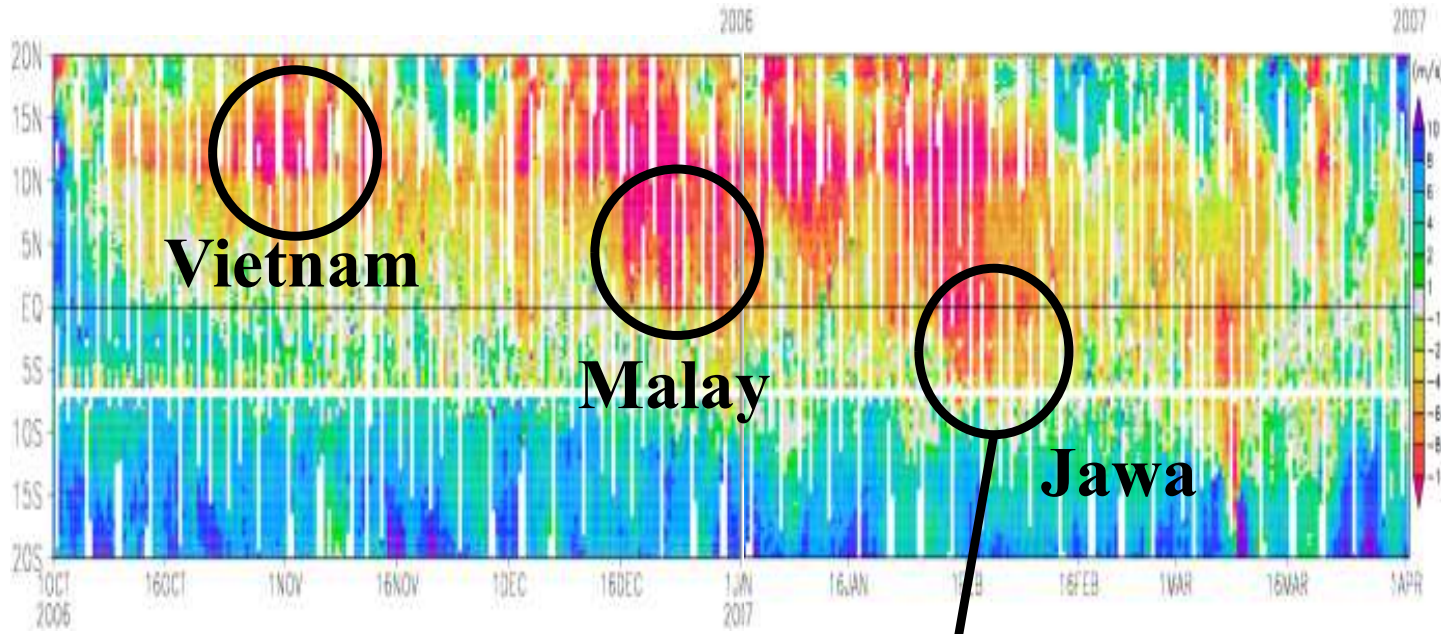
WPR tracing of ISV (compared with Aqua-Planet)



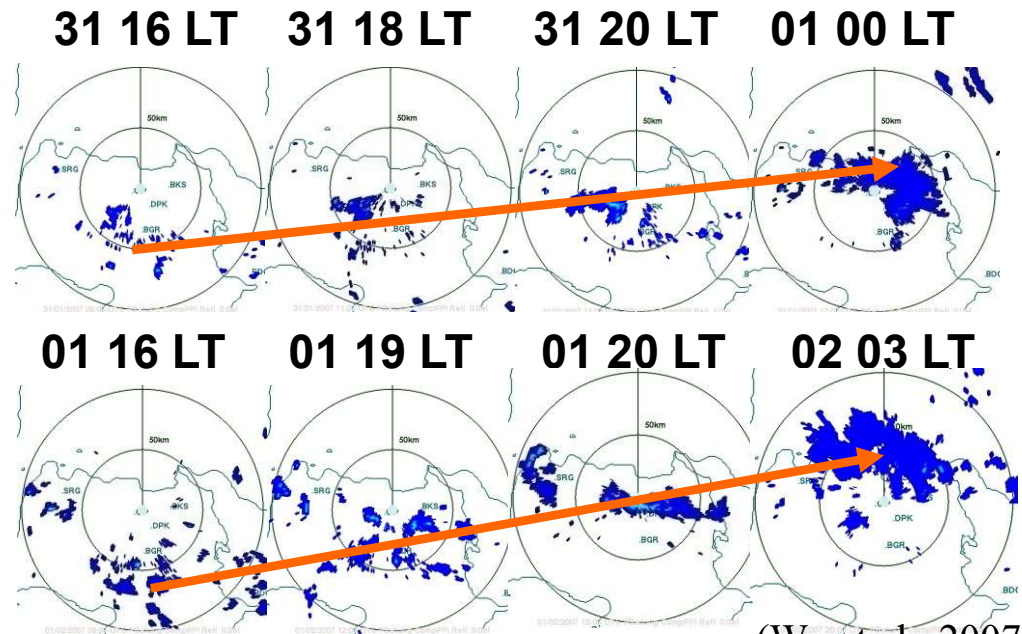
50.
60.
70.
80.
90.
0.



Jakarta flood by “cross-equatorial” cold surge



Jakarta Flood (Jan-Feb 2007)



(Wu et al., 2007)

MJO index

[http://dx.doi.org/10.1175/1520-0493\(2004\)132<1917:AARMMI>2.0.CO;2](http://dx.doi.org/10.1175/1520-0493(2004)132<1917:AARMMI>2.0.CO;2)

AUGUST 2004

WHEELER AND HENDON

191

An All-Season Real-Time Multivariate MJO Index: Development of an Index for Monitoring and Prediction

MATTHEW C. WHEELER AND HARRY H. HENDON

Bureau of Meteorology Research Centre, Melbourne, Australia

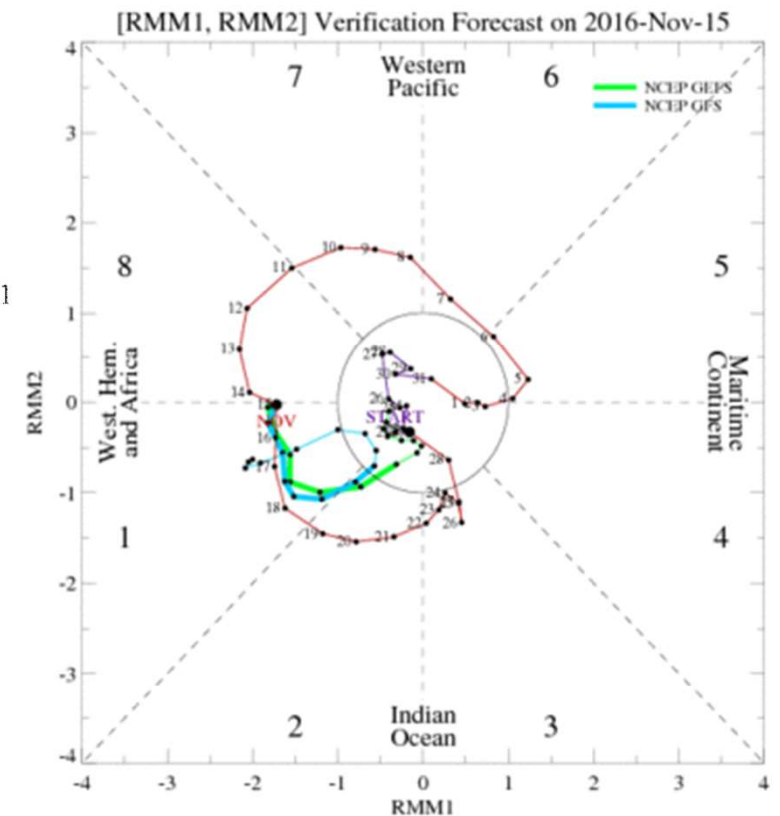
(Manuscript received 11 September 2003, in final form 10 February 2004)

ABSTRACT

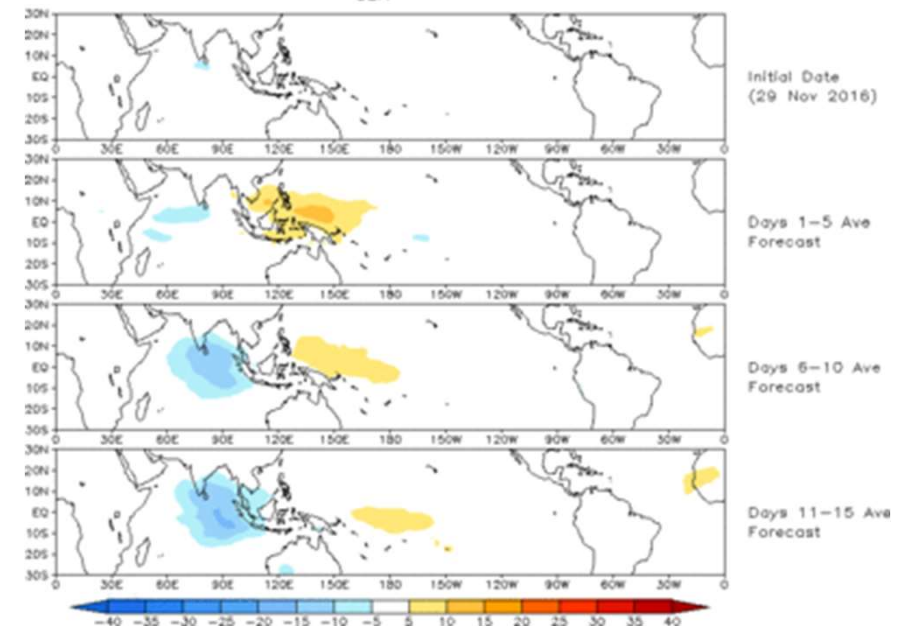
A seasonally independent index for monitoring the Madden–Julian oscillation (MJO) is described. It is based on a pair of empirical orthogonal functions (EOFs) of the combined fields of near-equatorially averaged 850-hPa zonal wind, 200-hPa zonal wind, and satellite-observed outgoing longwave radiation (OLR) data. Projection of the daily observed data onto the multiple-variable EOFs, with the annual cycle and components of interannual variability removed, yields principal component (PC) time series that vary mostly on the intraseasonal time scale of the MJO only. This projection thus serves as an effective filter for the MJO without the need for conventional time filtering, making the PC time series an effective index for real-time use.

The pair of PC time series that form the index are called the Real-time Multivariate MJO series 1 (RMM1) and 2 (RMM2). The properties of the RMM series and the spatial patterns of atmospheric variability they capture are explored. Despite the fact that RMM1 and RMM2 describe evolution of the MJO along the equator that is independent of season, the coherent off-equatorial behavior exhibits strong seasonality. In particular, the northward, propagating behavior in the Indian monsoon and the southward extreme of convection into the Australian monsoon are captured by monitoring the seasonally independent eastward propagation in the equatorial belt. The previously described interannual modulation of the global variance of the MJO is also well captured.

Applications of the RMM series are investigated. One application is through their relationship with the onset dates of the monsoons in Australia and India; while the onsets can occur at any time during the convectively enhanced half of the MJO cycle, they rarely occur during the suppressed half. Another application is the modulation of the probability of extreme weekly rainfall; in the “Top End” region around Darwin, Australia, the swings in probability represent more than a tripling in the likelihood of an upper-quintile weekly rainfall event from the dry to wet MJO phase.

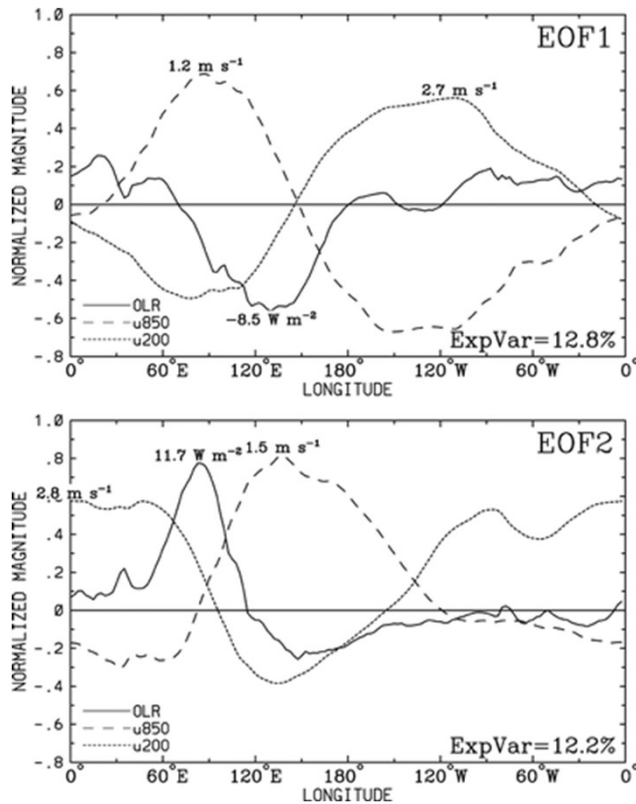


Prediction of MJO-related anomalies using GEFS operational forecast
Initial date: 29 Nov 2016
OLR



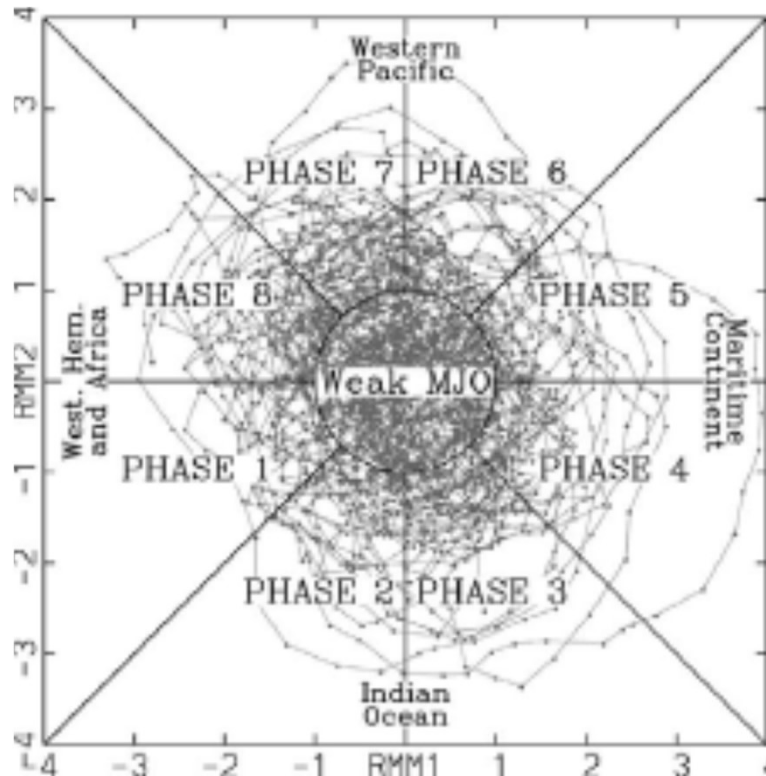
MJO index:

Phase 4-5 at the Indonesian maritime continent

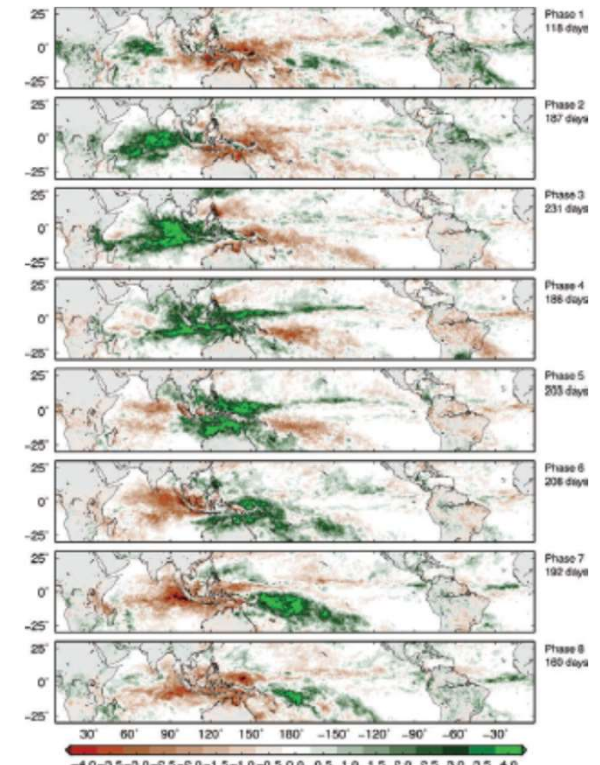


The first two empirical orthogonal functions (EOFs)

(Wheeler & Hendon, 2004)



The real-time multivariate MJO (RMM) index space indicating eastward propagation of MJO



Composites of IS (30-90 d) anomalies in TRMM rain (mm/d) in Nov-Dec 1998-2012 based on the RMM index

(Zhang, 2013)